# ANALYSIS SITUS

BY

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## SECOND EDITION

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#### PREFACE TO THE SECON EDITION

The second edition is essentially a report of the first, prepared in response to the demand which for copies of the book and in the hope ful adjunct to the more modern and ext same subject by my colleagues Lefschet. correcting such errors as have come to text has been changed as little as possi have not altered the point of view of the changes are in the definition of oriented caused a rearrangement at the beginning in the correction of the proof of the in efficients of torsion in Chapter IV toget sponding discussion of the invariants of a

My thanks are due to several colleagu corrections, particularly to Messrs. Alexand Rielsen and Pfeiffer. I owe most of all who has done most of the work of reconst and definitions and incorporating change a way as to preserve a self-consistent w

At the suggestion of Professor Lefsche appendices at the end of the volume a pNumbers which was written as a part o and the paper by Philip Franklin and whose Elements are Integers which was in in this book.

till seems to exis at it will be a use sive books on th and Alexander. 1 ention the origina and the change riginal. The chie ircuit, which has f Chapter IV. and riance of the co r with the corre oup in Chapter V who have sent in . Lefschetz. Morse. ) Dr. A. B. Brown ting faulty proofs in the text in such le.

I am including as er on Intersection the original book self on Matrices ided for reference

## AUTHOR'S PREFACE

he Cambridge Colloquium Lectures on Analysis Situs were nded as an introduction to the problem of discovering the mensional manifolds and characterizing them by means of riants. For the present publication the material of the ures has been thoroughly revised and is presented in nore formal way. It thus constitutes something like stematic treatise on the elements of Analysis Situs. The for does not, however, imagine that it is in any sense efinitive treatment. For the subject is still in such a state the best welcome which can be offered to any comensive treatment is to wish it a speedy obsolescence. he definition of a manifold which has been used is that ch proceeds from the consideration of a generalized polyon consisting of *n*-dimensional cells. The relations among cells are described by means of matrices of integers and the perties of the manifolds are obtained by operations with the rices. The most important of these matrices were introd by H. Poincaré to whom we owe most of our knowledge -dimensional manifolds<sup>\*</sup> for the cases in which n > 2. But also found convenient to employ certain more elementary rices of incidence whose elements are reduced modulo 2, from which the Poincaré matrices can be derived. he operations on the matrices lead to combinatorial results ch are independent of the particular way in which a maniis divided into cells and therefore lead to theorems of

Poincaré's work is contained in the following four memoirs: Analysis , Journal de l'École Polytechnique, 2d Ser., Vol. 1 (1895); Complément nalysis Situs, Rendiconti del Circolo Matematico di Palermo, Vol. 13 ); Second Complément, Proceedings of the London Mathematical ty, Vol. 32 (1900); Cinquième Complément, Rendiconti, Vol. 18 (1904). third and fourth Complements deal with applications to Algebraic etry, into which we do not go.

vi

#### PREFACE.

vii

Analysis Situs. The proof that this is so is based on an article by J.W.Alexander in the Transactions of the American Mathematical Society, Vol. 16 (1915), p. 148. The continuous transformations and the singularities (in the way of overlapping, etc.) which are allowed in this proof are completely general, so that we are able to avoid the difficulties, foreign to Analysis Situs, which beset those treatments of the subject which restrict attention to analytic transformations or singularities.

It will be seen that, aside from this one question which has to be dealt with in order to give significance to the combinatorial treatment, we leave out of consideration all the work that has been done on the point-set problems of Analysis Situs and on its foundation in terms of axioms or definitions other than those actually used in the text. We have also been obliged by lack of space to leave out all reference to the applications. We have not even given a definition of an *n*-cell by means of a set of equations and inequalities, or the discussion of orientation by means of the signs of determinants. These are to be found in very readable form in Poincaré's first paper, where they are given as the basis of his work. They belong properly, however, to the applications of the subject. For in nearly all cases when Poincaré (or anyone else) has proved a theorem of Analysis Situs, he has been obliged to set up a machinery which is equivalent to a set of matrices.

No attempt has been made to give a complete account of the history and literature of the subject. These are covered for the period up to 1907 by the article on Analysis Situs by Dehn and Heegard in the Encyklopädie (Vol. III<sub>1</sub>, p. 153); and the more important works subsequent to that date which bear on our part of the subject are referred to in Chap. V. I take pleasure in acknowledging my indebtedness to Professor J. W. Alexander who has read the manuscript and made many valuable suggestions, and also to Dr. Philip Franklin who has helped with the manuscript, the drawings, and the proof-sheets. PRINCETON, MAY, 1921.

# CONTENTS

## CHAPTER I

## LINEAR GRAPHS

| LIUUW | 1013  | Pages |
|-------|---|-------|
| 1.    | Fundamental Definitions                     | 1     |
| 5.    | Order Relations on Curves                   | 3     |
| 7.    | Singular Complexes                          | 5     |
| 10.   | The Simplest Invariants                     | 7     |
| 14.   | Symbols for Sets of Cells                   | 9     |
| 16.   | The Matrices $H_0$ and $H_1$                | 11    |
| 18.   | Zero-dimensional Circuits                   | 13    |
| 22.   | One-dimensional Circuits                    | 16    |
| 26.   | Trees                                       | 18    |
| 28.   | Geometric Interpretation of Matrix Products | 19    |
| 30.   | Reduction of $H_0$ and $H_1$ to Normal Form | 21    |
| 33.   | Oriented Cells                              | 23    |
| 36.   | Matrices of Orientation                     | 25    |
| 41.   | Oriented 1-Circuits                         | 28    |
| 43.   | Symbols for Oriented Complexes              | 29    |
| 47.   | Normal Form for $E_0$                       | 32    |
| 48.   | Matrices of Integers                        | 32    |
| 49.   | Normal Form for $E_1$                       | 33    |

## CHAPTER 11

#### TWO-DIMENSIONAL COMPLEXES AND MANIFOLDS

| 1.  | Fundamental Definitions  | 36 |
|-----|--------------------------|----|
| 4.  | Matrices of Incidence    | 37 |
| 7.  | Subdivision of 2-Cells   | 39 |
| 11. | Maps                     | 42 |
| 13. | Regular Subdivision      | 43 |
| 17. | Manifolds and 2-Circuits | 46 |
|     |                          |    |

#### CONTENTS

| Sections                                 | Pages |
|--|-------|
| 28. The Connectivity $R_1$               | . 52  |
| 32. Singular Complexes                   | . 55  |
| 35. Bounding and Non-bounding 1-Circuits | . 57  |
| 37. Congruences and Homologies, Modulo 2 | . 58  |
| 39. The Correspondence A                 | . 60  |
| 47. Invariance of $R_1$                  | . 64  |
| 50. Invariance of the 2-Circuit          | . 66  |
| 54: Matrices of Orientation              | . 68  |
| 57. Orientable Circuits                  | . 70  |
| 61. Normal Forms for Manifolds           | 74    |
|  |       |

## CHAPTER III

## COMPLEXES AND MANIFOLDS OF n DIMENSIONS

| 1.  | Fundamental Definitions                        | 76  |
|-----|--|-----|
| 4.  | Matrices of Incidence                          | 78  |
| 9.  | The Connectivities $R_i$                       | 81  |
| 11. | Reduction of the Matrices $H_k$ to Normal Form | 82  |
| 15. | Congruences and Homologies, Modulo 2           | 84  |
| 17. | Theory of the <i>n</i> -Cell                   | 86  |
| 20. | Regular Complexes                              | 88  |
| 24. | Manifolds                                      | 91  |
| 25. | Dual Complexes                                 | 92  |
| 29. | Duality of the Connectivities $R_i$            | 94  |
| 31. | Generalized Manifolds                          | 95  |
| 35. | Bounding and Non-bounding Sets of k-Circuits   | 97  |
| 42. | Invariance of the Connectivities $R_i$         | 102 |

## CHAPTER IV

## \* ORIENTABLE MANIFOLDS

| 1.  | Oriented <i>n</i> -Cells        | 104         |
|-----|---------------------------------|-------------|
| 3.  | Matrices of Orientation         | 105         |
| 4.  | Covering Oriented Complexes     | 105         |
| 6.  | Boundary of an Oriented Complex | 107         |
| 8.  | Orientable <i>n</i> -Circuits   | 109         |
| 12. | Oriented k-Circuits             | $11\bar{2}$ |

## CONTENTS

| lecti | ons   | Pages |
|-------|---|-------|
| 14.   | Normal Form of $E_k$                                | 112   |
| 17.   | The Betti Numbers                                   | 117   |
| 20.   | The Coefficients of Torsion                         | 119   |
| 22.   | Relation between the Betti Numbers and the Con-     |       |
|       | nectivities   | 120   |
| 25.   | Congruences and Homologies                          | 120   |
| 27.   | The Fundamental Congruences and Homologies          | 122   |
| 31.   | Bounding k-Circuits                                 | 125   |
| 35.   | Invariance of the Betti Numbers and Coefficients of |       |
|       | Torsion   | 128   |
| 38.   | Duality of the Betti Numbers and Coefficients of    |       |
|       | Torsion   | 130   |

## CHAPTER V

# THE FUNDAMENTAL GROUP AND CERTAIN UNSOLVED PROBLEMS

| 1.          | Homotopic and Isotopic Deformations    | 132 |
|-------------|--|-----|
| 3.          | Isotopy and Order Relations            | 133 |
| 10.         | The Indicatrix                         | 136 |
| 13.         | Theorems on Homotopy                   | 138 |
| 15.         | The Fundamental Group                  | 139 |
| 18.         | The Group of a Linear Graph            | 141 |
| <b>2</b> 2. | The Group of a Two-dimensional Complex | 143 |
| 25.         | The Commutative Group G.               | 145 |
| <b>2</b> 8. | Equivalences and Homologies            | 148 |
| 30.         | The Poincaré Numbers of G              | 149 |
| <b>3</b> 6. | Covering Manifolds                     | 152 |
| <b>3</b> 8. | Three-dimensional Manifolds            | 154 |
| <b>4</b> 0. | The Heegaard Diagram                   | 155 |
| 44.         | The Knot Problem                       | 158 |

## APPENDIX 1

| ARV THECT ACTIVE ANTIHUCT A CONSISTENCE OF CONSISTENCE OF CONSISTENCE | The | Intersection | Numbers | 159 |
|---|-----|--------------|---------|-----|
|---|-----|--------------|---------|-----|

## APPENDIX II

On Matrices whose Elements are Integers..... 170

ix

#### ANALYSIS SITUS.

The order relations among any set of points on the cell or its boundary are by definition identical with those of the corresponding points of the segment and its boundary. Hence. in particular, a point P is a *limit point* of a set of points [X]of a cell and its boundary if and only if the corresponding point P of the segment is a limit point of the corresponding set of points [X] of the segment and its boundary.

A continuous transformation of a cell and its boundary into itself or into another cell and its boundary is now defined



as a transformation of the cell and its boundary which if it carries a set [X] to a set [X'] carries every limit point of [X]to a limit point of [X'].

3. A zero-dimensional complex is a set of distinct 0-cells, finite in number. A one-dimensional complex or a linear graph is a zerodimensional complex together with a finite number of 1-cells bounded by pairs of its 0-cells, such that no two of the 1-cells have a point in common and each O-cell is an end of at least one 1-cell. Let us denote the number of 0-cells by  $\alpha_0$  and the number of 1-cells by  $\alpha_1$ . The 0-cells are sometimes called vertices and the 1-cells edges.

#### LINEAR GRAPHS

## **Fundamental Definitions**

1. We shall presuppose a knowledge of some of the elementary properties of the real Euclidean space of n dimensions ( $n \leq 3$ for the first two chapters). In such a space, the points collinear with and between two distinct points constitute a segment or one-dimensional simplex whose ends or vertices are the given points. The ends are not regarded as points of the segment. For obvious reasons of symmetry, a single point will be referred to as a 0-dimensional simplex.

2. Consider any set of objects in (1-1) correspondence\* with the points of a segment and its two ends. The objects corresponding to the points of the segment constitute a onedimensional cell or 1-cell and those corresponding to the ends constitute the ends or boundary of the 1-cell. In like manner a single object may be referred to as a 0-cell.

In the cases which are usually considered the objects which constitute a cell and its boundary are points of a k-space and the correspondence which defines the cell is continuous. Consequently a 1-cell is an arc of curve joining two distinct points. In the general case, however, it would be meaningless to say that the correspondence was continuous, because continuity implies previously determined order relations, and here the orderørelations of a cell are determined by means of the defining correspondence.

The objects which constitute a cell and its boundary will always be referred to as "points" in the following pages.

## CHAPTER I

<sup>\*</sup> By (1-1) correspondence we mean a correspondence which is oneto-one reciprocal; i. e., a (1-1) correspondence between two sets [A] and [B] is such that each A corresponds to one and only one B and each B is the correspondent of one and only one A.

§§ 3-5]

3

For example, the vertices and edges of a tetrahedron (Fig.1) constitute a linear graph for which  $\alpha_0 = 4$  and  $\alpha_1 = 6$ . A linear graph is not necessarily assumed to lie in any space, being defined in a purely abstract way. It is obvious, however, that if  $\alpha_0$  points be chosen arbitrarily in a Euclidean three-space they can be joined by pairs in any manner whatever by  $\alpha_1$  non-intersecting simple arcs. Therefore, any linear graph may be thought of as situated in a Euclidean three-space.

For some purposes it is desirable to use the term oncdimensional complex to denote a more general set of 1-cells and 0-cells than that described above. 'For example, a 1-cell and its two ends form a one-dimensional complex according to the definition above, but a 1-cell by itself or a 1-cell and one of its ends do not. In the following pages we shall occasionally refer to an arbitrary subset of the 1-cells and 0-cells of a linear graph as a generalized one-dimensional complex.

4. A transformation F of a set of points [X] of a complex  $C_1$ into a set of points [X'] of the same or another complex is said to be *continuous* if and only if it is continuous in the sense of § 2 on each complex composed of a 1-cell of  $C_1$ and its ends (i. e., if the transformation effected by F on those X's which are on such a 1-cell and its ends is continuous). A (1-1) continuous transformation of a complex into itself or another complex is called, following Poincaré, a homeomorphism. The inverse transformation is easily proved to be continuous. Two complexes related by a homeomorphism ase said to be homeomorphic.

The set of all homeomorphisms by which a linear graph is carried into itself obviously forms a group. Any theorem about a linear graph which states a property which is left invariant by all transformations of this group is a theorem of one-dimensional Analysis Situs. The group of homeomorphisms of a linear graph is its Analysis Situs group.

#### Order Relations on Curves

5. By an open curve is meant the set of all points of a complex composed of a 1-cell and its two ends. By

a closed curve is meant the set of all points of a complex  $C_1$ consisting of two distinct 0-cells  $a_1^0$ ,  $a_2^0$  and two 1-cells  $a_1^1$ ,  $a_2^1$ , each of which has  $a_1^0$  and  $a_2^0$  as ends but which have no common points (Fig. 2). The most elementary theorems about curves are those which codify the order relations. They may be stated (without proof) as follows:

Let us denote a 1-cell and its ends by  $a^1$ ,  $a_1^0$  and  $a_2^0$ . If  $a_3^0$  is any point af  $a^1$ , there are two 1-cells  $a_1^1$  and  $a_2^1$  such that  $a_1^1$  has  $a_1^0$  and  $a_3^0$  as its ends,  $a_2^1$  has  $a_3^0$  and  $a_2^0$  as its ends, and every point of  $a^1$  is either on  $a_1^1$  or  $a_2^1$  or identical with  $a_3^0$ . The 1-cell  $a^1$  is said to be *separated* into the 1-cells  $a_1^1$  and  $a_2^1$  by the 0-cell  $a_3^0$ .



A 0-cell is said to be *incident* with a 1-cell if and only if it is an end of the 1-cell; and under the same conditions the 1-cell is said to be incident with the 0-cell. It follows directly from the theorem on separation in the paragraph above that n distinct points of the 1-cell  $a^{t}$  determine n+1 1-cells such that the n points (or 0-cells) may be denoted by  $b_1^0, b_2^0, \ldots, b_n^0$  and the n+1 1-cells by  $b_1^1, b_2^1, \ldots, b_{n+1}^1$ in such a way that each cell is incident with the cell which directly precedes or directly follows it in the sequence  $a_1^0, b_1^1, b_1^0, b_2^1, \ldots, b_n^0, b_{n+1}^1 a_2^0$ .

If  $b^0$ ,  $b_2^0$ , ...,  $b_n^0$  are *n* distinct points of a closed curve, the remaining points of the curve constitute n 1-cells  $b_i^1$ (i = 1, 2, ..., n), no two of which have a point in common, such that each  $b_i^0$  is incident with just two of them.

6. A little reflection will convince the reader that many of the theorems about functions of one real variable and

§§ 6—8]

about linear sets of points belong to one-dimensional Analysis Situs. As an example we may cite the theorem that any nowhere dense perfect set of points on a closed curve can be transformed into any other such set by a (1-1) continuous transformation of the curve. The Heine-Borel theorem is another case in point.

(The theorems of Analysis Situs may be divided somewhat roughly into two classes, those dealing essentially with continuity considerations (of which the theorem on perfect sets of points cited above may serve as an illustration), and those having an essentially combinatorial character.) It is the theorems of the latter class which will occupy most of our attention in the following pages, though we shall continually make use of theorems of the former class without proving them.

## Singular Complexes

7. Let F be a correspondence between a 0-dimensional complex  $C_0$  and a set of points [P] of any complex C (for the present, C is 0- or 1-dimensional) in which each point of  $C_0$  corresponds to a single P and each P is the correspondent of one or more points of  $C_0$ . The object obtained by associating any point Xof  $C_0$  with the point P which is its image under F will be denoted by F(X) and called a point on C; it is said to coincide with Pand P to coincide with it. The set of all points F(X) on Cis called a 0-dimensional complex on C. If any P is the correspondent of more than one point X of  $C_0$ , P is called a singular point and the complex on C is said to be singular.

8. Let  $C_1$  be a generalized one-dimensional complex and let F be a continuous correspondence between  $C_1$  and a set of points [P] of a complex C, in which each point of  $C_1$ corresponds to a single P and each P is the correspondent of at least one point of  $C_1$ . The object obtained by associating any point X of  $C_1$  with the point P which is its image under this correspondence will be called a *point on* C and is uniquely denoted by the functional notation F(X); it is said to *coincide* with P and P is said to *coincide* with it. The point  $F(X_1)$ 

#### ANALYSIS SITUS.

6

5

is called a limit point of the points F(X) if  $X_1$  is a limit point of the points X. The set of all points F(X) on C is in a (1-1) continuous correspondence with the points of  $C_1$ and thus constitutes a one-dimensional complex  $C'_1$  identical in structure with  $C_1$ . The one-dimensional complex  $C'_1$  is said to be on C. If any of the points P is the correspondent under F of more than one point of  $C_1$ ,  $C'_1$  is called a singular complex on C and the point P in question a singular point. If the correspondence F is (1-1),  $C'_1$  is said to be non-singular.

It is to be emphasized that in the definitions above F is a perfectly general continuous function. Thus, for example, all the points of a 1-cell of  $C_1$  may be imaged on a single point of C. In the rest of this chapter we shall be referring to non-singular complexes more often than to singular ones. We shall therefore understand that a complex is non-singular unless the opposite is stated.

9. Let P be any point of a generalized one-dimensional complex  $C_1$ . If P is a point of a 1-cell of  $C_1$  let  $Q_1$  and  $Q_2$ be two points of this 1-cell such that P is between them. If P is a vertex, let  $Q_1, Q_2, \dots, Q_j$  be a set of points, one on each 1-cell of which P is an end. The set of points composed of P and of all points between P and the points  $Q_1$ ,  $Q_2, \dots, Q_j$  is called a *neighborhood* of P.

A generalized one-dimensional complex  $C'_1$  which is on  $C'_1$ is said to cover  $C_1$  in case there is at least one point of  $C'_1$  on each point of  $C'_1$  and there exists for every point of  $C'_1$  a neighborhood which is a non-singular complex on  $C_1$ . In case the number of points of  $C'_1$  which coincide with a given point of  $C_1$  is finite and equal to *n* for every point of  $C'_1$ ,  $C'_1$  is said to cover  $C_1 n$  times.

The only connected complex which can cover a 1-cell is a 1-cell, or a subdivision of a 1-cell such as is described in § 5, and it can cover it only once. A closed curve, on the other hand, can be covered any number of times by another closed curve.

The truth of the latter statement may be seen very simply as follows. Let  $C_1$  and  $C'_1$  be two circles in a Euclidean plane. Denote any point on  $C_1$  by a coordinate  $\theta$  ( $0 < \theta \le 2\pi$ ), and §§ 9-11]

any point on  $C'_1$  by  $\theta'(0 < \theta' \le 2\pi)$ . Let each point,  $\theta$ , of  $C_1$  correspond to the *n* points

$$\theta' = \frac{\theta}{n}, \quad \theta' = \frac{2\theta}{n}, \quad \dots, \quad \theta' = \frac{(n-1)\theta}{n}, \quad \theta' = \theta,$$

of  $C'_1$  corresponds to a single point of  $C_1$ .

#### The Simplest Invariants

10. One of the first objects of Analysis Situs is to find the numerical invariants of complexes under the group of homeomorphisms. By an invariant under this group we mean a number I(C) determined by a complex C in such a way that if C' be any complex homeomorphic with C, the number I(C')determined in the same way for C' is the same as I(C).

11. Starting with any point O of a complex  $C_1$  consider all points of  $C_1$  which can be joined to this one by open curves. singular or not, \* on  $C_1$ . This set of points will contain all points of a certain set of 0-cells and 1-cells of  $C_1$  (a subcomplex of  $C_1$ ) which we may call  $C'_1$ . Since any two points of  $C_1'$  can be joined to O by open curves, they can be joined to each other by an open curve. Hence the same set of points is determined if any other point of  $C_1'$  replace O in the definition of  $C_1'$ .

Since  $C_1$  is composed of a finite number of 0-cells and 1-cells altogether, it is composed of a finite number of subcomplexes defined in the same way that  $C'_1$  is defined in the paragraph above. The number of these sub-complexes contained in  $C_1$  is obviously an invariant in the sense defined in § 10. for if two complexes  $C_1$  and  $C_1'$  are homeomorphic, any curve on  $C_1$  corresponds to a curve on  $C_1$ . This number shall be denoted by  $R_0$ . If  $R_0 = 1$ ,  $C_1$  is said to be connected.

\* No generality is gained by allowing the curves to be singular, but the argument is slightly easier, and more in the spirit of its generalizations to n dimensions.

12. Let us denote the number of 0-cells in a complex  $C_1$ by  $\alpha_0$  and the number of 1-cells by  $\alpha_1$ . The number  $\alpha_0 - \alpha_1$ is an invariant.

To prove this, let us first observe that if  $C_1$  be modified by introducing any point of one of its 1-cells as a 0-cell and thereby separating the 1-cell into two 1-cells, the number of  $C'_1$ . In case n = 2, for example, a pair of opposite points  $\alpha_0 - \alpha_1$  is unchanged. For  $\alpha_0$  is changed to  $\alpha_0 + 1$  and  $\alpha_1$ is changed to  $\alpha_1 + 1$ .

> Now consider two linear graphs  $C_1$  and  $C_1'$  between which there is a (1-1) continuous correspondence F. Suppose that  $C_1$  has  $\alpha_0$  0-cells and  $\alpha_1$  1-cells and  $C_1'$  has  $\alpha_0'$  0-cells and  $\alpha'_1$  1-cells. Each 0-cell of  $C_1$  which is an end of only one 1-cell will correspond under F to a 0-cell of  $C'_1$  having the same property; otherwise F could not be continuous. In like manner, each 0-cell of  $C_1$  which is an end of more than two 1-cells will correspond to a 0-cell of  $C_1'$  which is an end of an equal number of 1-cells. For the same reasons, a 0-cell of  $C_1'$  which is an end of only one, or of more than two, 1-cells is the correspondent of a like 0-cell of  $C_1$ .

> A certain number of 0-cells of  $C_1$  which are ends of two 1-cells each may correspond to points of  $C_1'$  which are not vertices. Suppose there are k such 0-cells of  $C_1$  and therefore k corresponding points of  $C'_1$ . As explained above, any one of these points of  $C'_1$  may be introduced as a vertex, thereby changing  $C'_1$  into a complex with one more 0-ccll and one more 1-cell. Repeating this step k times  $C'_1$  is changed into a complex  $C_1^{\prime\prime}$  having  $\alpha_0^{\prime} + k$  0-cells and  $\alpha_1^{\prime} + k$ 1-cells. The correspondence F will carry every vertex of  $C_1$ into a vertex of  $C_1''$ .

> Certain of the vertices of  $C_1''$ , however, may not be the correspondents under F of vertices of  $C_1$ . Suppose there are *n* such vertices of  $C_1''$ . By precisely the reasoning used in the last paragraph the points of  $C_1$  which correspond to these n vertices of  $C_1''$  may be introduced as vertices of  $C_1$ , converting  $C_1$ into a complex  $\overline{C}_1$  having  $\alpha_0 + n$  0-cells and  $\alpha_1 + n$  1-cells. The complexes  $C_1''$  and  $\overline{C_1}$  have been defined so that under the (1-1) correspondence F each vertex of  $\overline{C}_1$  corresponds

§§ 12-14]

9

(Chap. I

to a vertex of  $C_1''$  and each 1-cell of  $\overline{C_1}$  to a 1-cell of  $C_1''$ . Hence

$$\alpha_0+n = \alpha'_0+k$$
 and  $\alpha_1+n = \alpha'_1+k$ ,

from which it follows that

$$\alpha_0 - \alpha_1 = \alpha'_0 - \alpha'_1$$

13. The invariant number  $\alpha_0 - \alpha_1$  is called the *characteristic*<sup>\*</sup> of the linear graph. The number  $\alpha_1 - \alpha_0 + R_0$  is called the *cyclomatic number*<sup>+</sup> and denoted by  $\mu$ . In the case of a connected complex

$$\mu = \alpha_1 - \alpha_0 + 1.$$

The two invariants,  $R_0$  and  $\alpha_0 - \alpha_1$  are evidently not sufficient to characterize a linear graph completely. There is a rather elaborate theory of linear graphs<sup>‡</sup> in existence which we shall not attempt to cover. Instead we shall go into detail on questions which cluster around the two invariants already found, because this part of the theory is the basis of important generalizations to *n* dimensions.

## Symbols for Sets of Cells

14. Let us denote the 0-cells of a one-dimensional complex  $C_1$  by  $a_1^0, a_2^0, \ldots, a_{\alpha_0}^0$  and the 1-cells by  $a_1^1, a_2^1, \ldots, a_{\alpha_1}^1$ .

Any set of 0-cells of  $C_1$  may be denoted by a symbol  $(x_1, x_2, \dots, x_{\alpha_0})$  in which  $x_i = 1$  if  $a_i^0$  is in the set and  $x_i = 0$  if  $a_i^0$  is not in the set. Thus, for example, the pair of points  $a_1^0, a_4^0$  in Fig. 1 is denoted by (1, 0, 0, 1). The total number of symbols  $(x_1, x_2, \dots, x_{\alpha_0})$  is  $2^{\alpha_0}$ . Hence the total number of sets of 0-cells, barring the 0-set, is  $2^{\alpha_0} - 1$ . The symbol for a null-set,  $(0, 0, \dots, 0)$  will be referred to as zero and denoted by 0.

The marks 0 and 1 which appear in the symbols just defined, may profitably be regarded as residues, modulo 2, i. e., as symbols which may be combined algebraically according to the rules

 $0+0=1+1=0,0+1=1+0=1,0\times 0=0\times 1=1\times 0=0,1\times 1=1.$ 

Under this convention the sum (mod 2) of two symbols, or of the two sets of points which correspond to the symbols  $(x_1, x_2, \dots, x_{\alpha_0}) = X$  and  $(y_1, y_2, \dots, y_{\alpha_0}) = Y$ , may be defined as  $(x_1 + y_1, x_2 + y_2, \dots, x_{\alpha_0} + y_{\alpha_0}) = X + Y$ . Geometrically, X + Y is the set of all points which are in X or in Y but not in both.\*

For example, if X = (1, 0, 0, 1) and Y = (0, 1, 0, 1)X + Y = (1, 1, 0, 0); i. e., X represents  $a_1^0$  and  $a_4^0$ , Y represents  $a_2^0$  and  $a_4^0$ , and X + Y represents  $a_1^0$  and  $a_2^0$ . Since  $a_4^0$  appears in both X and Y, it is suppressed in forming the sum, modulo 2.

This type of addition has the obvious property that if two sets contain each an even number of 0-cells, the sum (mod. 2) contains an even number of 0-cells.

15. Any set, S, of 1-cells in  $C_1$  may be denoted by a symbol  $(x_1, x_2, \dots, x_{\alpha_1})$  in which  $x_i = 1$  if  $a_i^1$  is in the set and  $x_i = 0$  if  $a_i^1$  is not in the set. The 1-cells in the set may be thought of as labelled with 1's and those not in the set as labelled with 0's. The symbol is also regarded as representing the one-dimensional complex composed of the 1-cells of S and the 0-cells which bound them. Thus, for example, in Fig. 1 the boundaries of two of the faces are (1, 0, 1, 0, 1, 0) and (1, 1, 0, 0, 0, 1).

The sum (mod. 2) of two symbols  $(x_1, x_2, \dots, x_{\alpha_1})$  is defined in the same way as for the case of symbols representing 0-cells. Correspondingly if  $C'_1$  and  $C''_1$  are one-dimensional complexes each of which is a sub-complex of a given onedimensional complex  $C_1$ , the sum

\* In other words, X + Y is the difference between the logical sum and the logical product of the two sets of points. In terms of the logical operations, if S and S' are the given sets, this one is S + S' - SS'.

<sup>\*</sup> Cf. W. Dyck, Math. Ann., Vol. 32, p. 457.

<sup>†</sup> The term is due to J. B. Listing, Census räumliche Komplexe, Göttingen, 1862. But the significance of this constant had been clearly brought out by G. Kirchhoff in the paper referred to in § 36 below.

<sup>&</sup>lt;sup>‡</sup>Cf. Dehn-Heegaard, Encyklopädie, III, AB, 3, pp. 172-178.

## $C_1' + C_1'' \pmod{2}$

is defined as the one-dimensional complex obtained by suppressing all 1-cells common to  $C'_1$  and  $C''_1$  and retaining all 1-cells which appear only in  $C'_1$  or in  $C''_1$ . For example, in (0, 1, 0) and (1, 1, 0, 0, 0, 1) is (0, 1, 1, 0, 1, 1) which represents the curve composed of  $a_a^1$ ,  $a_a^1$ ,  $a_b^1$ ,  $a_b^1$  and their ends.

## The Matrices $H_0$ and $H_1$

16. It has been seen in § 11 that any one-dimensional complex falls into  $R_0$  sub-complexes each of which is connected. Let us denote these sub-complexes by  $C_1^1, C_1^2, \dots, C_1^{R_0}$ . and let the notation be assigned in such a way that  $a_i^0$   $(i=1, 2, ..., m_1)$  are the 0-cells of  $C_1^1, a_i^0$   $(i=m_1+1, ..., m_2)$ those of  $C_1^2$ , and so on.

With this choice of notation, the sets of vertices of  $C_1^1$ .  $C_1^2, \ldots, C_1^{R_0}$ , respectively, are represented by the symbols  $(x_1, x_2, \dots, x_n)$  which constitute the rows of the following matrix.

|         |               |   | $m_1$ |   | ۰ | m <sub>2</sub> | m | 1 |       | α. | ) — | mRo   | -1 |                               |
|---------|---------------|---|-------|---|---|----------------|---|---|-------|----|-----|-------|----|-------------------------------|
|         | $\ \tilde{1}$ | 1 |       | 1 | õ | 0              |   | 0 | • • • | õ  | 0   |       | 0  |                               |
|         | 0             | 0 |       | 0 | 1 | 1              |   | 1 |       | 0  | 0   | • • • | 0  |                               |
|         |               |   |       |   |   |                |   |   |       |    |     |       | •  | 1.01                          |
| $H_0 =$ |               |   |       |   |   |                |   |   |       |    |     |       | •  | $  =    \eta_{ij}^{\circ} $ . |
|         |               |   |       |   |   |                |   |   |       |    |     |       |    |                               |
|         | 0             | 0 |       | 0 | 0 | 0              | · | 0 |       | 1  | 1   | •••   | 0  |                               |

For most purposes it is sufficient to limit attention to connected complexes. In such cases  $R_0 = 1$ , and  $H_0$  consists of one row all of whose elements are 1.

17. By the definition in § 5 a 0-cell is incident with a 1-cell if it is one of the ends of the 1-cell, and under the same conditions the 1-cell is incident with the 0-cell. The incidence relations between the 0-cells and 1-cells may be represented in a table or matrix of  $\alpha_0$  rows and  $\alpha_1$  columns the pairs which correspond to 1's in the same column successions.

 $a_{i}^{0}, (i = 1, 2, ..., \alpha_{0})$  and the 1-cells by  $a_{j}^{1}, (j = 1, 2, ..., \alpha_{1})$ , let the element of the ith row and the jth column of the matrix be 1 if  $a_i^0$  is incident with  $a_i^1$  and let it be 0 if  $a_i^0$  is not incident with  $a_i^1$ .

For example, the table for the linear graph of Fig. 1 formed by the vertices and edges of a tetrahedron is as follows:

|             | $a_{1}^{1}$ | $a_{2}^{1}$ | $a_{8}^{1}$ | $a_{4}^{1}$ | $a_{5}^{1}$ | $a_6^1$ |
|-------------|-------------|-------------|-------------|-------------|-------------|---------|
| $a_{1}^{0}$ | 1           | 0           | 0           | 0           | 1           | 1       |
| $a_2^0$     | 0           | 1           | 0           | 1           | 0           | 1       |
| $a_{3}^{0}$ | 0           | 0           | 1           | 1           | 1           | 0       |
| $a_4^0$     | 1           | 1           | 1           | 0           | 0           | 0       |

In the case of the complex used in § 5 to define a simple closed curve the incidence matrix is

| 1 | 1 |   |
|---|---|---|
| 1 | 1 | • |

We shall denote the element of the *i*th row and *j*th column of the matrix of incidence relations between the O-cells and 1-cells by  $\eta_{ii}^1$  and the matrix itself by

$$\|\eta_{ij}^1\|=H_1.$$

The *i*th row of  $H_1$  is the symbol for the set of all 1-cells incident with  $a_i^0$  and the *j*th column is the symbol for the set of two 0-cells incident with  $a_i^1$ .

The condition which we have imposed on the graph, that both ends of every 1-cell shall be among the  $\alpha_0$  0-cells, implies that every column of the matrix contains exactly two 1's. Conversely, any matrix whose elements are 0's and 1's and which is such that each column contains exactly two 1's and each row contains at least one 1, can be regarded as the incidence matrix of a linear graph. For to obtain such a graph it is only necessary to take  $\alpha_0$  points in a 3-space, denote them arbitrarily by  $a_1^0, a_2^0, \dots, a_{\alpha_n}^0$ , and join as follows: The 0-cells of  $C_1$  having been denoted by sively by arcs not meeting the arcs previously constructed.

[Chap.]

**§§** 18—19]

This construction also makes it evident that there is a (1-1) continuous correspondence between any two graphs corresponding to the same matrix  $H_1$ .

#### Zero-dimensional Circuits

18. A pair of 0-cells is called a 0-dimensional circuit or a 0-circuit or a 0-dimensional manifold. Any even number of 0-cells is a set of 0-circuits and the sum (mod. 2) of any number of 0-circuits is a set of 0-circuits.

If two 0-cells are the ends of an open curve on  $C_1$  (cf. § 5) they are said to *bound* the open curve and to be connected by it. Such a pair of 0-cells is called a *bounding* 0-circuit. For example, in Fig. 1,  $a_1^0$  and  $a_3^0$  bound the curve  $a_5^1$  and also bound the curve  $a_1^1 a_4^0 a_8^1$ .

19. In the symbol  $(x_1, x_2, \dots, x_{\alpha_0})$  for a bounding 0-circuit all the x's are 0 except two which correspond to a pair of vertices belonging to one of the connected complexes into which  $C_1$  falls according to § 11. This symbol must therefore satisfy the following equations.

$$(H_0) \begin{array}{cccc} x_1 & +x_2 + \cdots + x_{m_1} = 0, \\ x_{m_1 + 1} & + & \cdots + x_{m_2} = 0, \\ \vdots & \vdots & \vdots \\ x_{m_{R_0 - 1} + 1} + & \cdots + x_{m_0} = 0, \end{array}$$

in which the variables are reduced modulo 2, as explained in § 14. The matrix of these equations is  $H_0$ .

Since the symbol for any set of bounding 0-circuits is the sum (mod. 2) of the symbols for the 0-circuits of the set, it follows that any such symbol satisfies the equations  $(H_0)$ . This is also evident because in the symbol for any set of bounding 0-circuits an even number of the x's in each of these equations must be 1. Hence any such symbol satisfies  $(H_0)$ . On the other hand, the symbol for a non-bounding 0-circuit will not satisfy the equations  $(H_0)$  because the two x's which ANALYSIS SITUS.

are not zero in this symbol appear in different equations; and, in general, any set of vertices which is not a set of bounding 0-circuits will contain an odd number of vertices in some connected sub-complex of  $C_1$ , and hence its symbol will fail to satisfy these equations. Hence the set of all solutions of  $(H_0)$  is the set of all symbols for sets of bounding 0-circuits.

Since no two of these equations have a variable in common, they are linearly independent. Hence all solutions of  $(H_0)$ are linearly dependent (mod. 2) on a set of  $\alpha_0 - R_0$  linearly independent solutions.

20. Denoting the connected sub-complexes of  $C_1$  by  $C_1^1$ ,  $C_1^2, \dots, C_1^{R_0}$  as in § 16 let the notation be so assigned that  $a_1^1, \dots, a_{m_1}^1$  are the 1-cells in  $C_1^1$ ;  $a_{m_1+1}^1, \dots, a_{m_2}^1$  the 1-cells in  $C_1^2$ ; and so on. The matrix  $H_1$  then must take the form

| 1 | 0  | 0   | 0 |  |
|---|----|-----|---|--|
| 0 | II | 0   | 0 |  |
| 0 | 0  | III |   | ())))))))))))))))))))))))))))))))))))) |

where all the non-zero elements are to be found in the matrices I, II, III, etc., and I is the matrix of  $C_1^1$ , II of  $C_1^2$ , etc. This is evident because no element of one of the complexes  $C_1^i$  is incident with any element of any of the others. There are two non-zero elements in each column of  $H_1$ .

Hence if we add the rows corresponding to any of the blocks I, II, etc. the sum is zero (mod. 2), in every column. Hence the rows of  $H_1$  are connected by  $R_0$  linear relations.

Any linear combination (mod. 2) of the rows of  $H_1$  corresponds to adding a certain number of them together. If this gave zeros in all the columns it would mean that there were two or no 1's in each column of the matrix formed by the given rows, and this would mean that any 1-cell incident

with one of the 0-cells corresponding to these rows would also be incident with another such 0-cell. These 0-cells and the 1-cells incident with them would therefore form a subcomplex of  $C_1$  which was not connected with any of the remaining 0-cells and 1-cells of  $C_1$ . Hence it would consist of one or more of the complexes  $C_1^i$   $(i = 1, 2, ..., R_0)$  and the linear relations with which we started would be dependent on the  $R_0$  relations already found. Hence there are exactly  $R_0$  linearly independent' linear relations among the rows of  $H_1$ , so that if  $\varrho_1$  is the rank of  $H_1$ ,

$$\varrho_1 = \alpha_0 - R_0.$$

It follows that there is a set of  $\alpha_0 - R_0$  columns of  $H_1$  upon which all columns are linearly dependent. Since every column of  $H_1$  is a solution of  $(H_0)$  and since all solutions of  $(H_0)$  are linearly dependent on  $\alpha_0 - R_0$  linearly independent solutions, all solutions of  $(H_0)$  are linearly dependent on columns of  $H_1$ . In other words any bounding 0-circuit is the sum of some of the 0-circuits which bound the 1-cells  $a_1^1, \dots, a_{a_r}^1$ .

A linearly independent set of solutions of a set of linear equations upon which all other solutions are linearly dependent is called a *complete set* of solutions. Thus a set of  $q_1$  linearly independent columns of  $H_1$  forms a complete set of solutions of  $(H_0)$ . The corresponding set of 0-circuits is also called a *complete set*.

21. If  $R_0 = 1$  the complex  $C_1$  is connected and all its O-circuits are bounding and expressible linearly (mod. 2) in terms of  $\alpha_0 - 1$  of the O-circuits which bound 1-cells.

In case  $\mathcal{R}_0 > 1$ , a 0-circuit obtained by taking two points, one from each of a pair of the sub-complexes  $C_1^i (i = 1, 2, ..., R_0)$ is a non-bounding 0-circuit, while one obtained by taking two points from the same complex  $C_1^i$  is bounding.

If  $R_0 = 2$  any two 0-cells are both in  $C_1^1$ , or both in  $C_1^2$ , or one in  $C_1^1$  and the other in  $C_1^2$ . A pair of the last type forms a non-bounding 0-circuit and all non-bounding 0-circuits are of this type. If  $a_i^0 a_k^0$  is a 0-circuit of the last type any

#### ANALYSIS SITUS.

16

15

other non-bounding O-circuit  $a_l^0 a_m^0$  is such that one of its points, say  $a_l^0$ , is in the same connected complex with  $a_i^0$  and the other with  $a_k^0$ . Hence  $a_l^0 a_m^0$  is the sum (mod. 2) of  $a_l^0 a_k^0$ and the two bounding O-circuits  $a_l^0 a_l^0$  and  $a_k^0 a_m^0$ . Hence any non-bounding O-circuit is obtainable by adding bounding O-circuits to a fixed non-bounding O-circuit.

By a repetition of this reasoning one finds in the general case that  $R_0 - 1$  is the number of non-bounding 0-circuits which must be adjoined to the bounding ones in order to have a set in terms of which all the 0-circuits are linearly expressible (mod. 2). These  $R_0 - 1$  non-bounding 0-circuits can obviously be chosen to consist of the pairs of 0-cells,  $a_1^0$ ,  $a_i^0$   $(i = m_1 + 1, m_2 + 1, \dots, m_{R_0-1} + 1)$ .

#### **One-dimensional Circuits**

22. A connected linear graph each vertex of which is an end of two and only two 1-cells is called a *one-dimensional* circuit or a 1-circuit. By the theorems of § 5 any closed curve is decomposed by any finite set of points on it into a 1-circuit. Conversely, it is easy to see that the set of all points on a 1-circuit is a simple closed curve. It is obvious, further, that any linear graph, such that each vertex is an end of two and only two 1-cells is either a 1-circuit or a set of 1-circuits no two of which have a point in common.

Consider a linear graph  $C_1$  such that each vertex is an end of an even number of edges. Let us trace a path on  $C_1$  starting at a 0-cell and not covering any 1-cell more than once. As a result of the hypothesis, we must eventually reach some 0-cell for the second time, hence have traced a 1-circuit. We remove this 1-circuit and replace the necessary 0-cells. Since the resulting complex has the property originally assumed for  $C_1$ , it follows that we can repeat the process till there is nothing left. Hence  $C_1$  consists of a number of 1-circuits which have only a finite number of 0-cells in common. It is obvious that a linear graph composed of a number of closed curves having only a finite number of points in common 17

18

has an even number of 1-cells incident with each vertex. Hence a necessary and sufficient condition that  $C_1$  consist of a number of 1-circuits having only 0-cells in common is that each 0-cell of  $C_1$  be incident with an even number of 1-cells. A set of 1-circuits having only 0-cells in common will be referred to briefly as a set of 1-circuits.

23. The sum of the symbols  $(x_1, x_2, \dots, x_{\alpha_0})$  for the 0-circuits which bound the 1-cells of a 1-circuit is  $(0, 0, \dots, 0)$  because each 0-cell appears in two' and only two of these 0-circuits. Hence any 1-circuit or set of 1-circuits determines a linear relation, modulo 2, among the bounding 0-circuits.

Conversely, any linear relation among the 0-circuits which bound 1-cells of a complex determines a 1-circuit or set of 1-circuits. For if the sum of a set of 0-circuits reduces to (0, 0, ..., 0) each 0-cell must enter in an even number of 0-circuits, i. e., as an end of an even number of 1-cells.

24. Let us now inquire under what circumstances a symbol  $(x_1, x_2, \dots, x_{\alpha_1})$  for a one-dimensional complex contained in  $C_1$  will represent a 1-circuit or a system of 1-circuits. Consider the sum

 $\eta_{i_1}^1 x_1 + \eta_{i_2}^1 x_2 + \dots + \eta_{i_{\alpha_1}}^1 x_{\alpha_1}$ 

where the coefficients  $\eta_{ij}^1$  are the elements of the ith row of  $H_1$ . Each term  $\eta_{ij}^1 x_j$  of this sum is 0 if  $a_j^1$  is not in the set of 1-cells represented by  $(x_1, x_2, \dots, x_{\alpha_i})$  because in this case  $x_j = 0$ ; it is also zero if  $a_j^1$  is not incident with  $a_i^0$  because  $\eta_{ij}^1 = 0$  in this case. The term  $\eta_{ij}^1 x_j = 1$  if  $a_j^1$  is incident with  $a_i^0$  and in the set represented by  $(x_1, x_2, \dots, x_{\alpha_i})$ because in this case  $\eta_{ij}^1 = 1$  and  $x_j = 1$ . Hence there are as many non-zero terms in the sum as there are 1-cells represented by  $(x_1, x_2, \dots, x_{\alpha_i})$  which are incident with  $a_i^0$ . Hence by § 22 the required condition is that the number of non-zero terms in the sum must be even. In other words if the x's and  $\eta_{ij}^1$ 's are reduced modulo 2 as explained in § 14 we must have

$$(II_1) \qquad \qquad \sum_{j=1}^{\alpha_1} \eta_{ij}^1 x_j = 0 \quad (i = 1, 2, ..., \alpha_0)$$

if and only if  $(x_1, x_2, \dots, x_{\alpha_1})$  represents a 1-circuit or set of 1-circuits. The matrix of this set of equations (or congruences, mod. 2) is  $H_1$ .

25. If the rank of the matrix  $H_1$  of the equations  $(H_1)$  be  $q_1$  the theory of linear homogeneous equations (congruences, mod. 2) tells us that there is a set of  $\alpha_1 - q_1$  linearly independent solutions of  $(H_1)$  upon which all other solutions are linearly dependent. This means geometrically that there exists a set of  $\alpha_1 - q_1$  1-circuits or systems of 1-circuits from which all others can be obtained by repeated applications of the operation of adding (mod. 2) described in § 14. We shall call this a complete set of 1-circuits or systems of 1-circuits.

Since  $q_1 = \alpha_0 - R_0$  (§ 20), the number of solutions of  $(H_1)$  in a complete set is

$$\mu = \alpha_1 - \alpha_0 + R_0,$$

where  $\mu$  is the cyclomatic number defined in § 13. For the sake of uniformity with a notation used later on we shall also denote  $\mu$  by  $R_1 - 1$ . Thus we have

$$\alpha_0 - \alpha_1 = 1 + R_0 - R_1.$$

## Trees

26. A connected linear graph which contains no 1-circuits is called a *tree*. As a corollary of the last section it follows that a linear graph is a set of  $R_0$  trees if and only if  $\mu = 0$ . Any connected linear graph  $C_1$  can be reduced to a tree by removing  $\mu$  properly chosen 1-cells. For let  $a_p^1(p = i_1, i_2, \dots, i_{q_1})$  be a set of 1-cells whose boundaries form a complete set of 0-circuits (§ 20). The remaining 1-cells of  $C_1$  are  $\mu$  in number and will be denoted by  $a_p^1(p = j_1, j_2, \dots, j_{\mu})$ . If these  $\mu$  1-cells are removed from  $C_1$  the linear graph  $T_1$  which remains is connected because every bounding 0-circuit of  $C_1$  is linearly expressible in terms of the boundaries of the 1-cells  $a_p^1(p = i_1, i_2, \dots, i_{q_1})$  of  $T_1$  and hence any two 0-cells of  $C_1$  are joined by a curve composed of 1-cells of  $T_1$ . But since the cyclomatic number of  $C_1$  is  $\mu = a_1 - a_0 + 1$ ,

(Chap. I

19 20

#### ANALYSIS SITUS.

(Chap.

the removal of  $\mu$  1-cells reduces it to 0 and hence reduces C, to a tree. In like manner, if  $C_1$  is a linear graph for which  $R_0 > 1$ , it can be reduced to  $R_0$  trees by removing  $\mu = \alpha_1 - \alpha_0 + R_0$  properly chosen 1-cells.

27. There is at least one 1-circuit of  $C_1$  which contains the 1-cell  $a_i^1$ , for otherwise  $C_i$  would be separated into two complexes by removing this 1-cell. Call such a 1-circuit  $C_1^1$ . In the complex obtained by removing  $a_{j_i}^t$  from  $C_t$  there is, for the same reason, a 1-circuit  $C_1^2$  which contains  $a_{j_1}^1$ , and so on. Thus there is a set of 1-circuits  $C_1^1, C_1^2, \dots, C_l^n$  such that  $C_1^p$   $(p = 1, 2, ..., \mu)$  contains  $a_{j_n}^1$ . These 1-circuits are linearly independent because  $C_1^{k-1}$  contains a 1-cell,  $a_{j_{k-1}}^1$ , which does not appear in any of the circuits  $C_1^{\mu}, C_1^{\mu-1}, \dots, C_1^k$  and therefore cannot be linearly dependent on them. Hence  $C_1^1, C_1^2, \dots, C_1^{\mu}$ constitute a complete set of 1-circuits. This sharpens the theorem of § 25 a little in that it establishes that there is a complete set of solutions of  $(H_1)$  each of which represents a single 1-circuit.

# Geometric Interpretation of Matrix Products

28. According to the definition of multiplication of matrices,

if and only if

$$\sum_{i=1}^{\beta} a_{ij} b_{jk} = c_{ik},$$

 $\|a_{ij}\| \cdot \|b_{jk}\| = \|c_{ik}\|$ 

 $\beta$  being the number of columns in  $||a_{ij}||$  and the number of is 1 or 0 according as the corresponding 1-cell is or is not rows in  $\|b_{jk}\|$ .

matrix equation,

$$H_{0} \cdot \begin{vmatrix} x_{1} \\ x_{2} \\ \vdots \\ \vdots \\ x_{n_{0}} \end{vmatrix} = \begin{vmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{vmatrix},$$

in which the matrix on the right has one column containing  $R_0$  zeros.

Since each column of the matrix  $H_1$  is the symbol (as defined in § 14) for a bounding 0-circuit, (i. e., the *i*th column is the symbol for the 0-circuit which bounds  $a_1^{\dagger}$  any column of  $H_1$ is a solution  $(x_1, x_2, \dots, x_{\alpha_0})$  of the set of equations  $(H_0)$ . By the remark above we may express this result in the form.

$$H_0 \cdot H_1 = 0,$$

where 0 is the symbol for a matrix all of whose elements are zero.

29. By the boundary of a one-dimensional complex is meant the set of 0-cells each of which is incident with an odd number of 1-cells of the complex. So, for example, a 1-circuit is a linear graph which has no boundary.

From the definition ( $\S$  14) of addition (mod. 2) of sets of points it is clear that the sum of the boundaries of two 1-cells is the boundary of the complex consisting of the two 1-cells and their ends. By repeated application of this reasoning we prove that the boundary of any one-dimensional complex is an even number of 0-cells, i. e., a number of 0-circuits.

Now consider a one-dimensional complex  $C'_1$  represented by the symbol  $(x_1, x_2, \dots, x_{\alpha_i})$  for its 1-cells. According to the reasoning in § 24 each term of

 $\eta_{i1}^1 x_1 + \eta_{i2}^1 x_2 + \cdots + \eta_{i\alpha_i}^1 x_{\alpha_i}$ 

both in  $C'_1$  and incident with  $a^0_i$ . Hence this expression is Hence, the equations  $(H_0)$  of § 19 are equivalent to the 1 or 0 (mod. 2) according as  $a_i^0$  is or is not a boundary point of  $C'_1$ . Hence if we set

 $\eta_{i1}^{1} x_{1} + \eta_{i2}^{1} x_{2} + \dots + \eta_{i\alpha}^{1} x_{\alpha} = y_{i} \quad (i = 1, 2, \dots, \alpha_{0})$ 

the symbol  $(y_1, y_2, \ldots, y_{\alpha_0})$  thus determined represents the set of points which bounds  $C'_1$ .

Recalling the rule for multiplying matrices, we see that this result may be stated as follows:

$$H_1 \cdot \begin{vmatrix} x_1 \\ x_2 \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ x_{\alpha_1} \end{vmatrix} = \begin{vmatrix} y_1 \\ y_2 \\ \cdot \\ \cdot \\ \cdot \\ y_{\alpha_0} \end{vmatrix}$$

if and only if  $(y_1, y_2, \dots, y_{\alpha_0})$  denotes the set of points which where bounds the complex denoted by  $(x_1, x_2, \dots, x_{\alpha_1})$ .

# Reduction of $H_0$ and $H_1$ to Normal Form

30. Let us define two matrices  $B_0$  and  $B_1$  as follows:

 $B_0$  is a matrix of  $\alpha_0$  rows and  $\alpha_0$  columns of which the first column is the symbol for  $a_0^1$ , the next  $R_0 - 1$  columns are the symbols for the non-bounding 0-circuits enumerated at the end of § 21, and the last  $\alpha_0 - R_0$  columns are the symbols for the boundaries of the 1-cells  $a_j^1 (j = i_1, i_2, \dots, i_{\ell_1})$  of the trees of § 26.

 $B_1$  is a matrix of  $\alpha_1$  rows and  $\alpha_1$  columns of which the first  $\varrho_1$  columns are the symbols for  $a_j^1$   $(j = i_1, i_2, \dots, i_{\varrho_1})$ , and the last  $\alpha_1 - \varrho_1$  columns are the symbols for the 1-circuits  $C_1^1, C_1^2, \dots, C_1^{\mu}$ .

The determinants of these two matrices are evidently 1 (mod. 2) because the columns of  $B_0$  represent a linearly independent set of 0-dimensional complexes and the columns of  $B_1$  a linearly independent set of 1-dimensional complexes.

The matrix  $B_0$  has the properties: (1) all bounding 0-circuits are linearly dependent (mod. 2) upon the 0-circuits represented by its last  $\varrho_1$  columns; (2) all non-bounding 0-circuits are linearly dependent on its last  $\alpha_0 - 1$  columns; (3) all sets of 0-cells are linearly dependent on all its columns.

The matrix  $B_1$  has the properties: (1) all 1-circuits are linearly dependent upon the 1-circuits represented by its last  $\mu$  columns and (2) all sets of 1-cells are linearly dependent on all its columns.

31. From § 29 and the definition of  $B_1$  it is clear that the first  $q_1$  columns of the product  $H_1 \cdot B_1$  must be the symbols

#### ANALYSIS SITUS.

22

(1)

(2)

21

for the boundaries of the 1-cells represented by the first  $\varrho_1$  columns of  $B_1$ . Hence the first  $\varrho_1$  columns of the product  $H_1 \cdot B_1$  are the same as the last  $\varrho_1$  columns of  $B_0$ . The remaining columns of  $H_1 \cdot B_1$  must be composed entirely of zeros since the remaining columns of  $B_1$  represent 1-circuits. Hence

$$H_1 \cdot B_1 = A_0 \cdot H_1^*,$$

|             | 1   | 0 | • • • | 0 | 0 |     | 0 | l |
|-------------|-----|---|-------|---|---|-----|---|---|
|             | 0   | 1 | • • • | 0 | 0 | ••• | 0 |   |
|             | · • | • |       | • |   |     |   |   |
|             |     | ٠ |       | ٠ | • |     | • |   |
| <b>**</b> * | •   |   |       | • | ٠ |     | • |   |
| $H_1 =$     | 0   | 0 |       | 1 | 0 | ••• | 0 |   |
|             | 1   |   |       |   | • |     | • |   |
|             |     | • |       |   |   |     | • |   |
|             | •   | • |       |   |   |     |   |   |
|             | 0   | 0 |       | 0 | 0 |     | 0 |   |

is a matrix of  $\alpha_0$  rows and  $\alpha_1$  columns of which all elements are O's except the first  $\varrho_1$  elements of the main diagonal, and  $A_0$  is a matrix of  $\alpha_0$  rows and  $\alpha_0$  columns whose first  $\varrho_1 = \alpha_0 - R_0$  columns are identical with the last  $\varrho_1$  columns of  $B_0$  and whose last  $R_0$  columns are identical with the first  $R_0$  columns of  $B_0$ . Since the determinant of  $B_0$  is 1, the determinant of  $A_0$  is 1. Hence (1) may be written

$$A_0^{-1} \cdot H_1 \cdot B_1 = H_1^*.$$

From the point of view of the algebra of matrices (mod. 2) the determination of the two matrices  $A_0^{-1}$  and  $B_1$  is the solution of the problem of reducing  $H_1$  to its normal or unitary form,  $H_1^*$ . Geometrically (cf. § 30) these matrices may be regarded as summarizing the theory of circuits in a linear graph. It will be found that this geometrical significance of the reduction of  $H_1$  to its normal form generalizes to *n* dimensions. For the sake of completeness we shall also carry out the analogous reduction of  $H_0$ .

32. From § 28 and the definition of  $B_0$  it is clear that

1) 
$$H_0 \cdot B_0 = \begin{vmatrix} 1 & 1 & 1 & 1 & \cdots & 1 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 1 & \cdots & 0 & 0 \\ 0 & 0 & 0 & \cdots & 1 & 0 & \cdots & 0 \end{vmatrix}$$

the right-hand member of this equation being a matrix of  $R_0$  rows and  $\alpha_0$  columns. Each of the first  $R_0$  columns of this matrix contains a 1 for each of the complexes  $C_1^i$  $(i = 1, 2, \dots, R_0)$  which contains a 0-cell of the set represented by the corresponding columns of  $B_0$ . The last  $\alpha_0 - R_0$  columns contain nothing but 0's because the last  $\alpha_0 - R_0$  columns of  $B_0$  represent bounding 0-circuits. This equation may also be written in the form

$$H_0 \cdot B_0 = A \cdot H_0^*$$

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in which A is a square matrix of  $R_0$  columns identical with the first  $R_0$  columns of  $H_0 \cdot B_0$  and  $H_0^*$  is a matrix of  $R_0$  rows and a columns all elements of which are 0 except the  $R_0$  elements of the main diagonal, which are all 1.

The determinant of the matrix A is unity and A therefore has a unique inverse  $A^{-1}$ . Hence (2) becomes

$$(3) A^{-1} \cdot H_0 \cdot B_0 =: H_0^*.$$

Thus  $A^{-1}$  and  $B_0$  are a pair of matrices by means of which  $H_0$  is transformed to the normal form  $H_0^*$ .

#### **Oriented** Cells

33. We turn now to the notion of "orientation" or "sense of description" of a complex. The definitions adopted will doubtless seem very artificial, but this is bound to be the case in defining any idea so intuitionally elemental as that of "sense."

A 0-cell associated with the number +1 or -1 shall be called an oriented 0-cell or oriented point.\* In the first case

\* In analytic applications the number  $\pm$  1 associated with a point is usually determined by the sign of a functional determinant.

## 24

23

the oriented 0-cell is said to be positively oriented and in the second case it is said to be negatively oriented; the two oriented points are called negatives of each other. A set of oriented O-cells is called an oriented O-dimensional complex.

A pair of oriented 0-cells, formed by associating one point of a 0-circuit with +1 and the other with -1 shall be called an oriented O-circuit or an oriented O-dimensional manifold. If a O-circuit is bounding, ony oriented O-circuit formed from it is also said to be bounding.

34. The ends  $a_1^0$ ,  $a_2^0$  of a 1-cell  $a^1$  when associated each with +1 determine two oriented 0-cells which may be called  $\sigma_1^0$  and  $\sigma_2^0$  respectively. Therefore the ends of  $a^1$  determine two oriented 0-circuits, namely  $\sigma_1^0, -\sigma_2^0$  and  $-\sigma_1^0, \sigma_2^0$ . The object formed by associating  $a^{1}$  with either of these 0-circuits is called an oriented 1-cell.

The oriented 1-cell  $\sigma^1$  formed by associating  $a^1$  with  $\sigma_1^0, -\sigma_2^0$ is said to be positively related to  $\sigma_1^0$  and  $-\sigma_2^0$  and negatively related to  $-\sigma_1^0$  and  $\sigma_2^0$ . An oriented 0-cell is said to be positively or negatively related to an oriented 1-cell according as the 1-cell is positively or negatively related to it.

The point  $a_1^0$  is called the *terminal point* and  $a_2^0$  the *initial* point of the oriented 1-cell  $\sigma^1$  formed by associating  $a^1$  with  $\sigma_1^0, -\sigma_2^0$ . In diagrams it is convenient to denote an oriented 1-cell by marking it with an arrow pointing from the initial point to the terminal point.

In the following sections we shall denote the oriented 0-cells obtained by associating each of the 0-cells  $a_1^0, a_2^0, \dots, a_{\alpha_n}^0$  of a complex  $C_1$  with +1, by  $\sigma_1^0, \sigma_2^0, \ldots, \sigma_{\alpha_0}^0$  respectively. We shall also denote an arbitrary one of the two oriented 1-cells which can be formed from  $a_i^1$   $(i = 1, 2, \dots, \alpha_1)$  by  $\sigma_i^1$ . Any set of oriented 1-cells will be called an oriented one-dimensional complex. Thus any linear graph can be converted into an oriented complex in  $2^{\alpha_1}$  ways.

35. The cells of a 1-circuit, when oriented by the process described above, give rise to a sequence of oriented 0-cells and 1-cells.

#### ANALYSIS SITUS.

§§ 87-40]

#### LINEAR GRAPHS.

For example, the vertices and edges of the tetrahedron in Fig. 1 when oriented as indicated by the arrows constitute an oriented complex represented by the following matrix:

|       |   | -1 | 0 | 0 | 0                       | 1 | 1  |  |
|-------|---|----|---|---|-------------------------|---|----|--|
|       |   | 0  | 1 | 0 | 1                       | 0 | -1 |  |
| $E_1$ | = | 0  | 0 | 1 | $-\tilde{\mathfrak{l}}$ | 1 | 0  |  |
|       | 1 | 1  | 1 | 1 | 0                       | 0 | 0  |  |

39. Each column of the matrix  $E_1$  is the symbol (§ 37) for a bounding oriented 0-circuit and hence is a solution of the set of equations ( $E_0$ ). In the notation of matrices, this means

(1)

 $E_0\cdot E_1=0.$ 

The matrix  $E_1$  falls into a set of matrices I, II, III, etc. corresponding to those into which  $H_1$  is decomposed in § 20. The sum of the rows of any one of these matrices I, II, III is zero because each column has one +1 and one -1. On the other hand the rows of such a matrix, say I, cannot be subject to any other linear relation because one of the variables could be eliminated between this relation and the one which states that the sum of the rows is zero, and the resulting relation, after its coefficients were divided by their H. C. F. and then reduced modulo 2, would give a linear relation among the rows of  $H_1$  of a type which has been shown in § 20 to be non-existent. Hence the rows of  $E_1$ are subject to  $R_0$  linearly independent linear relations. Hence if  $r_1$  denote the rank of  $E_1$ ,

$$r_1 = \varrho_1 = \alpha_0 - R_0.$$

40. The form of the matrices  $E_0$  and  $E_1$  has been limited somewhat by the convention that  $\sigma_1^0, \sigma_2^0, \ldots, \sigma_{\sigma_0}^0$  denote 0-cells each associated with +1. If we interchange the significance of  $\sigma_i^0$  and  $-\sigma_i^0$ , so that  $\sigma_i^0$  represents  $a_i^0$  associated with -1. it is necessary to change the 1 in the *i*th column of  $E_0$  to -1 and to make corresponding changes in the columns of  $E_1$ . The rest of the discussion on this slightly more general foundation does not differ in essentials from that already given.

## **Oriented 1-Circuits**

41. Every oriented 1-circuit corresponds to a linear relation among the oriented 0-circuits which bound the oriented 1-cells of which it is composed, for if a given oriented 0-cell is positively related to one such oriented 1-cell, its negative is, by the terms of the definition, positively related to another oriented 1-cell of the oriented 1-circuit. Conversely any linear relation among the bounding 0-circuits determines an oriented 1-circuit or set of oriented 1-circuits. All this is analogous to  $\S 23$ . Taken with  $\S 39$  it establishes that the number of linearly independent linear relations among bounding oriented 0-circuits is the same as among bounding 0-circuits when reduced modulo 2.

42. Any set of oriented 1-cells of a complex  $C_1$  may be denoted by  $(x_1, x_2, \dots, x_{\alpha_1})$  where  $x_i = 1$  if  $\sigma_i^1$  is in the set,  $x_i = -1$  if  $-\sigma_i^1$  is in the set, and  $x_i = 0$  if neither  $\sigma_i^1$  nor  $-\sigma_i^1$  is in it. A necessary and sufficient condition that such a symbol represent an oriented 1-circuit or set of oriented 1-circuits is that it satisfy the system of equations,

) 
$$\sum_{j=1}^{n_1} \epsilon_{ij}^1 x_j = 0 \qquad (i = 1, 2, ..., a_0),$$

the matrix of which is  $E_1$ . For in this set, the equation,

(1) 
$$\epsilon_{i_1}^1 x_1 + \epsilon_{i_2}^1 x_2 + \dots + \epsilon_{i_{\alpha_1}}^1 x_{\alpha_1} = 0$$

corresponds to the oriented 0-cell  $\sigma_i^0$ . A term  $\epsilon_{ij}^1 x_j$  of the left member is zero if  $\epsilon_{ij}^1 = 0$  or if  $x_j = 0$ , that is, if  $\sigma_i^1$  is not an end of  $\sigma_j^1$  or if the set of oriented 1-cells does not contain  $\pm \sigma_j^1$ . The term  $\epsilon_{ij}^1 x_j$  is + 1 if  $\epsilon_{ij}^1$  and  $x_j$  are of the same sign, that is if the set of oriented 1-cells contains  $\sigma_j^1$  and the latter is positively related to  $\sigma_i^0$  or if it contains  $-\sigma_j^1$  and  $-\sigma_j^1$  is positively related to  $\sigma_i^0$ ; hence there are as many + 1terms in the left member of (1) as there are oriented 1-cells

[Chap. I

27

28

**\$\$ 41---43**]

in the set  $(x_1, x_2, \dots, x_{\alpha_1})$  which are positively related to  $\sigma_i^0$ . In like manner there are as many —1 terms as there are oriented 1-cells in the set which are negatively related to  $\sigma_i^0$ . Hence the left-hand member of (1) is the difference between the number of oriented 1-cells in the set which are positively related to  $\sigma_i^0$  and the number which are negatively related to  $\sigma_i^0$ . Hence an oriented 1-circuit satisfies the equations  $(E_1)$ , and any solution of  $(E_1)$  of the kind in question must represent an oriented 1-circuit or a set of oriented 1-circuits.

Since the number of variables  $x_j$  in the equations  $(E_1)$  is  $\alpha_1$ and the rank of the matrix of coefficients is  $\alpha_0 - R_0$  (cf. § 39) the number of solutions in a set on which all others are linearly dependent is  $\mu$  where

$$\mu = \alpha_1 - \alpha_0 + R_0.$$

Such a set is obviously obtained by converting the  $\mu$  1-circuits of § 27 into oriented 1-circuits. The symbols  $(x_1, x_2, \dots, x_{\alpha_1})$ for these 1-circuits are linearly independent solutions of  $(E_1)$ in which the x's are 0 or  $\pm 1$ .

It is obvious that the equations  $(E_1)$  have solutions in which the x's are integers different from 0 and  $\pm 1$ . In order to interpret these solutions we shall return to the notion of a singular complex on  $C_1$  (§ 8).

#### Symbols for Oriented Complexes

43. If a 0-cell  $\overline{a}^{0}$  on  $C_{1}$  (in the sense of § 7) is associated with +1 or -1 the resulting oriented 0-cell  $\overline{\sigma}^{0}$  is said to be on  $C_{1}$ , and if  $\overline{a}^{0}$  coincides with a 0-cell  $a_{i}^{0}$  of  $C_{1}$ ,  $\overline{\sigma}^{0}$  is said to coincide with  $\sigma_{i}^{0}$  or  $-\sigma_{i}^{0}$  according as  $\overline{\sigma}^{0}$  is positively or negatively oriented.

Let  $C'_1$  be any linear graph on  $C_1$  such that each 1-cell of  $C'_1$  covers a 1-cell of  $C_1$  just once (cf. § 9). If the cells of both complexes are oriented, an oriented 1-cell  $\sigma_p^1$  of  $C'_1$ will be said to *coincide* with an oriented 1-cell  $\sigma_q^1$  of  $C_1$  if and only if (1) each point of  $\sigma_p^1$  coincides with a point of  $\sigma_{\mu}^1$  and (2) each oriented 0-cell of  $C'_1$  is positively or negatively related to  $\sigma_p^1$  according as it coincides with an oriented 0-cell of  $C_1$  which is positively or negatively related to  $\sigma_q^1$ .

44. A symbol  $(x_1, x_2, \dots, x_{\alpha_i})$  in which the x's are positive or negative integers or 0 will be taken to represent a set of oriented *i*-cells (i = 0 or 1) on  $C_1$  in which (1) if  $x_j(j = 1, 2, \dots, \alpha_i)$  is positive there are  $x_j$  oriented *i*-cells coinciding with  $\sigma_j^i$ , (2) if  $x_j$  is negative there are  $-x_j$  oriented *i*-cells coinciding with  $-\sigma_j^i$ , and (3) if  $x_j = 0$  there are no oriented *i*-cells coinciding with  $\sigma_j^i$  or  $-\sigma_j^i$ .

The object obtained by assigning orientations to the 1-cells of a complex is called an oriented 1-dimensional complex. A singular oriented complex is defined in similar manner. A singular oriented complex whose cells coincide with cells of  $C_1$  determines a symbol  $(x_1, x_2, \dots, x_{\alpha_1})$ . Conversely, any such symbol determines at least one oriented complex having that symbol for its oriented 1-cells. This complex can in general be constructed in a variety of ways, depending on how we join the 1-cells by 0-cells.

In case the numbers  $x_i (j = 1, 2, ..., \alpha_i; i = 0, 1)$ , have a common factor different from unity, i. e., in case

$$(x_1, x_2, \cdots, x_{\alpha}) = (z_1 d, z_2 d, \cdots, z_{\alpha} d),$$

any oriented complex whose symbol is  $(z_1, z_2, \dots, z_{\alpha_i})$  is said to be covered d times by a complex with symbol  $(x_1, x_2, \dots, x_{\alpha_i})$ formed by orienting the cells of a complex covering  $(z_1, z_2, \dots, z_{\alpha_i})$ d times in the sense of § 9.

45. If  $(x_1, x_2, ..., x_{\alpha_i})$  and  $(y_1, y_2, ..., y_{\alpha_i})$  are symbols for two sets of oriented *i*-cells (i = 0, 1), the symbol  $(x_1 + y_1, x_2 + y_2, ..., x_{\alpha_i} + y_{\alpha_i})$  is called the *sum* of the two symbols and the set of oriented *i*-cells which it represents is called the *sum* of the two sets of oriented *i*-cells.

Given two (singular) oriented complexes, any oriented complex whose symbol is the sum of the symbols of the given complexes is called a *sum* of those complexes.

For example, in Fig. 1 the oriented 1-circuit composed of  $a_4^1, \sigma_5^1, \sigma_6^1$  may be denoted by (0, 0, 0, 1, 1, 1) and the oriented 1-circuit composed of  $a_2^1, a_4^1, \dots, a_3^n$  may be denoted by (0, 1, 1, 1)

§§ 44-46]

-1, 1, 0, 0). Their sum is (0, 1, -1, 2, 1, 1). If each of  $\sigma_2^1, \sigma_4^1$  and  $-\sigma_8^1$  be replaced by its negative the sum becomes (0, -1, 1, 0, 1, 1). In the first case the sum determines a pair of oriented 1-circuits,  $\sigma_4^1$  appearing once in each; in the second case the sum determines a single oriented 1-circuit.

It can be proved by an argument analogous to that used in § 22 that any solution of the equations  $(E_1)$  represents a set of oriented 1-circuits, two ore more of which may have a given oriented 1-cell in common.

46. By the *boundary* of an oriented 1-cell is meant the pair of oriented points which are positively related to it. By the *boundary* of any oriented one-dimensional complex is meant the sum of the boundaries of the oriented 1-cells composing it.

From this definition it follows directly that an oriented 1-circuit has no boundary and that any set of oriented 1-cells without a boundary may be regarded as a set of 1-circuits. If  $(x_1, x_2, \dots, x_{\alpha_1})$  is the symbol for a single oriented 1-cell, it is obvious from the reasoning used in § 42 that  $(y_1, y_2, \dots, y_{\alpha_0})$ is the symbol for its boundary if and only if

(1)

 $E_1 \cdot \begin{vmatrix} x_1 & y_1 \\ x_2 & y_2 \\ \vdots & \vdots \\ x_{lpha_1} & y_{lpha_0} \end{vmatrix}$ 

But the most general symbol  $(x_1, x_2, \dots, x_{\alpha_1})$  in which the x's are integers or zero can be expressed as a sum of symbols for oriented 1-cells, and by the algebraic properties of matrices.

(2) 
$$E_1 \cdot \begin{vmatrix} x_1 + x'_1 \\ x_2 + x'_2 \\ \vdots \\ x_{\alpha_1} + x'_{\alpha_1} \end{vmatrix} = E_1 \cdot \begin{vmatrix} x_1 \\ x_2 \\ \vdots \\ x_{\alpha_1} \end{vmatrix} + E_1 \cdot \begin{vmatrix} x'_1 \\ x'_2 \\ \vdots \\ \vdots \\ x'_{\alpha_1} \end{vmatrix}$$

ANALYSIS SITUS.

32

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31

(Chap. I

Hence in the general case,  $(y_1, y_2, \dots, y_{\alpha_0})$  is the symbol for the boundary of  $(x_1, x_2, \dots, x_{\alpha_1})$  if and only if (1) is satisfied.

#### Normal Form for $E_0$

47. All columns, except the first one, of the matrix  $B_0$ which appeared (§ 32) in the reduction of  $H_0$  to normal form are symbols for 0-circuits. Hence by changing one of the 1's in each column after the first column to -1,  $B_0$  is converted into a matrix,  $D_0$ , of which the first column represents the oriented 0-cell  $\sigma_1^0$ , the next  $R_0-1$  columns represent linearly independent non-bounding oriented 0-circuits, and the last  $\alpha_0 - R_0$  columns represent linearly independent bounding oriented 0-circuits. The product  $E_0 \cdot D_0$  is clearly obtained from  $H_0 \cdot B_0$  by changing one 1 to -1 in each column from the second to the  $R_0$ th. Hence

$$E_0 \cdot D_0 = C \cdot E_0^*,$$

where  $E_0^*$  is the same as  $H_0^*$  and C is obtained from A by changing one 1 into -1 in each column except the first. The determinant of C is  $\pm 1$ . Hence there exists a matrix  $C^{-1}$ whose elements are integers and (1) can be written in the form

$$C^{-1} \cdot E_0 \cdot D_0^{\prime} = E_0^*$$

The reduction of  $E_0$  to normal form, therefore, is completely parallel to the corresponding reduction of  $H_0$ .

#### Matrices of Integers

48. The reduction of  $E_1$  to normal form can be obtained directly from the general theory of matrices whose elements are integers.\* The fundamental theorem of this theory is that for any matrix E of  $\alpha_1$  rows and  $\alpha_2$  columns whose elements are integers there exist two square matrices C and

\* The part of this theory which is needed for our purposes is the subject of an expository article (Appendix II) by P. Franklin and the author in the Annals of Mathematics, Vol. 23 (1921), pp. 1-15.

#### §§ 47---49]

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ANALYSIS SITUS.

D of  $\alpha_1$  rows and  $\alpha_2$  rows respectively, each of determinant  $\pm 1$ , such that

 $C \cdot E \cdot D = E^*$ 

where  $E^*$  is a matrix of  $\alpha_1$  rows and  $\alpha_2$  columns

|    | $\  d_1$ | 0     |     | 0     | •••   | 0       |  |
|----|----------|-------|-----|-------|-------|---------|--|
|    | 0        | $d_2$ |     | 0     | •••   | 0       |  |
|    | •        | •     |     |       |       | · •     |  |
| ļ  |          | •     |     |       |       |         |  |
| F* |          | •     |     | •     |       | - e - j |  |
|    | 0        | 0     | ••• | $d_r$ | • • • | 0       |  |
|    |          |       |     | æ     |       | •       |  |
|    | •        |       |     |       |       | •       |  |
|    |          | 22    |     |       |       |         |  |
|    | 0        | 0     | ••• | 0     | •••   | 0       |  |

in which  $d_1$  is the highest common factor of all the elements of E,  $d_1 d_2$  the H.C.F. of all the two-rowed determinants which can be found by removing rows and columns from E, and finally,  $d_1 d_2 \cdots d_r$  the H.C.F. of all the *r*-rowed determinants which can be formed from E. The number  $d_1$  is the H.C.F. of all the numbers  $d_1 d_2 d_3 \cdots d_r$ ,  $d_2$  is the H.C.F. of  $d_2, d_3, \cdots, d_r$ , etc.

The numbers  $d_1, d_1, \dots, d_r$  are called the *invariant factors*, or the *elementary divisors* of the matrix E. They are invariants in the sense that if E is multiplied on the left by a square matrix of  $\alpha_1$  rows and determinant  $\pm 1$  and on the right by any square matrix of  $\alpha_2$  rows and determinant  $\pm 1$ , the resulting matrix will be such that the H.C.F. of all the *k*-rowed determinants which can be formed from it is  $d_1 \cdot d_2 \cdot \dots, d_k$   $(k = 1, 2, \dots, r)$ .

If all elements be reduced modulo 2, E reduces to a matrix Hall of whose elements are 0 or 1. The equation (1) reduces to an equation like (2) of § 31. The rank of E differs from the rank of H by the number of d's which contain 2 as a factor.

#### Normal Form for $E_1$

49. Suppose we apply the theory just described to the reduction to normal form of the matrix  $E_1$ , with the reduction represented by the equation

.

 $C_0^{-1}\cdot E_1\cdot D_1 = E_1^*.$ 

From this we get the following result: There exists a complete set of sets of 1-circuits, that is, one such that an arbitrary set of 1-circuits is a linear combination, with integral coefficients, of its members. To prove this, we first obtain from (1) the relation,

$$E_1 \cdot D_1 = C_0 \cdot E_1^*$$

Since the last  $\alpha_1 - r_1$  columns of  $E_1^*$  are composed of zeros, the last  $\alpha_1 - r_1$  columns of  $D_1$  represent sets of 1-circuits. which must be independent, since the determinant of  $D_1$  is not zero. Since the determinant of  $D_1$  is actually  $\pm 1$ , an arbitrary set of 1-circuits must be uniquely expressible as a linear combination, with integral coefficients, of the complexes represented by the columns of  $D_1$ . If this linear combination actually involved any of the first  $r_1$  columns. the combination of the latter columns in question must represent a set of 1-circuits, and also be independent of the last  $\alpha_1 - r_1$  columns, as all the columns of  $D_1$  are linearly independent. Hence the equations  $(E_1)$  would have more than  $\alpha_1 - r_1$  linearly independent solutions, which we know is not the case. Therefore the linear combination in question cannot involve any of the first  $r_1$  columns, and we conclude that the last  $\alpha_1 - r_1$  columns represent a complete set of sets of 1-circuits.

50. We shall now outline a proof of the fact that the invariant factors of  $E_1$  are all  $\pm 1$ . Suppose a certain invariant factor, say the *j*th, had a value greater than 1, say *d*. Then from (2) it follows that the *j*th column of  $C_0$  would represent a set of 0-circuits which, taken *d* times, would bound a 1-dimensional complex represented by the *j*th column of  $D_1$ . When we go into the subject more deeply, in the general case (Chap. IV, § 30), we shall prove that under these conditions the set of 0-circuits in question could not bound when taken a smaller positive number of times than *d*. But it is not difficult to prove that if a set of

[Chap. ]

§§ 50-51]

35

O-circuits bounds when taken d times, d positive, then it also bounds when taken once. Hence the existence of the invariant factor d would lead us to a contradiction; and it follows that all the invariant factors of  $E_1$  are 1.

51. In view of the general theory it is seen that the matrix  $E_1$  for a linear graph is characterized by the fact that *its invariant factors are all* +1. On this account the theory of the matrix  $E_1$  is essentially the same as that of  $H_1$ . When we come to the generalizations to two and more dimensions, the invariant factors of the matrix will no longer have this simple property and the invariant factors will turn out to be important Analysis Situs invariants.

## CHAPTER II

#### TWO-DIMENSIONAL COMPLEXES AND MANIFOLDS

## Fundamental Definitions

1. In a Euclidean space three non-collinear points and the segments which join them by pairs constitute the boundary of a finite region in the plane of the three points. This region is called a *triangular region* or *two-dimensional simplex* and the three given points are called its *vertices*. The points of the boundary are not regarded as points of the region.

Consider any set of objects in (1-1) correspondence with the points of a two-dimensional simplex and its boundary. The objects corresponding to the points of the simplex constitute what is called a *two-dimensional cell* or 2-cell, and those corresponding to the boundary of the simplex what is called the *boundary of the 2-cell*.

The objects which constitute a cell and its boundary will hereafter be referred to as "points," and the remarks in § 2, Chap. I, with regard to order relations are carried over without change to the two-dimensional case. The boundary of a 2-cell obviously satisfies the definition given in Chap. I of a closed curve.

2. A two-dimensional complex may be defined as a onedimensional complex  $C_1$  together with a number,  $\alpha_2$ , of 2-cells whose boundaries are 1-circuits of the one-dimensional complex, such that each 1-cell is on the boundary of at least one 2-cell and no 2-cell has a point in common with another 2-cell or with  $C_1$ . The order relations of the points of the boundary of each 2-cell must coincide with the order relations determined among these points as points of the 1-circuit of the one-dimensional complex which coincides with the boundary. (Compare the footnote to § 2, Chap. III.)

36

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#### \$\$1-4]

(1)

37

The surface of a tetrahedron (cf. Fig. 1) is a simple example of a two-dimensional complex. Any polyhedron or combination of polyhedra in a Euclidean space will furnish a more complicated example.

An arbitrary subset of the 0-cells, 1-cells, and 2-cells of a two-dimensional complex will be occasionally referred to as a generalized two-dimensional complex.

3. The definitions of limit point and continuous transformation given in Chap. I may be generalized directly to two-dimensional complexes and we take them for granted without further discussion. As in § 4, Chap. I, two complexes are said to be homeomorphic if there exists a (1-1) continuous correspondence between them; and any such correspondence is called a homeomorphism. The two complexes will in general be defined in quite different ways so that the numbers  $\alpha_0, \alpha_1, \alpha_2$  are different; but if the two complexes are homeomorphic there is a (1-1) continuous correspondence between them as sets of points.

Any proposition about a complex or set of complexes which is unaltered under the group of all homeomorphisms of these complexes is called a proposition of two-dimensional Analysis Situs.

#### Matrices of Incidence

4. The O-cells and 1-cells on the boundary of a 2-cell are said to be incident with the 2-cell and the 2-cell to be incident with the 0-cells and 1-cells of its boundary. The incidence relations between the 1-cells and 2-cells of a twodimensional complex  $C_2$  may be indicated by a table or matrix analogous to that described in § 17, Chap. I. The 2-cells,  $\alpha_2$  in number, shall be denoted by  $a_1^2, a_2^2, \dots, a_{\alpha_n}^2$ . The matrix  $H_2 = ||\eta_{ii}^2||$  which describes the incidence relations between the 1-cells and 2-cells is such that  $\eta_{ij}^2 = 0$  if  $a_i^1$  is not incident with  $a_i^2$  and  $\eta_{ij}^2 = 1$  if  $a_i^1$  is incident with  $a_j^2$ . In the case of the tetrahedron in Fig. 1, let us denote the 2-cells opposite the vertices  $a_1^0$ ,  $a_2^0$ ,  $a_3^0$ ,  $a_4^0$  by  $a_1^2$ ,  $a_2^2$ ,  $a_3^2$ ,  $a_4^2$ respectively. The table of incidence relations becomes

|             | 1 | -2 | - 8        | - 4 |
|-------------|---|----|------------|-----|
| $a_1^1$     | 0 | 1  | 1          | 0   |
| $a_2^1$     | 1 | 0  | 1          | 0   |
| $a_{3}^{1}$ | 1 | 1  | 0          | 0   |
| <br>$a_4^1$ | 1 | 0  | 0          | 1   |
| $a_5^1$     | 0 | 1  | <b>= 0</b> | 1   |
| $a_6^1$     | 0 | 0  | 1          | 1   |

5. Since each column of  $H_2$  contains  $\alpha_1$  elements it may be regarded as a symbol  $(x_1, x_2, \dots, x_n)$  in the sense of § 15, Chap. I for a set of 1-cells. The *j*th column of  $H_{\circ}$  is, in fact the symbol for the 1-cells on the boundary of the 2-cell  $a_i^2$ . It is therefore the symbol for a 1-circuit. Hence the columns of  $H_2$  are solutions of the equations  $(H_1)$ . That is to sav

$$\sum_{j=1}^{i} \eta_{ij}^1 \eta_{jk}^2 = 0 \qquad (i = 1, ..., \alpha_0, k = 1, ..., \alpha_2)$$

or, in terms of the multiplication of matrices,

$$H_1 \cdot H_2 = 0,$$

where 0 stands for the matrix all of whose elements are zero. It should be recalled here that we have already proved in § 28. Chap. I that

$$H_0 \cdot H_1 = 0.$$

The ranks of the matrices  $H_0$ ,  $H_1$ ,  $H_2$ , computed modulo 2, will be denoted by  $\varrho_0$ ,  $\varrho_1$ ,  $\varrho_2$  respectively.

6. From the point of view of Analysis Situs a two-dimensional complex is fully described by the three matrices  $H_0, H_1, H_2$ for there is no difficulty in proving that if two two-dimensional complexes have the same matrices there is a (1-1) continuous correspondence between them. Our definitions are such that the boundary of every 1-cell is a pair of distinct points and the boundary of every 2-cell a non-singular curve. Hence a figure composed of a 1-cell incident with a 0-cell or a 2-cell is in (1-1) continuous correspondence with any other such figure.

#### \$\$ 5-7]

#### ANALYSIS SITUS.

If two complexes  $C_2$  and  $\overline{C_2}$  have the same matrices their O-cells, 1-cells and 2-cells may be denoted by  $a_i^0, a_j^1, a_k^2$  and  $b_i^0, b_j^1, b_k^2$  in such a way that whenever  $a_j^1$  for any values of i, j, k is incident with  $a_i^0$  or  $a_k^2$ , the  $b_j^1$  for the same value of j is incident with the  $b_i^0$  or  $b_k^2$  with the same value of ior k. A (1-1) continuous correspondence is then set up between  $C_2$  and  $\overline{C_2}$  by requiring: (1) that  $a_i^0$  correspond to  $b_i^0$  to for each value of i, (2) that  $a_j^1$  and its ends correspond to  $b_j^1$  and its ends for each value of j in a (1-1) continuous correspondence such that the correspondence between the ends is that set up under (1), and (3) that  $a_k^2$  and its boundary correspond to  $b_k^2$  and its boundary in a (1-1) continuous correspondence by which the boundaries correspond in the correspondence set up under (2).

## Subdivision of 2-Cells

7. The properties of a two-dimensional complex will be obtained by studying the combinatorial relations codified in the matrices  $H_0$ ,  $H_1$ ,  $H_2$  in connection with the continuity properties of the 2-cell. The latter properties, according to the definition in § 1, depend on the order relations in a Euclidean plane and, in particular, on the theory of planar polygons. The theory of polygons can be built up in terms of the incidence matrices. For consider a set of n straight lines in a Euclidean plane. They separate it into a number  $\alpha_2$  of planar convex regions and intersect in a number  $\alpha_0$  of points which divide the lines into a number  $\alpha_1$  of linear convex regions. The  $\alpha_0$  points can be treated as 0-cells, the  $\alpha_1$  linear convex regions as 1-cells and the  $\alpha_2$  planar convex regions as 2-cells. Any polygon is a 1-circuit, and the theory of linear dependence as developed in our first chapter can be applied to the proof of the fundamental theorems on polygons. For the details of this theory, which belongs to affine geometry rather than to Analysis Situs, the reader is referred to Chapters II and IX of the second volume of Veblen and Young's Projective Geometry.

8. The (1-1) correspondence with the interior and boundary of a triangle which defines a 2-cell and its boundary determines a system of 1-cells in the 2-cell which are the correspondents of the straight 1-cells in the interior of the triangle. By regarding this system of 1-cells as the straight 1-cells and defining the distance between any two points of the 2-cell and its boundary as the distance between the corresponding two points of the interior of the triangle, we can carry over all the theorems of the elementary geometry of a triangle to the 2-cell. The notions of distance and straightness so developed, however, are not invariant under the group of homeomorphisms, and the corresponding theorems are not theorems of Analysis Situs. For purposes of Analysis Situs the theorem of interest here is simply that there exists a system of 1-cells which are in (1-1) continuous correspondence with the straight 1-cells of the interior of a triangle of the Euclidean plane.

Given two circles in a Euclidean plane, it is a simple matter to put them and their interiors in (1-1) continuous correspondence in such a way that the correspondence thus set up between the circles is any preassigned homeomorphism. It follows that if definitions of distance are assigned arbitrarily along all the 1-cells of any complex  $C_2$ , definitions of distance and straightness can then be assigned to the 2-cells and their boundaries in such a way that the distances thus assigned along the 1-cells agree with those previously assigned. If a 2-cell has only two 1-cells on its boundary, they are necessarily curved under the definitions of distance and straightness for that 2-cell. Otherwise they can be taken straight.

9. The following theorems follow immediately from the homeomorphism between a 2-cell and the triangle used in defining it:

If two points A and B of the boundary of a 2-cell  $a^2$  are joined by a straight 1-cell  $a^1$  consisting of points of  $a^2$ , the remaining points of  $a^2$  constitute two 2-cells each of which is bounded by  $a^1$ , A, B and one of the two 1-cells into which the boundary of  $a^2$  is divided by A and B.

#### ANALYSIS SITUS.

#### \$\$ 8-10] TWO-DIMENSIONAL COMPLEXES.

41

If the boundaries of two 2-cells  $a_1^2$  and  $a_2^2$  have a 1-cell  $a^1$  and its ends in common, and the 2-cells and their boundaries have no other common points, then  $a_1$ ,  $a_1^2$  and  $a_2^2$  constitute a 2-cell.

If there is a (1-1) continuous correspondence F' between the boundaries of two 2-cells  $a_1^2$  and  $a_2^2$ , there exists a (1-1)continuous correspondence F between the interior and boundary of  $a_1^2$  and the interior and boundary of  $a_2^2$  which effects the correspondence F' between the boundaries.

A point of a 2-cell can be joined to a set of points  $A_1$ ,  $A_2, \dots, A_n$  of its boundary by a set of 1-cells  $a_1^1, a_2^1, \dots, a_n^1$ which are in the 2-cell and have no points in common. The 2-cell is thus decomposed into n 2-cells  $a_1^2, a_2^2, \dots, a_n^2$  such that the sum of their boundaries (mod. 2) is the boundary of  $a^2$  and such that the incidence relations between them and  $a_1^1, a_2^1, \dots, a_n^1$ are the same as the incidence relations between the 0-cells and 1-cells of a 1-circuit.

Conversely, if a<sub>1</sub><sup>1</sup>, a<sub>2</sub><sup>1</sup>, ..., a<sub>n</sub><sup>1</sup> and a<sub>1</sub><sup>2</sup>, a<sub>2</sub><sup>2</sup>, ..., a<sub>n</sub><sup>2</sup> are 1-cells and 2-cells all incident with the same point a<sup>0</sup> and also incident with one another in such a way that the incidence
relations between the 1-cells and 2-cells are the same as those between the 0-cells and 1-cells of a 1-circuit, and
a<sub>1</sub><sup>1</sup>, a<sub>2</sub><sup>1</sup>, ..., a<sub>n</sub><sup>1</sup> are the only 1-cells that the boundaries of any two of the 2-cells a<sub>1</sub><sup>2</sup>, a<sub>2</sub><sup>2</sup>, ..., a<sub>n</sub><sup>2</sup> have in common, then the point a<sup>0</sup> and the points of a<sub>1</sub><sup>1</sup>, a<sub>2</sub><sup>1</sup>, ..., a<sub>n</sub><sup>1</sup> and a<sub>1</sub><sup>2</sup>, a<sub>2</sub><sup>2</sup>, ..., a<sub>n</sub><sup>2</sup> have in common, then the point a<sup>0</sup> and the points of a<sub>1</sub><sup>1</sup>, a<sub>2</sub><sup>1</sup>, ..., a<sub>n</sub><sup>1</sup> and a<sub>1</sub><sup>2</sup>, a<sub>2</sub><sup>2</sup>, ..., a<sub>n</sub><sup>2</sup>
of the boundaries of the 2-cells a<sub>1</sub><sup>2</sup>, a<sub>2</sub><sup>2</sup>, ..., a<sub>n</sub><sup>2</sup>.

10. The first of the theorems in the last section is a special case of the theorem that any 1-cell which is in a 2-cell and joins two points of its boundary decomposes the 2-cell into two 2-cells. This more general theorem depends on the theorem of Jordan, that any simple closed curve in a Euclidean plane separates the plane into two regions, the interior and the exterior; and also on the theorem of Schoenflies that the interior of a simple closed curve is a 2-cell of which the curve is the boundary.

We shall not need to use these more general forms of the separation theorems because we need, in general, merely the existence of curves which separate cells, and this is provided for in the theorems of the last section. In connection with the Jordan theorem, reference may be made to the proof by J.W. Alexander, Annals of Mathematics, Vol. 21 (1920), p. 180.

#### Maps

11. With the aid of the theorems on separation a 2-cell  $a^*$  may be subdivided into further 2-cells as follows: Let any two points  $a_1^0$  and  $a_2^0$  of the boundary of the 2-cell be joined by a straight 1-cell  $a_1^1$  consisting entirely of points of the 2-cell. The 2-cell is thus separated into two 2-cells  $a_1^2$  and  $a_2^2$ . The boundary of  $a^*$  is likewise separated into two 1-cells  $a_2^1$  and  $a_3^1$  which have  $a_1^0$  and  $a_2^0$  as ends. The 0-cells, 1-cells and 2-cells into which  $a^2$  is thus subdivided constitute a 2-dimensional complex  $C_2$  whose matrices are

|                  |      | 8  | 1   |         | 1 1 | 1 | 18 |
|------------------|------|--|-----|---------|-----|---|----|
| $\dot{B}_0 := 1$ | 1 4, | $H_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ |     | $H_2 =$ | 1   | 0 |    |
|                  |      | 1 1  | 1 1 |         | 0   | 1 |    |

The numbers  $\alpha_0$ ,  $\alpha_1$ ,  $\alpha_2$  for  $C_2$  are respectively 2, 3, 2, so that

## $\alpha_0 - \alpha_1 + \alpha_2 = 1.$

This subdivision of  $a^2$  may be continued by two processes: (1) introducing a point of a 1-cell as a new 0-cell and (2) joining two 0-cells of the boundary of a 2-cell by a 1-cell composed entirely of points of the 2-cell. The first process increases the numbers of 0-cells and 1-cells each by 1. The second process increases the numbers of 1-cells and 2-cells each by 1. Hence any number of repetitions of the two processes leave the number  $\alpha_0 - \alpha_1 + \alpha_2$  invariant.

Any two-dimensional complex obtainable from a 2-cell by subdivision of the kind described above is called a *simply* connected map; and it can easily be proved that any twodimensional complex which is homeomorphic with the interior and boundary of a 2-cell is a simply connected map.

The number  $\alpha_0 - \alpha_1 + \alpha_2$  determined by any complex  $C_2$ having  $\alpha_0$  0-cells,  $\alpha_1$  1-cells and  $\alpha_2$  2-cells is called the *character*-

[Chap. 11

#### \$\$ 11-18] TWO-DIMENSIONAL COMPLEXES.

43

#### ANALYSIS SITUS.

istic of  $C_2$ . Thus we have proved that the characteristic of a simply connected map is 1.

12. There are a number of interesting theorems about simply connected maps which must be omitted here because they are of too special a nature. Many of them are related to the *four color problem*: is it possible to color the cells of a simply connected map with four colors in such a way that no two 2-cells which are incident with the same 1-cell are colored alike? This problem is still unsolved, in spite of numerous attempts. In addition to the references in the Encyclopädie, Vol. III<sub>1</sub>, p. 177, the following references may be cited: Birkhoff, The reducibility of maps, American Journal of Mathematics, Vol. 35, p. 115; Veblen, Annals of Mathematics, Vol. 14 (1912), p. 86; and an article by P. Franklin in the American Journal, Vol. 44 (1922), pp. 225-236.

#### Regular Subdivision

13. It will often be found convenient to work with complexes whose 2-cells are each incident with three 0-cells and three 1-cells. Such 2-cells will be called *triangles* and a complex subdivided into triangles will be said to be *triangulated*. Any complex  $C_2$  may be triangulated by the following process which is called a *regular subdivision*.

Let  $P_k^2(k = 1, 2, ..., \alpha_2)$  be an arbitrary point of the 2-cell  $a_k^2$ ,  $P_j^1(j = 1, 2, ..., \alpha_1)$  an arbitrary point of the 1-cell  $a_j^1$  and  $P_i^0(i = 1, 2, ..., \alpha_0)$  another name for the 0-cell  $a_i^0$ . The points  $P_j^1(i = 0, 1, 2; j = 1, 2, ..., \alpha_i)$  are to be the vertices of the complex  $\bar{C}_2$ .

Each  $P_{j_{k}}^{1}$  separates the  $a_{j}^{1}$  on which it lies into two 1-cells. The 1-cells so defined are to be among the 1-cells of  $\overline{C_{2}}$ . The remaining 1-cells of  $\overline{C_{2}}$  are obtained by joining each  $P_{k}^{2}$  to each of the points  $P_{i}^{0}$  and  $P_{j}^{1}$  of the boundary of  $a_{k}^{2}$  by a straight 1-cell in  $a_{k}^{2}$ . Each 2-cell  $a_{k}^{2}$  is thus decomposed into a set of 2-cells each of which is bounded by three of the 1-cells of  $\overline{C_{2}}$ , one on the boundary of  $a_{k}^{2}$  and two interior to  $a_{k}^{2}$ . The 2-cells thus obtained are the 2-cells of  $\overline{C_{2}}$ . The complex  $\overline{C}_2$  is called a regular subdivision of  $C_2$  and is also called a regular complex. No two 0-cells of  $\overline{C}_2$  are joined by more than one 1-cell of  $\overline{C}_2$ . Moreover no 1-cell of  $\overline{C}_2$  joins two points  $P_k^i$ ,  $P_l^i$  which have equal superscripts. Hence any 1-cell of  $\overline{C}_2$  may be denoted by  $P_k^i P_l^i$  with i < j.



F10. 3.

No three 0-cells of  $C_2$  are vertices of more than one 2-cell of  $\overline{C_2}$ , and furthermore one of the three vertices incident with any 2-cell is a  $P_i^0$ , one is a  $P_j^1$ , and one is a  $P_k^2$ . Hence any 2-cell of  $\overline{C_2}$  may be denoted by  $P_i^0 P_j^1 P_k^2$ .

14. Any vertex of  $\overline{C_2}$  together with the 1-cells and 2-cells which are incident with it is called a *triangle star*, and the vertex is called the *center* of the triangle star. Any point P of  $C_2$  may be taken as the center of a triangle star of  $\overline{C_2}$ . For if P is on a 1-cell  $a_i^1$  of  $C_2$  it can be chosen as the corre-

45 46

#### ANALYSIS SITUS.

sponding  $P_i^1$  and if it is on a 2-cell  $a_i^2$  it can be chosen as the corresponding  $P_i^2$ . The set of all triangle stars of a given regular complex is such that each point of the complex is in at least one of them.

If  $C_2$  is itself regular any two vertices of  $C_2$  which are within or on the boundary of a triangle star of  $\overline{C_2}$  are joined by a 1-cell of  $C_2$ .

15. The method of regular subdivision is useful in continuity arguments where it is desirable to subdivide a given complex into "arbitrarily small" cells. Let a complex  $C_2$  in which a definition of straight lines and of distance has been introduced as described in  $\S$  8, be subjected to a regular subdivision into a complex  $C_2^1$  and let  $C_2^1$  be regularly subdivided into  $C_2^2$ . and so on, thus determining a sequence of complexes  $C_2$ ,  $C_2^1, \dots, C_2^n, \dots$ , each of which is a regular subdivision of the one preceding it. Let us require also that each new 0-cell introduced in a 1-cell in the process of subdivision shall be the mid-point of the 1-cell, that each point interior to a triangular 2-cell (the point  $P_k^2$  of § 13) shall be the center of gravity (intersection point of the medians) of the triangle. and that the 1-cells introduced shall be straight. With these conventions, it is evident that for every number  $\delta > 0$  there exists a number  $N_d$  such that if  $n > N_d$  every 1-cell in  $C_2^n$  is of length less than  $\delta$ .

16. The relationship between  $C_2$  and  $\overline{C_2}$  may be stated as follows: (1) each 2-cell  $a_k^2$  of  $C_2$  is composed of  $P_k^2$  and all the 1-cells  $P_i^0 P_k^2$  or  $P_j^1 P_k^2$  and all 2-cells  $P_i^0 P_j^1 P_k^2$ , of  $\overline{C_2}$ incident with  $P_k^2$ ; (2) each 1-cell  $a_j^1$  of  $C_2$  is composed of  $P_j^1$  and the two 1-cells  $P_i^0 P_j^1$  of  $\overline{C_2}$  incident with  $P_j^1$ ; and (3) each 0-cell  $a_i^0$  of  $C_2$  is the vertex  $P_i^0$  of  $\overline{C_2}$ .

Hence the complex  $\overline{C_2}$  may be converted into  $C_2$  by a series of steps of two sorts; (1) combine two 2-cells whose boundaries have one and only one 1-cell in common into a new 2-cell, suppressing the common 1-cell and (2) combine two 1-cells both incident with a 0-cell which is not incident with any other 1-cell into a new 1-cell, suppressing the common 0-cell. The first type of step requires that the matrix  $H_2$  of  $\overline{C_2}$  be modified by adding the column representing one of the two 2-cells to the one representing the other, removing the column representing the first of the two 2-cells, and also removing the row corresponding to the 1-cell which is suppressed. The row which is removed contained only two 1's before the two columns were added, because the 1-cell to which it corresponds is incident with only two 2-cells. After the one column is added to the other this row contains only one 1 and this 1 is common to the row and column removed. Hence the first type of step has the effect of reducing the rank of  $H_2$  by 1.

It also has the effect of removing the column of  $H_1$  corresponding to the 1-cell suppressed. This 1-cell is on the boundary of a 2-cell. Hence the 0-circuit represented by the column removed is linearly dependent on the columns corresponding to the other 1-cells of the boundary of this 2-cell. Hence the removal of this column leaves the rank of  $H_1$  unaltered.

The first type of step thus changes  $\varrho_2$  and  $\varrho_1$  into  $\varrho_2 - 1$ and  $\varrho_1$  respectively. It obviously changes  $\alpha_0$ ,  $\alpha_1$ , and  $\alpha_2$ into  $\alpha_0$ ,  $\alpha_1 - 1$  and  $\alpha_2 - 1$  respectively. A similar argument shows that the second type of step changes  $\varrho_2$  and  $\varrho_1$  into  $\varrho_2$  and  $\varrho_1 - 1$  respectively and also changes  $\alpha_0$ ,  $\alpha_1$ ,  $\alpha_2$  into  $\alpha_0 - 1$ ,  $\alpha_1 - 1$ , and  $\alpha_2$  respectively. Hence the numbers

$$\begin{array}{c} \alpha_0 - \alpha_1 + \alpha_2 \\ \alpha_1 - \varrho_1 - \varrho_2 \\ \alpha_2 - \varrho_2 \end{array}$$

are the same for  $\overline{C_2}$  as for  $C_2$ . This is a special case of the more general theorem, to be proved later, that these numbers are invariants of  $C_2$  under the group of all homeomorphisms.

#### Manifolds and 2-Circuits

17. By the boundary of a 2-dimensional complex  $C_2$  is meant the one-dimensional complex containing each 1-cell of  $C_2$  which is incident with an odd number of 2-cells of  $C_2$ .

#### \$\$ 17-20] TWO-DIMENSIONAL COMPLEXES.

By a 2-dimensional circuit or a 2-circuit is meant a 2-dimensional complex  $C_2$  without a boundary such that any 2-dimensional complex whose 2-cells are a subset of the 2-cells of  $C_2$  has a boundary. Thus any 2-dimensional complex in which each 1-cell is incident with an even number of 2-cells is evidently a 2-circuit or a set of 2-circuits having only 0-cells and 1-cells in common.

47

A 2-dimensional complex containing no 2-circuits is called a 2-dimensional *tree*.

18. By a neighborhood of a point P of a complex  $C_2$  is meant any set S of 0-cells, 1-cells and 2-cells composed of points of  $C_2$  and such that any set of points of  $C_2$  having P as a limit point contains points on the cells of S. Thus any triangle star of a regular complex is a neighborhood of its center. Since (cf. § 14) any point of a complex  $C_2$  can be made a vertex of a regular subdivision of  $C_2$ , the process of regular subdivision gives an explicit method of finding a neighborhood of any point of  $C_2$ .

19. If  $C_2$  is a 2-circuit of which every point has a neighborhood which is a 2-cell, then the set of all points on  $C_2$  is called a *closed two-dimensional manifold*.\* If  $\overline{C_2}$  is a regular subdivision of a 2-circuit  $C_2$  then it is evident that  $C_2$  defines a manifold if and only if it is true that for each vertex P of  $\overline{C_2}$  the incidence relations between the 1-cells and 2-cells of  $\overline{C_2}$  which are incident with P are the same as those between the 0-cells and 1-cells of a 1-circuit.

A set of points obtainable from a closed two-dimensional manifold by removing a finite number of 2-cells no two of which have an interior or boundary point in common is called an *open two-dimensional manifold*. In the rest of this chapter the term manifold will mean "closed manifold" unless the opposite is specified.

20. The simplest example of a two-dimensional manifold

#### ANALYSIS SITUS.

is one determind by a complex consisting of two 0-cells, two 1-cells and two 2-cells, each 0-cell being incident with both 1-cells and each 1-cell with both 2-cells. Thus the matrices defining the manifold are

 $H_0 = ||1 \ 1||, \quad H_1 = H_2 = \left| \begin{array}{c} 1 \ 1 \\ 1 \ 1 \end{array} \right|.$ 

Such a manifold is called a *two-dimensional sphere*. It is easily seen to be homeomorphic with the surface of a tetrahedron.

21. A simple example of an open manifold,  $M_2$ , is obtained from a rectangle ABCD (Fig. 4) by setting up a 1-1 continuous correspondence F between the 1-cells AB and CDand their ends in such a way that A corresponds to D and Bcorresponds to C, and then regarding the pairs of points



which correspond under F each as a single point of  $M_2$ . This open manifold is called a *tube* or a cylindrical surface. That it satisfies the definition of an open manifold is easily proved by dividing the rectangle into 2-cells by a 1-cell joining a point P of the side AD to a point Q of the side BC. It is bounded by the two curves formed from the 1-cells AD and BC respectively.

Let a (1-1) continuous correspondence  $F^1$  be set up between the 1-cells AD and BC and their ends in such a way that Acorresponds to B, P to Q, and D to C. A closed manifold T is defined by regarding as single points of T each pair of points which correspond either under F or under  $F^1$ . The four points A, B, C, D thus coalesce to one point of T. This manifold is called an *anchor ring* or *torus*.

22. If a correspondence G between the 1-cells AB and CD and their ends is set up in such a way that A corresponds to C

<sup>\*</sup> We use this term rather than "surface" in order to have a terminology which may be used without confusion in Algebraic Geometry. In the latter science the real and complex points of a surface constitute a fourdimensional manifold.

and B to D, an open manifold M is obtained by regarding each pair of points which correspond under G as a single point of M. This open manifold is called the *Möbius band.*<sup>\*</sup> A model is most simply constructed by taking a rectangle, giving it a half-twist and bringing opposite edges together. Thus the rectangle in Fig. 4 represents a Möbius band (Fig. 5) if we regard as identical

49



the two vertices labelled  $a_1^0$ , the two edges labelled  $a_1^1$  and the two vertices  $a_2^0$ . If the rectangle be divided into two 2-cells by the 1-cell  $a_2^1$  joining the two points  $a_3^0$  and  $a_4^0$  we obtain the following matrices which describe the Möbius band.

$$H_{0} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 \end{bmatrix}, \quad H_{2} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 0 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

23. The Möbius band is bounded by the 1-circuit (0, 0, 1, 1, 1, 1). If a 2-cell be introduced which is bounded by this 1-circuit a complex is obtained whose matrices  $H_{\nu}$  and  $H_1$  are the same as  $H_0$  and  $H_1$  for the Möbius band, while

\* Cf. A. F. Möbius, Gesammelte Werke, Vol. 2, pages 484 and 519.

ANALYSIS SITUS.

 $H_{2} = \begin{vmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{vmatrix}$ 

The set of points on this complex is a manifold homeomorphic with the projective plane. Another set of matrices for the projective plane and some discussion of its Analysis Situs properties will be found in Veblen and Young's Projective Geometry, Vol. II, Chap. IX.

24. The operation of adding two one-dimensional complexes. modulo 2, which was defined in § 15, Chap. I may be extended to two dimensions as follows. Let  $C_2$  und  $C_2^1$  be two 2-dimensional complexes each of which is a sub-complex of a given complex  $C_2^2$ . By

 $C_2 + C_2^1 \pmod{2}$ 

is meant the complex composed of those 2-cells and their boundaries which are in either of  $C_2$  and  $C_2^1$  but not in both. This operation has the obvious property that if  $C_2$  and  $C_2^1$ are 2-circuits  $C_2 + C_2^1 \pmod{2}$  is also a 2-circuit or set of 2-circuits.

25. Let a sphere, S, be decomposed into cells by the process described in § 11 and let  $s_1^2, s_2^2, \dots, s_p^2$  be p of the 2-cells so obtained. Let  $T^1, T^2, \dots, T^p$  be p anchor rings no two of which have a point in common and which are such that  $f_i (i = 1, 2, \dots, p)$  is a 2-cell of  $T^i$  while  $T^i$  and S have no other points in common than those of  $s_i^2$  and its boundary. The set of all points on the 2-circuit,

$$M_2 = S + T^1 + T^2 + \dots + T^p \pmod{2}$$

is called a sphere with p handles, or an orientable manifold of genus p, or an orientable manifold of connectivity 2p + 1. The proof that the set of points on  $M_2$  is a manifold is made by subdividing it into 2-cells. By the same device it

[Chap. ]]

#### TWO-DIMENSIONAL COMPLEXES. §§ 24-27]

ring.

51

#### ANALYSIS SITUS.

is easy to prove that a sphere with one handle is an anchor

26. If one of the anchor rings  $T^{i}$  in the last section is replaced by a projective plane, the 2-circuit  $M_2$  is easily seen to define a manifold. We shall refer to this as a onesided manifold of the first kind of genus p-1. or of con*nectivity* 2p. It is easy to verify that a projective plane is a one-sided manifold of the first kind of genus zero.

If two of the manifolds  $T^i$  are projective planes and the rest are anchor rings the 2-circuit  $M_2$  again defines a manifold. This is called a one-sided manifold of the second kind of genus p-2, or of connectivity 2p-1.

In this section and the last one the terms connectivity and genus are used in such a way that

## $R_1 - 1 = 2p + k$

where  $R_1$  is the connectivity, p is the genus, and k = 0for an orientable manifold, k = 1 for an one-sided manifold of the first kind, and k = 2 for an one-sided manifold of the second kind.

27. The fundamental problem of two-dimensional Analysis Situs is that of classifying all two-dimensional manifolds. The solution of this problem is found by proving: (1) that for every manifold there is an integer  $R_1$ , the connectivity (cf.  $\S$  29), which is an invariant under the group of all homeomorphisms; (2) that there is an invariant property, that of "orientableness"; and (3) that any two manifolds which have the same connectivity and are both orientable or both non-orientable are homeomorphic. From this it will follow that the examples given in §§ 25 and 26 include all two-dimensional manifolds.

The proof of the propositions (1) and (2) will be given in considerable detail in the following pages because it is the basis of important generalizations to *n*-dimensions. The third proposition is covered more summarily because methods of proving it are well known and there is no possibility of generalizing it directly to *n*-dimensions. There is no known

system of invariants or invariant properties of n-dimensional manifolds which will characterize a manifold completely even in the three-dimensional case.

## The Connectivity $R_1$

28. The boundary of any of the 2-cells  $a_i^2$  which enter into the definition of a complex  $C_2$  is given by one of the columns of the matrix  $H_2$ . The boundary of the complex determined by two of these 2-cells is evidently the sum (mod. 2) of the boundaries of the 2-cells, and therefore is a 1-circuit or set of 1-circuits composed of cells  $a_i^0$  and  $a_i^1$  of  $C_2$ . By a repetition of these considerations it follows that the boundary of any two-dimensional complex composed of cells of C. is a 1-circuit or set of 1-circuits which is the sum (mod. 2) of the boundaries of the 2-cells of the complex. Hence a symbol  $(x_1, x_2, \dots, x_{\alpha_i})$  for such a boundary is linearly dependent (mod. 2) on the columns of  $H_2$ .

Moreover if any symbol  $(x_1, x_2, \dots, x_{\alpha})$  is linearly expressible in terms of the columns of  $H_2$  this expression determines a set of 2-cells of  $C_2$  such that the symbol for the sum of their boundaries is  $(x_1, x_2, \dots, x_{\alpha_i})$ . Hence a necessary and sufficient condition that a set of 1-circuits composed of cells of C2 shall bound a complex composed of cells of C<sub>2</sub> is that its symbol shall be linearly dependent on the columns of Hy.

29. By § 25, Chap. I the number of solutions of the equations  $(H_1)$  in a complete set is  $\alpha_1 - \varrho_1$ . So this is the number of 1-circuits in a complete set. If  $\rho_2$  is the rank of  $H_2$ , the 1-circuits which bound complexes composed of cells of C, are all linearly dependent on  $\rho_2$  such 1-circuits. Hence a complete set of solutions of  $(H_1)$  is obtained by adjoining the symbols for  $\alpha_1 - \rho_1 - \rho_2$  1-circuits or sets of 1-circuits to  $\rho_{\bullet}$  linearly independent columns of  $H_{2}$ . Let us set

## $R_1-1 = \alpha_1-\varrho_1-\varrho_2.$

Hence there exist  $R_1 - 1$  1-circuits or sets of 1-circuits  $C_{1}^{1}, C_{1}^{2}, \dots, C_{1}^{R_{1}-1}$  such that every 1-circuit composed of

#### \$\$ 28-30] TWO-DIMENSIONAL COMPLEXES.

53

#### ANALYSIS SITUS.

1-cells of  $C_2$  is linearly dependent (mod. 2) on these and on the boundaries of 2-cells of  $C_2$ .

It can be so arranged that each of  $C_1^1, C_1^2, \dots, C_1^{R_1-1}$  is a single 1-circuit. For if  $C_1^1$  represents more than one 1-circuit it is the sum (mod. 2) of these 1-circuits and at least one of these must be linearly independent of  $C_1^2, \dots$  $C_1^{R_1-1}$  and the bounding circuits, for otherwise  $C_1^1$  would itself be linearly dependent on them. Let  $C_1^1$  be replaced by this non-bounding 1-circuit. In like manner, there is at least one one among the 1-circuits represented by  $C_1^2$  which is linearly independent of  $C_1^1, C_1^3, \dots, C_1^{R_1-1}$  and the bounding 1-circuits, for otherwise  $C_1^2$  would be linearly dependent on them. Let  $C_1^2$  be replaced by this 1-circuit and let a similar treatment be applied to  $C_1^3$ , and so on. A set of 1-circuits thus determined is called a complete set of non-bounding 1-circuits. It has the properties: (1) There is no two-dimensional complex composed of cells of  $C_2$  which is bounded by these 1-circuits or any subset of them. (2) If  $C_1$  is any 1-circuit composed of cells of  $C_2$  there is a two-dimensional complex composed of cells of  $C_2$  which is bounded either by  $C_1$  alone or by  $C_1$  and some of the circuits  $C_1^i$  (i = 1, 2, 2) $\dots$ ,  $R_1 - 1$ ). The number,  $R_1$ , is called the *connectivity* of the complex  $C_2$ , or, when it is necessary to distinguish it from the other connectivities  $R_i$  which are defined later, the linear connectivity.

30. Now suppose that  $C_2$  consists of a single 2-circuit. In this case the sum (modulo 2) of the 1-circuits bounding the 2-cells is  $(0, 0, \dots, 0)$ . This constitutes one linear relation among the columns of  $H_2$ . There cannot be more than one such relation, for this would imply that a subset of the 2-cells satisfied the definition of a 2-circuit. Hence the rank of  $H_2$  is  $\alpha_2 - 1$ . Thus we have

(2)  $\varrho_2 = \alpha_2 - 1,$ 

and from § 20, Chap. I we have

$$(3) \qquad \qquad \varrho_1 = \alpha_0 - R_0$$

But since any 2-circuit is connected,  $R_0 = 1$ . Hence on combining (2) and (3) with (1) of § 29 we obtain

 $\alpha_0-\alpha_1+\alpha_2=3-R_1.$ 

This is one of the generalizations of Euler's well-known formula for a polyhedron.

31. Since a two-dimensional closed manifold is the set of noints on a particular kind of 2-circuit the formula (4) of § 30. gives the relation between the connectivity  $R_1$  and the characteristic of any two-dimensional complex defining a closed manifold. In the case of an open manifold, M<sub>2</sub>, according to § 19, the boundary consists of a number of curves. Call this number  $B_1$ . Of these curves,  $B_1 - 1$  are linearly independent because otherwise they would be the boundary of a manifold contained in  $M_2$ , contrary to definition. As in  $\S$  29, a complete set of 1-circuits in the complex C, defining  $M_2$  may be taken to consist of  $\rho_2$  bounding 1-circuits and  $R_1 - 1$  non-bounding 1-circuits; and of the latter,  $B_1 - 1$  may be taken to be circuits of the boundary of  $M_2$ . Hence if  $R_1 - B_1 = R_1 - 1$ . the non-bounding circuits in the complete set comprise  $B_1 - 1$  from the boundary and  $R_1 - 1$  others. If  $C_2$  be modified by introducing  $B_1$  2-cells each bounded by one of the  $B_1$  1-circuits of the boundary, C. becomes a 2-circuit  $C_2^1$  of  $\alpha_2 + B_1$  2-cells,  $\alpha_1$  1-cells, and  $\alpha_0$  0-cells in which  $B_1 - 1$  of the non-bounding circuits of C, have become bounding circuits. Hence  $C_2^1$  has the connectivity  $\overline{R}_1$ . Hence

$$\alpha_0 - \alpha_1 + \alpha_2 + B_1 = 3 - \overline{R}_1,$$
  
 $\alpha_0 - \alpha_1 + \alpha_2 = 3 - \overline{R}_1 - B_1$   
 $= 2 - R_1$ 

which is the formula for the characteristic of a complex defining an open manifold of two dimensions. The same fermula holds for any connected two-dimensional tree, as follows from (1) and (3) and the fact that  $\varrho_2 = \alpha_2$ .

#### \$\$ 31-33] TWO-DIMENSIONAL COMPLEXES.

55 56

#### ANALYSIS SITUS.

[Chap. II

#### Singular Complexes

32. The cells  $a_i^0$ ,  $a_j^1$ ,  $a_k^2$  which enter into the definition of a complex are all non-singular and their boundaries are also non-singular. This restriction was necessary in order to obtain the theorem of § 6 that the matrices  $H_0$ ,  $H_1$ ,  $H_2$  fully determine the complex. In many applications, however, it is desirable to drop the restriction that the boundaries of the cells referred to in the matrices  $H_i$  shall be non-singular. The results of the theory of matrices can in general be applied whenever it is possible to subdivide the cells having singular boundaries by means of a finite number of 0-cells and 1-cells in such a way as to obtain a complex of non-singular cells with non-singular boundaries.

For example, in § 21 the anchor ring was defined as consisting of one 0-cell, represented by the four vertices of the rectangle, two 1-cells represented by its pairs of opposite edges, and one 2-cell. The matrices of incidence relations of these cells are

$$H_0 = \|1\|, \quad H_1 = \|0\ 0\|, \quad H_2 = \|\frac{0}{0}|.$$

Thus  $\varrho_0 = 1$ ,  $\varrho_1 = 0$ ,  $\varrho_2 = 0$ ,  $\alpha_0 = 1$ ,  $\alpha_1 = 2$ ,  $\alpha_2 = 1$ . Hence

$$R_{1} = 3 - (\alpha_{0} - \alpha_{1} + \alpha_{2}) = 3$$
  
=  $\alpha_{1} - \rho_{1} - \rho_{2} + 1.$ 

If the rectangle is subdivided into triangles so that a nonsingular complex is obtained it will be found that the same value for  $R_1$  will be obtained from the non-singular complex as from the singular one.

33. The notion of a singular complex on a one-dimensional complex, as defined in § 8, Chap. I, can be generalized directly to two dimensions as follows:

Let  $C_2$  be a two-dimensional complex, C' a generalized complex of zero, one or two dimensions<sup>\*</sup>, and F a correspondence

\* The definition may be extended so that C' is of any number of dimensions.

in which each point of C' corresponds to one point of a set of points [P] of  $C_2$  while each P is the correspondent of one or more points of C'. If C' is of one or two dimensions we require F to be continuous. Under these conditions, any point X of C' associated with the P to which it corresponds under F is called a point on  $C_2$ ; it is referred as the image of X under F and is uniquely denoted by F(X); it is said to coincide with P and P is said to coincide with it. The point  $F(X_1)$  is called a limit point of the points F(X)if  $X_1$  is a limit point of the points X. The set of all points F(X) on  $C_2$  is in a (1-1) continuous correspondence with the points of C' and thus constitutes a complex C''identical in structure with C'. The complex C'' is said to be on  $C_2$ . If any of the points P is the correspondent under F of more than one point of C'. C" is called a singular complex on  $C_2$  and the point P in question is called a singular point. If F is (1-1), C'' is said to be non-singular. A cell of C" is said to coincide with a cell of  $C_2$  if and only if the two cells are in (1-1) continuous correspondence, under F. In case C'' is two-dimensional and such that there is at least one point of C'' on each point of  $C_2$  and if, furthermore. there exists for every point of C'' a neighborhood which is a non-singular complex on  $C_2$ , then C'' is said to cover  $C_2$ . In case the number of points of C'' on each point of  $C_2$  is finite and equal to n, C'' is said to cover  $C_2$  n times (cf. § 9. Chap. I).

34. Any 2-circuit which is not a manifold can be regarded as a singular manifold. For let  $C_2$  be an arbitrary 2-circuit. Each of its edges,  $a_i^1$ , is incident with an even number.  $2n_i$  of 2-cells. These 2-cells may be grouped arbitrarily in  $n_i$  pairs no two of which have a 2-cell in common; let these be called the *pairs of 2-cells associated with*  $a_i^1$ . Let  $C'_2$  be a 2-circuit on  $C_2$  such that (1) there is one and but one 2-cell of  $C'_2$  coinciding with each 2-cell of  $C_2$ , (2) there are  $n_i$  1-cells of  $C'_2$  coinciding with each 1-cell  $a_i^1$  of  $C_2$ , each of the  $n_i$  1-cells being incident with a pair of 2-cells of  $C'_2$ which coincide with one of the pairs of 2-cells associated

#### 55 54-85] TWO-DIMENSIONAL COMPLEXES

ANALYSIS SITUS.

with  $a_i^1$ , and (3) there is one 0-cell of  $C'_2$  coincident with each 0-cell  $a_i^0$  of  $C_2$ , this 0-cell being incident with all the 1-cells of  $C'_2$  which coincide with 1-cells of  $C_2$  incident with  $a_i^0$ . Thus  $C'_2$  has two 2-cells incident with each of its 1-cells.

The incidence relations of the 1-cells and 2-cells of  $C_2'$  which are incident with a vertex  $a_i^0$  of  $C_2'$  are the same as those of the 0-cells and 1-cells of a linear graph and since there are just two 2-cells incident with each 1-cell this linear graph consists of a number of 1-circuits having no points in common. Let any set of 1-cells and 2-cells of  $C'_2$  which are incident with  $a_i^0$  and whose incidence relations with one another are those of a 1-circuit be called a group associated with  $a_i^0$ Let  $C_2^{\prime\prime}$  be a 2-circuit on  $C_2^{\prime}$  such that (1) there is one and but one *i*-cell (i = 1, 2) of  $C_2''$  coinciding with each *i*-cell of  $C'_2$ , (2) the incidence relations between the 1-cells and 2-cells of  $C_2''$  are the same as those between the cells of  $C_2'$ with which they coincide, and (3) there is one 0-cell of  $C_2'$ for each group associated with each vertex  $a_i^0$  of  $C_2'$  and this 0-cell is coincident with  $a_i^0$  and incident with those and only those 1-cells and 2-cells of  $C_2''$  which coincide with 1-cells and 2-cells of the group. The set of points on the complex  $C_2''$  is a two-dimensional manifold, by § 19, and  $C_2''$  is a singular complex on  $C_2$ . Hence  $C_2$  may be obtained by coalescing a certain number of 1-cells and 0-cells of a manifold.

## Bounding and Non-bounding I-Circuits

35. Having defined what is meant by saying that a complex  $C_n$  (n = 0, 1, 2) is on a complex  $C_2$ , we can now state and solve the problem of bounding and non-bounding circuits in a perfectly general form: Given any set of 1-circuits  $K_1$ on a complex  $C_2$ , does there exist a two-dimensional complex  $K_2$ on  $C_2$  which is bounded by  $K_1$ ?

In spite of the generality of the complex  $K_1$ , and because of the generality of  $K_2$ , this problem is free from many of the difficulties inherent in such point-set theorems as those

of Schoenflies and Jordan. This will be illustrated by the simple case considered in the next section.

36. Any closed curve, singular or not, which is on a 2-cell  $a^2$ and its boundary but does not pass through every point of  $a^2$ is the boundary of a 2-cell on  $a^2$ . Let c be the given curve and O a point of  $a^2$  not on c. Let OX be the straight 1-cell joining O to a variable point X of c. Let O' be a point interior to a triangle t of a Euclidean plane and let X' be a variable point of the boundary of this triangle. Let F be a continuous (1-1) correspondence between the set of points [X'] and the set of points [X]. If we let each point of O'X' correspond to the point of OX which divides it in the same ratio, a continuous correspondence F' is defined in which each point of the interior and boundary of the triangle t corresponds to one point of  $a^2$ . By § 1 there is thus defined a 2-cell (in general, singular) which is bounded by c. It is not essential that O shall not coincide with a point of c, for in case X coincides with O the interval OX may be taken to be a singular one coinciding with Q. Hence we have without restrictions the theorem that any closed curve on a 2-cell a is the boundary of a 2-cell on a.

The theorem may be generalized slightly as follows: Any curve c on a triangle star and its boundary (§ 14) is the boundary of a 2-cell on the triangle star. The 2-cell is constructed as above, taking the center of the triangle star as O.

## Congruences and Homologies, Modulo 2

37. Before going on to the solution of the problem stated in § 35, let us introduce a notation which is adapted from that of Poincaré. We shall say that a complex  $C_n$  (n = 1, 2)is congruent (mod. 2) to a set of (n-1)-circuits  $C_{n-1}$  if and only if  $C_{n-1}$  is the boundary of  $C_n$ . This is represented by the notation

 $C_{''} \equiv C_{''-1} \pmod{2}.$ 

n case  $C_{n-1}$  fails to exist, so that  $C_n$  is a set of *n*-circuits,  $C_n$ said to be *congruent to zero* (mod. 2) and (1) is replaced by

[Chap. I]

\$\$ 36-381

(2)

59 60 (Chap. II

 $C_n \equiv 0 \pmod{2}$ 

Expressions of the form (1) and (2) are called congruence (mod. 2). They have been defined thus far only for n =and n = 2, but these definitions will apply for all values of n as soon as the terms complex, n-circuit, and boundary 0an *n*-dimensional complex have been defined for all values of y

Both in the one- and two-dimensional cases it is evident that when two complexes are added (mod. 2) the boundary of the sum is the sum (mod. 2) of the boundaries. Hence the sum (mod. 2) of the left-hand members of two congruences is congruent to the sum (mod. 2) of the right-hand members. Or, more generally, any linear combination (mod. 2) of a number of valid congruences (mod. 2) of the same dimensionality is a valid congruence (mod. 2).

38. With respect to a complex C a complex  $C_{\mu-1}$  is said to be homologous to zero (mod. 2) if and only if it is the right-hand member of a congruence such as (1) in which  $C_{i}$ represents a complex on C. This relation is indicated by

(3)Thus  $C_{n-1} \sim 0 \pmod{2}$ .

## $C_0 \sim 0 \pmod{2}$

means that  $C_0$  represents a set of 0-circuits which bound a one-dimensional complex on C, and

#### $C_1 \sim 0 \pmod{2}$

means that  $C_1$  represents a set of 1-circuits on C which bound a two-dimensional complex on C. Thus in every case, (3) implies

 $C_{n-1} \equiv 0 \pmod{2},$ (4)

but (4) does not imply (3).

From the corresponding proposition in the last section it follows at once that any linear combination (mod. 2) of a set of valid homologies (mod. 2) is a valid homology (mod. 2) A homology,

 $C_{n-1} + C'_{n-1} \sim 0 \pmod{2}$ , (5)is also written (6)

$$C_{n-1} \sim C'_{n-1} \pmod{2}$$
.

The homology (6) evidently means that there exists a complex  $C_{\mu}$ on C which is bounded by  $C_{n-1}$  and  $C'_{n-1}$ .

If  $\overline{C_1}$  is a 1-circuit obtained by introducing new vertices in a 1-circuit  $C_1$ , it is evident that

$$C_1 \sim \overline{C_1} \pmod{2}$$
,

because  $C_1$  and  $C_1$  bound a singular two-dimensional complex coincident with them both.

#### The Correspondence A

39. The first step toward the solution of the problem of \$ 35 will be to show that if  $\overline{C_2}$  is a regular subdivision of  $C_2$ . then for any 1-circuit  $K_1$  on  $C_2$  there is a set of 1-circuits  $K'_1$  composed of cells of  $C_2$  such that

## $K_1 \sim K_1' \pmod{2}$ .

This has the consequence that any homology among 1-circuits can be replaced by one in which each 1-circuit is composed of cells of  $C_2$ ; and the problem of § 35 is reduced to that of finding a necessary and sufficient condition that  $K_1' \sim 0$ (mod. 2) if  $K'_1$  represents a set of 1-circuits composed of cells of C. The next three sections aim at establishing the homology (1).

40. Let K be a one- or two-dimensional complex on a twodimensional complex  $C_2$ . Let C<sub>2</sub> be a regular subdivision of C<sub>2</sub>. Let a definition of distance and straightness be introduced relative to  $C_2$ , and let  $\tilde{C}_2$  be a regular subdivision of  $C_2$  whose 1-cells are all straight. The triangle stars of  $C_2$  constitute a set of overlapping neighboorhoods such that every point of  $\tilde{C}_{\bullet}$  is interior to at least one of these neighborhoods. Hence by simple continuity considerations (Heine-Borel theorem) K can be subdivided, by introducing new vertices if it is of one dimension, or by the process of regular subdivision (§ 13) if it is of two dimensions into a complex K such that for each 1-cell or 2-cell of K there is a triangle star of  $\tilde{C}_2$  to which it is interior.

to one such triangle star or on the boundaries of 2-cells from two or more such triangle stars. Let us designate as a correspondence A any correspondence of the vertices of  $\bar{K}$ with those of  $\overline{C_2}$  by which each vertex of  $\overline{K}$  which is interior to a triangle star of  $\overline{C}_2$  having a vertex of  $\overline{C}_2$  as center corresponds to this center, and each vertex of  $\bar{K}$  which is on the boundary of two or more such triangle stars corresponds to the center of one of them.\* Thus a correspondence A determines a unique vertex of  $C_2$  for each vertex of K.

This construction is such that any triangle star of  $\tilde{C}_2$  which contains a vertex of K has the 0-cell of  $C_2$  to which this vertex corresponds on its interior or boundary. Moreover any two vertices of K which are ends of the same 1-cell of  $\overline{K}$  coincide with points of the same triangle star of  $\tilde{C}_2$  and hence correspond to points of  $C_2$  of the interior or boundary of this triangle star. Hence they correspond either to the same vertex of  $C_2$  or to the two ends of a 1-cell of  $C_2$  (Cf. § 14). In case K is two-dimensional it follows similarly that any three vertices of  $\overline{K}$  incident with the same 2-cell of K correspond to one or more vertices of a single 2-cell of  $C_2$ .

41. Let the 0-cells, 1-cells and 2-cells of  $C_2$  be denoted by  $c_1^0, c_2^0, \dots, c_{\alpha_0}^0; c_1^1, c_2^1, \dots, c_{\alpha_1}^1;$  and  $c_1^2, c_2^2, \dots, c_{\alpha_4}^2$  respectively: and those of  $\bar{K}$  by  $k_1^0, k_2^0, \dots, k_{\beta_0}^0; k_1^1, k_2^1, \dots, k_{\beta_1}^1; k_1^2, k_2^2, \dots, k_{\beta_1}^2$ respectively. Having fixed on a correspondence A between the vertices of  $\overline{K}$  and those of  $\overline{C_2}$ , let each 0-cell  $k_i^0$  be joined by a straight 1-cell  $b_i^1$  to the corresponding vertex of  $\overline{C_2}$  in case  $k_i^0$  does not coincide with its correspondent; and if  $k_i^0$  does coincide with its correspondent let it be joined to its correspondent by a singular 1-cell  $b_i^1$  coinciding with it The two ends of a 1-cell  $k_i^1$  are thus joined by two 1-cells

 $b_i^1$  and  $b_k^1$  either to the same vertex of  $\overline{C_2}$  or to the two ends

\* This is essentially the same as requiring (with Alexander, in the pape cited in our preface) that each vertex of K shall correspond to the nearest vertex of  $C_2$ , or to one of the nearest if there are more than one.

Those of the triangle stars of  $\tilde{C}_2$  whose centers are vertices of a 1-cell  $c_p^1$  of  $\tilde{C}_2$ . In the first case  $k_i^1$ ,  $b_i^1$  and  $b_k^1$  are the of  $\overline{C}_{2}$  have the property that any point of  $\overline{C}_{2}$  is either interior 1-cells of a 1-circuit and in the second case  $k_{i}^{1}, b_{k}^{1}, b_{k}^{1}$  and  $c_{m}^{1}$ are the 1-cells of a 1-circuit. In either case there is a single triangle star of  $\overline{C_2}$  which, with its boundary, contains the 1-circuit. Therefore by § 36 the 1-circuit bounds a 2-cell  $b_i^2$  on  $\overline{C_2}$ . Thus each 1-cell  $k_i^1$  of  $\overline{K}$  determines a 2-cell  $b_i^2$ . The complex composed of the 2-cells  $b_i^2$  and their boundaries is called  $B_{\bullet}$ .

> 42. The incidence relations between the 1-cells  $b_i^1$  and the 2-cells  $b_i^2$  of  $B_2$  are the same as the incidence relations between the 0-cells and 1-cells of  $\overline{K}$ . Hence, in particular, if  $\overline{K}$  is a 1-circuit or set of 1-circuits,  $K_1$ , the sum (mod. 2) of the boundaries of the 2-cells  $b_i^2$  contains none of the 1-cells  $b_i^1$ . Hence the boundary of  $B_2$  can consist only of cells of  $K_1$ and of  $C_2$ . Hence the boundary of  $B_3$  is either  $K_1$  alone or  $K_1$  and a set of 1-circuits composed of cells of  $C_2$ . Let the latter set of 1-circuits be denoted by  $K'_1$ .

Hence we have the congruence.

$$B_2 \equiv K_1 + K_1' \pmod{2}$$

in which  $K'_1$  is either zero or a set of 1-circuits composed of cells of  $C_2$ . From this there follows the homology

$$K_1 \sim K_1' \pmod{2}$$

which we have been seeking.

43. If  $K'_1$  is zero the question as to whether  $K_1$  satisfies a homology

$$K_1 \sim 0 \pmod{2}$$

is answered in the affirmative. In any other case, since  $K'_1$ is composed of cells of  $C_{\rm s}$  it is represented by a symbol  $(x_1, x_2, \dots, x_n)$ . If this symbol is linearly dependent on the columns of the matrix  $H_*$  for  $\overline{C}_*$ .

## $K_1' \sim 0 \pmod{2}$

according to § 28. Moreover  $K'_1$  cannot bound a complex composed of cells of  $C_2$  unless its symbol  $(x_1, x_2, \dots, x_{\alpha_i})$  is

linearly dependent on the columns of  $H_2$ . If, therefore, we can prove that  $K'_1$  cannot bound any complex on  $C_2$  unless it bounds one composed of cells of  $\overline{C}_2$ , it will follow that (3) is satisfied if and only if  $(x_1, x_2, \dots, x_{\alpha_1})$  is linearly dependent on the columns of  $H_2$ . This we proceed to do, thus completing the solution of the problem stated in § 35.

44. Let us return to the notations of §§ 40 and 41 and suppose that K is a two-dimensional complex  $K_2$ . The three 1-cells  $k_i^1, k_j^1, k_l^1$  of  $K_2$  incident with a 2-cell  $k_p^2$  of  $\tilde{K}_2$  have been seen to determine three 2-cells  $b_i^2, b_j^2, b_l^2$ . These 2-cells are incident by pairs with the 1-cells joining the three vertices of  $k_p^2$  to their correspondents under the correspondence A. The vertices of  $C_2$  to which the vertices of  $k_p^2$  correspond are either the three vertices of a 2-cell  $c_q^2$  of  $C_2$  or the two ends of a 1-cell of  $C_2$  or a single 0-cell of  $C_2$ . In the first case the 2-cells,  $k_p^2, b_i^2, b_j^2, b_l^2$  and  $c_q^2$  are the 2-cells of a sphere; in the second and third cases the 2-cells  $k_p^2, b_l^2, b_j^2,$ and  $b_l^2$  are the 2-cells of a sphere. Let the sphere which is thus in every case determined by  $k_p^2$  be denoted by  $S_2^p$ .

A 2-cell  $b_i^2$  is in an odd number of these spheres if and only if it is incident with a 1-cell  $k_i^1$  of the boundary of  $K_2$ . Hence the result of adding the spheres  $S_2^p$  to  $K_2$  (mod. 2) is either zero or a complex  $K'_2$  the 2-cells of which are either 2-cells of  $\overline{C}_2$  or 2-cells  $b_i^2$  determined by the 1-cells of the boundary of  $\overline{K}_2$ . In particular, if  $\overline{K}_2$  is a 2-circuit, either  $K_2$ is the sum (mod. 2) of the spheres  $S_2^p$  or  $K'_2$  is composed entirely of cells of  $\overline{C}_2$ .

45. If  $K_2$  has a boundary, so that

$$(4) \qquad \qquad \qquad K_2 \equiv K_1 \pmod{2}$$

the result of the last section is that by adding a number of congruences,

$$S_2^p \equiv 0 \pmod{2},$$

to (4) we obtain a congruence,

(6) 
$$K'_2 \equiv K_1 \pmod{2}$$
,

64

(7)

(8)

(1)

63

## ANALYSIS SITUS.

such that all 2-cells of  $K'_2$  are either 2-cells of  $\overline{C}_2$  or 2-cells  $b_i^2$  determined by the boundary  $K_1$  of  $K'_2$ . The complex  $B''_2$  composed of the latter 2-cells and their boundaries is such that

$$B_{2}'' \equiv K_1 + K_1'' \pmod{2}$$

where  $K_1''$  is composed of 0-cells and 1-cells of  $C_2$ . On adding (6) and (7) we obtain a congruence

$$K'_2 + B''_2 \equiv K''_1 \pmod{2}$$

in wich the left-hand member represents a complex composed only of cells of  $C_2$ .

46. It is now easy to obtain the result required at the end of § 43, namely that if a set of 1-circuits  $K'_1$  is composed of cells of  $\overline{C}_2$ , then

## $K_1' \sim 0 \pmod{2}$

implies that  $K'_1$  is the boundary of a complex composed of cells of  $\overline{C}_2$ . Taking a complex bounded by  $K'_1$ , we subdivide it as above, preliminary to setting up a correspondence A, and denote by  $K_1$  the corresponding subdivision of  $K'_1$ . Thus we have a congruence like (4) of the last section, consequently one like (8) derived from it. But in this case the  $K'_1$  constructed in the last section is easily seen to be identical with  $K'_1$ . Hence (8) states that  $K'_1$  is the boundary of a complex composed of cells of  $\overline{C}_2$ .

## Invariance of $R_1$

47. An immediate corollary of what has just been proved is that the 1-circuits  $C_1^1, C_1^2, \dots, C_1^{R_1-1}$  of a complete set (§ 29) of non-bounding 1-circuits of  $\overline{C_2}$  are not connected by any homology of the form

$$C_1^{i_1} + C_1^{i_2} + \dots + C_1^{i_k} \sim 0 \pmod{2}$$

in which the superscripts are distinct integers less than  $R_1$ . Moreover if  $K_1$  is any 1-circuit on  $C_2$  it satisfies a homology of the form

(2)  $K_1 \sim C_1^{j_1} + C_1^{j_2} + \dots + C_1^{j_p} \pmod{2}$ 

#### \$\$ 46-49]

in which the terms of the right-hand member represent 1-circuits of the complete set. For by  $\S$  42

 $K_1 \sim K_1 \pmod{2}$ 

(3)

in which  $K'_1$  is zero or a set of 1-circuits composed of cells of  $\overline{C}_2$ , and by § 29  $K'_1$  is homologous to a combination of 1-circuits of the complete set.

48. But if  $K_1^1, K_1^2, \dots, K_1^N$  is any set of 1-circuits such that (1) any 1-circuit is homologous to a linear combination of them and (2) there is no homology relating them, it is easily proved that  $N = R_1 - 1$ . For by the properties of the 1-circuits  $C_1^1, C_1^2, \dots, C_1^{R_1-1}$ , there are N homologies like (2),

(4)  $K_1^j \sim C_1^{j_1} + C_1^{j_2} + \dots + C_1^{j_p} \pmod{2},$ 

one for each value of j from 1 to N. If  $N > R_1 - 1$  the right-hand members of (4) must satisfy a homology because there are only  $R_1 - 1C_1^{i_1}$ 's. But this is contrary to the property (2) of the  $K_1^{i_1}$ 's. Hence  $N > R_1 - 1$  is impossible. In like manner, inverting the roles of the  $K_1^{i_1}$ 's and the  $C_1^{i_1}$ 's, it follows that  $R_1 - 1 > N$  is impossible. Hence  $N = R_1 - 1$ .

Any homeomorphism of  $C_2$  obviously transforms a set of 1-circuits  $K_1^1, K_1^2, \dots, K_1^N$  satisfying the conditions (1) and (2) into a set of 1-circuits satisfying the same conditions. Since  $N = R_1 - 1$  for every such set of 1-circuits, it follows that  $R_1$  is an Analysis Situs invariant of the complex  $C_2$ .

49. It was proved in § 16 that the expression in the righthand member of

$$R_1-1 = \alpha_1-\varrho_1-\varrho_2$$

is the same for  $C_2$  as for  $\overline{C_2}$ . Now let  $C_2$  be sudivided into any set of cells which form a non-singular complex  $K_2$  on  $C_2$ , and let  $\overline{K_2}$  be a regular subdivision of  $K_2$ . The complex  $\overline{K_2}$ can replace  $\overline{C_2}$  in the discussion above and hence  $\overline{K_2}$  has the same connectivity,  $R_1$ , as  $\overline{C_2}$ . Hence  $K_2$  and  $C_2$  have the same connectivity. In other words any two complexes have the same connectivity if they are identical as sets of points and the cells of each are non-singular on the other.

## 6**6**

65

#### ANALYSIS SITUS.

It should perhaps be remarked that the relation between  $K_2$  and  $C_2$  may be quite complex in spite of the fact that each cell of  $K_2$  is non-singular on  $C_2$  and vice versa. For any 1-cell of  $K_2$  may intersect any number of 1-cells of  $C_2$  in an infinite set of points, and any 2-cell of  $K_2$  may have an infinite set of regions in common with any 2-cell of  $C_2$ .

#### Invariance of the 2-Circuit

50. If  $K_2$  and  $C_2$  are related as described in the last section,  $K_2$  is a 2-circuit if and only if  $C_2$  is a 2-circuit. Since the relation between  $C_2$  and  $K_2$  is reciprocal this theorem will be established if we prove that if  $K_2$  is a 2-circuit then  $C_2$  is one. Also it is evident that  $C_2$  or  $K_2$  is a 2-circuit if and only if a regular subdivision of it is a 2-circuit. Hence we replace  $C_2$ by its regular subdivision  $\overline{C_2}$  as in § 40 and construct the spheres  $S_2^p$  as in § 44. By § 44 the result of adding the spheres  $S_2^p$  to  $\overline{K_2}$  (mod. 2) is either zero or a set of 2-circuits composed of cells of  $\overline{C_2}$ . If it were zero the 2-circuit  $\overline{K_2}$ would be the sum (mod. 2) of the spheres  $S_2^p$ . But this is impossible, as shown by the following theorem.

51. There is no set of 2-circuits  $K_2^i$  on a 2-circuit  $C_2$  such that (1) for each 2-circuit  $K_2^i$  there is a 2-cell of  $C_2$  on which there is no point of  $K_2^i$  and (2) the sum (mod. 2) of the 2-circuits  $K_2^i$  is  $C_2$ .

To prove this theorem, we suppose that there is a set of 2-circuits  $K_2^i$  having the property (1). We let these 2-circuits take the place of K in § 40, make the regular subdivision of  $C_2$  into  $\overline{C_2}$  and  $K_2^i$  into  $\overline{K_2^i}$ , construct a correspondence A and obtain a set of spheres  $S_2^p$  (which, of course, must not be confused with those in § 50). When the spheres having 2-cells in common with one of the 2-circuits  $\overline{K_2^i}$  are added to this  $\overline{K_2^i}$  the result is either zero or a non-singular set of 2-circuits composed of cells of  $\overline{C_2}$ . But since  $\overline{C_2}$  is a 2-circuit the only 2-circuit composed of its cells is  $\overline{C_2}$  itself. Since there is one 2-cell of  $C_2$  which contains no point of  $K_2^i$  it follows that the sum of  $\overline{K_2^i}$  and the spheres  $S_2^p$  determined by its 2-cells is zero.

#### TWO-DIMENSIONAL COMPLEXES. §§.50-58]

Obviously if each of two 2-circuits is such that the sum

(mod. 2) of it and the spheres  $S_2^p$  determined by its 2-cells is zero the same is true of the sum (mod. 2) of the two 2-circuits. Hence the sum of all the 2-circuits  $\bar{K}_2^i$  has this property. On the other hand the 2-circuit  $C_2$  is such that the sum of it and the spheres  $S_2^p$  determined by its 2-cell is  $\overline{C}_2$  itself. Hence the 2-circuits  $K_2^i$  do not have the property (2).

52. Letting the 2-circuit  $\overline{K_2}$  and the spheres  $S_2^p$  of  $\S 50$ take the place of the 2-circuit  $C_2$  and the 2-circuits  $K_2^i$  of § 51 it follows from the theorem of § 51 that  $K_2$  is not the sum (mod. 2) of the spheres  $S_2^p$ . Hence the sum (mod. 2) of  $K_2$  and the spheres  $S_2^p$  is a set of 2-circuits composed of cells of  $\overline{C}_2$ . We shall prove that these 2-circuits constitute  $C_2$ If they did not, let them be denoted by  $C'_2$ , let  $c^2_i$  be one of the 2-cells of  $\overline{C}_{2}$  which is not on  $C_{2}'$ , and let  $K_{2}$  be regularly subdivided into a complex  $K'_2$  which has at least one 2-cel which is interior to  $c_i^2$ .

The complex  $C'_2$  is composed of non-sigular cells on K and hence  $C'_2$  and  $K'_2$  can replace  $\overline{K_2}$  and  $\overline{C_2}$  respectively in the construction used in § 50 for the spheres  $S_2^p$ . Thus a set of spheres can be found which when added to a regular sub division of  $C'_2$  give a set of 2-circuits  $C''_2$  composed of cell of a regular subdivision of  $K'_2$ . It follows from § 51 that  $C'_2$ is not vacuous. Since  $\overline{K}_2$  and its regular subdivisions are 2-circuits,  $C_2''$  must be identical with the regular subdivision of  $K'_2$ . This is not possible unless there is a point of  $C'_2$  or each 2-cell of  $K'_2$ . But this implies that there is a point of  $C'_2$  on  $c^2_i$ , contrary to the hypothesis that  $c^2_i$  is not a cell of  $C'_2$ . Hence  $C'_2$  coincides with  $C_2$ , as we wished to prove

Now by reversing the whole process we can show that any one of the 2-circuits that compose  $C'_2$  will yield a subdivision of the 2-circuit  $K_z$ . Hence there can be only one. and the proof of the theorem of § 50 is complete.

53. It is an obvious corollary of this theorem that the is therefore a solution of the equations  $(E_1)$ , § 42, Chap. 1. property of a two-dimensional complex, of being a 2-circuit. Hence is an Analysis Situs invariant. For if  $C_2$  and  $G_2$  are two

#### ANALYSIS SITUS.

complexes which are homeomorphic, the homeomorphism defines

a non-singular complex  $K_2$  on  $C_2$  such that each cell of  $K_2$ . is the image of a cell of  $G_2$ . By definition,  $K_2$  is a 2-circuit if and only if  $G_2$  is a 2-circuit, and by the theorem of § 50  $K_2$  is a 2-circuit if and only if  $C_2$  is a 2-circuit.

It is an obvious corollary of this result that the property of a complex, that it defines a manifold, is also an Analysis Situs invariant. In other words, any complex into which a manifold can be subdivided, satisfies the conditions laid down in  $\S$  19.

#### Matrices of Orientation

54. Let us now convert the 1-dimensional complex composed of the 0-cells and 1-cells of  $C_2$  into an oriented onedimensional complex in the fashion described in §§ 33 to 40 of Chap. I. The oriented O-cells are

the 1-cells are

68

6

$$\sigma_1^1, \sigma_2^1, \ldots, \sigma_{\alpha_1}^1,$$

 $\sigma_1^0, \sigma_2^0, \cdots, \sigma_{\alpha_0}^0,$ 

and the relations between them are given by the matrices.  $E_0, E_1$  satisfying the relation

 $E_0 \cdot E_1 = 0.$ 

Each of the columns of  $H_2$  is the symbol for a 1-circuit which, according to § 35, Chap. 1, determines two oriented 1-circuits. The symbol for either of these oriented 1-circuits may be obtained from the corresponding column of  $H_2$  by changing some of the 1's to -1's. Hence by changing some of the 1's in  $H_2$  to -1's there is determined a matrix

$$E_2 = \|\epsilon_{ij}^2\|$$
  $(i = 1, 2, \dots, \alpha_1; j = 1, 2, \dots, \alpha_2)$ 

each column of which represents an oriented 1-circuit and

 $E_1 \cdot E_2 = 0.$ 

[Chap. II

#### 68 54-55

(1)

69

[Chap. II

As an example, a matrix  $E_2$  for the tetrahedron in Fig. 1, page 2, is (cf.  $H_2$  in § 4)

$$E_2 = \begin{vmatrix} 0 & -1 & 1 & 0 \\ 1 & 0 & -1 & 0 \\ -1 & 1 & 0 & 0 \\ 1 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & -1 \end{vmatrix}.$$

A further example is furnished by the projective plane. for which (cf. §§ 22, 23)

|         |    |     |     |      |     |    |                     | 1 | 1  | 0  |    |
|---------|----|-----|-----|------|-----|----|---------------------|---|----|----|----|
|         | -1 | 0   | 1   | 0    | 0   | 1  |                     | 1 | -1 | 0  | ĺ  |
|         | 1  | 0   | 0 - | -1 - | -1  | 0  | E                   | 1 | 0  | -1 |    |
| $E_1 =$ | 0. | -1  | 0   | 0    | 1 - | -1 | , D <sub>2</sub> == | 0 | 1  | -1 | 1  |
|         | 0  | 1 - | -1  | 1    | 0   | 0  |                     | 1 | 0  | 1  | ĺ. |
|         |    |     |     |      |     |    |                     | 0 | 1  | 1  | ł  |

Note that the rank of  $E_2$  for the tetrahedron is 3, or  $\alpha_2 - 1$ , and for the projective plane is 3, or  $\alpha_2$ .

55. Let us denote the ranks of  $E_0, E_1, E_2$  by  $r_0, r_1, r_2$ respectively. We have seen that

$$r_0 = R_0 = \varrho_0,$$
  
$$r_1 = \varrho_1$$

and that in case  $C_2$  is a 2-circuit,

$$\varrho_2 = \alpha_2 - 1.$$

this would imply a linear relation involving at most  $\alpha_2 - 1$  one contains  $-\sigma^1$ . Consequently if C<sub>2</sub> has the property columns, with relatively prime coefficients, and hence on that each of its 1-cells is incident with two and only two reducing modulo 2, that the same statement was true of the columns of  $H_2$ , contrary to § 30. Hence there remain two possibilities

and

$$a_2 = a_2 - a_2$$

 $r_s = \alpha_s$ 

for any  $C_2$  which is a 2-circuit. The examples in the last section show that both possibilities can be realized.

56. A 2-circuit  $C_2$  such that  $r_2 = \alpha_2 - 1$  has the property that if the boundaries of its 2-cells are converted into oriented 1-circuits in any way, they will satisfy a linear relation with integral coefficients. For the columns of  $E_2$  represent a set of oriented 1-circuits, one bounding each 2-cell, and since  $r_2 = \alpha_2 - 1$  they are subject to one linear relation.

$$b_1 c_1 + b_2 c_2 + \cdots + b_{\alpha_s} c_{\alpha_s} = 0$$

in which the c's represent the columns of  $E_2$  and the b's are positive or negative integers or zero. If the coefficients are divided by their highest common factor, and then reduced modulo 2, this relation must state that the sum of the columns of  $H_2$  is zero. Hence the relation must involve all columns of E..

In case  $C_2$  has the property that each 1-cell is incident with two and only two 2-cells (for example, if it is a manifold), if an oriented 1-cell  $\sigma_i^1$  is to cancel out, the two oriented 1-circuits formed from the boundaries of the 2-cells incident with  $a_i^1$  must appear in (1) with numerically equal coefficients. It follows that the coefficients of (1) are numerically equal and therefore that by removing a common factor (1) can be reduced to a form in which  $b_i = \pm 1$ .

Hence by multiplying some of the columns by -1,  $E_2$  can be reduced to a form in which the sum of the columns is zero. The columns of  $E_2$  then represent a set of oriented 1-circuits such that if  $\sigma^1$  is any oriented 1-cell formed from It is impossible that r, should be less than  $\alpha_2 - 1$  because a 1-cell of  $C_2$ , one of these 1-circuits contains  $\sigma^1$  and another 2-cells, the boundaries of its 2-cells can be converted into oriented 1-circuits in such a way that their sum is zero.

#### **Orientable Circuits**

57. The theorem of the last section is that if  $r_2 = \alpha_2 - 1$ for a 2-circuit  $C_2$ , the boundaries of the 2-cells of  $C_2$  can be converted into oriented 1-circuits in such a way that they

#### §§ 56--58] TWO-DIMENSIC

3

53 - C

72

71

#### ANALYSIS SITUS.

[Chap. II

satisfy a linear relation. If  $r_2 = \alpha_2$  the boundaries of the 2-cells evidently cannot be thus oriented. In the first case  $C_2$  is said to be *two-sided* or *orientable* and in the second case to be *one-sided* or *non-orientable*. A manifold is said to be *orientable* or *non-orientable* according as the complex defining it is or is not orientable. This extension of the term is justified by the theorems of §§ 58-60 below, according to which the complexes defining a given manifold  $M_2$  are all orientable or all non-orientable.

This definition is equivalent to the one given in 1865 by A. F. Möbius, Über die Bestimmung des Inhaltes eines Polyëders, Werke, Vol. 2, p. 475; see also p. 519. The term "orientable" was suggested by J. W. Alexander as preferable to "two-sided" because the latter term connotes the separation of a three-dimensional manifold into two parts, the two "sides," by the two-dimensional manifold, whereas the property which we are dealing with is an internal property of the twodimensional manifold.\*

The intuitional significance of orientableness is perhaps best grasped by experiments with the well-known Möbius paper strip described in the article referred to above. These experiments can also be used to verify the theorems on deformation and on the indicatrix in Chap. V.

58. Suppose that a 2-cell  $a_i^2$  of a complex  $C_2$ , the cells of which have been oriented in the manner described above, is separated into two 2-cells by a 1-cell  $a^1$ . The two new 2-cells are bounded by two 1-circuits which have  $a^1$  in common. It is easily seen that if  $\sigma^1$  is either of the oriented 1-cells formed from  $a^1$ , two oriented 1-circuits can be formed from the

\* On the relation between orientableness and two-sidedness, see E. Steinitz, Sitzungsberichte der Berliner Math. Ges., Vol. 7 (1908), p. 35; and D. König, Archiv der Math. u. Phys., 3d Ser., Vol. 19 (1912), p. 214. The term orientable (orientierbar) has also been used by H. Tietze in an article in the Jahresbericht der Deutschen Math. Ver., Vol. 29 (1920), p. 95, which came to my attention while these lectures were in proof-sheets. This article contains a general discussion of orientability covering a number of the questions referred to in the beginning of Chap. V below, and also a useful collection of references. boundaries of the two new 2-cells in such a way that one of them contains  $\sigma^1$  and the other contains  $-\sigma^1$ . Hence the sum of these oriented 1-circuits is one of the two oriented 1-circuits which can be formed from the boundary of  $\alpha_1^2$ .

The complex  $C_2$  is converted into a new complex  $C'_2$  by intoducing the new 1-cell  $a^1$  and subdividing  $a_i^2$ . The matrix  $E_2$ of  $C'_2$  has one row and one column more than the matrix  $E_2$ of  $C_2$ , and by the paragraph above can be converted into the matrix  $E_2$  for  $C_2$  by adding the two columns corresponding to the two new 2-cells and striking out the row corresponding to  $a^1$ . These operations evidently reduce the rank by 1. Hence the rank of  $E_2$  for  $C'_2$  is equal to the number of 2-cells of  $C'_2$  if and only if the rank of  $E_2$  for  $C'_2$  is equal to the number of 2-cells of  $C'_2$ .

Since a regular subdivision of  $C_2$  can be effected by the two operations of introducing new 0-cells on the 1-cells of  $C_2$ and separating the 2-cells into new 2-cells by 1-cells, it follows from the theorem just proved that any regular subdivision of  $C_2$  is such that

## $r_2 = \alpha_2 - 1$

if and only if  $C_2$  has this property.

59. If  $C_2$  is a 2-circuit and  $G_2$  is any 2-circuit homeomorphic with  $C_2$ , let  $K_2$  be the 2-circuit on  $C_2$  whose cells are respectively homeomorphic with the cells of  $G_2$ . As in § 50  $C_2$  and  $K_2$ may be regularly subdivided into  $\overline{C_2}$  and  $\overline{K_2}$  and a set of spheres  $S_2^p$  constructed such that the sum (mod. 2) of  $\overline{K_2}$  and the 2-circuits defining these spheres is  $\overline{C_2}$ . For each 2-cell  $k_p^p$  of  $\overline{K_2}$  there is one and only one sphere  $S_2^p$  which has  $k_p^2$  as one of its 2-cells.

If  $K_2$  is such that  $r_2 = \alpha_2 - 1$ ,  $\overline{K_2}$  has the same property, that is to say, some linear combination of the oriented boundaries of its 2-cells sums to zero. Each of the spheres  $S_2^p$ obviously has this property also. The set of oriented 1-circuits which can be formed from the boundaries of the 2-cells of  $\overline{K_2}$  and of the spheres  $S_2^p$  is therefore subject to one linear relation involving the oriented 1-circuits of  $\overline{K_2}$  and

## TWO-DIMENSIONAL COMPLEXES.

44 KQ\_\_60

one analogous linear relation for each of the spheres  $S_2^p$ . Since each  $S_2^p$  has just one 2-cell in common with  $\overline{K_2}$ , the linear relations corresponding to the spheres  $S_2^p$  can be multiplied by integers and added to the linear relation corresponding to  $\overline{K_2}$  in such a way that all terms involving oriented 1circuits of  $\overline{K_2}$  cancel out, thus giving a linear relation, R, among oriented 1-circuits bounding 2-cells of the spheres  $S_2^p$  which does not involve any oriented 1-circuit bounding a 2-cell of  $\overline{K_2}$ .

Among the 2-cells of the spheres  $S_2^p$  are the 2-cells  $b_i^2$  each determined as explained in § 41 by a 1-cell  $k_i^1$  of  $\overline{K_2}$ . Each such 2-cell is in the spheres  $S_2^p$  corresponding to the 2-cells of  $\overline{K_2}$ incident with the  $k_i^1$  in question, and no others. Since the oriented circuits bounding 2-cells of  $\overline{K_2}$  which are incident with  $k_i^1$  were cancelled out in forming R, the oriented 1-circuit formed from the boundary of  $b_i^2$  is also cancelled out. Hence R contains none of the oriented 1-circuits formed from the boundaries of the 2-cells  $b_i^2$ . Hence R can only contain oriented 1-circuits formed from the boundaries of 2-cells of  $\overline{C_2}$ . It must contain some of these, for otherwise each 2-cell of  $\overline{C_2}$  would be in an even number of spheres  $S_2^p$  and hence the sum (mod. 2) of these spheres  $S_2^p$  and the complex  $\overline{K_2}$  would be zero contrary to § 51.

Hence the set of oriented 1-circuits formed from the boundaries of the 2-cells of  $\overline{C}_2$  is subject to one linear condition. Hence by § 55  $r_2 = \alpha_2 - 1$  for  $\overline{C}_2$ . Hence by § 58  $r_2 = \alpha_2 - 1$  for  $\overline{C}_2$ .

60. The theorem of § 53 was that if  $C_2$  is a 2-circuit any complex homeomorphic with  $C_2$  is a 2-circuit. The theorem of the last section adds to this result the theorem that if  $C_2$ is orientable so is also any complex homeomorphic with  $C_2$ . It follows that if one of the complexes into which a manifold can be decomposed is orientable so are all the complexes into which it can be decomposed. Thus the property of orientability or non-orientability is a property of a manifold and is invariant under the group of homeomorphisms.

As a corollary of this it follows that any complex defining a sphere is orientable. The same follows for any sphere ANALYSIS SITUS.

74

with p handles on observing that the particular complexes used in defining these manifolds are orientable. In like manner, the manifolds defined in § 26 are non-orientable.

## Normal Forms for Manifolds

61. It has now been proved that any two homeomorphic manifolds are both orientable or both one-sided, and have the same connectivity. Conversely it can be proved that if two closed manifolds are both orientable (or both one-sided) and have the same connectivity they are homeomorphic. In other words,  $R_1$  and the orientableness of a closed manifold characterize it completely from the point of view of Analysis Situs.

62. By way of establishing this theorem we shall outline a method of reducing any manifold to a normal form. Let  $C_2$  denote a complex whose points constitute a manifold  $M_2$ . Let the 2-cells of  $C_2$  be so ordered that  $a_2^k$   $(k = 2, 3, \dots, \alpha_2)$ is incident with at least one 1-cell, say  $a_{k-1}^1$ , which is also incident with one of the 2-cells  $a_1^2, a_2^2, \dots, a_{k-1}^2$ .

According to § 9 the cells  $a_1^2$ ,  $a_1^1$ ,  $a_2^2$  constitute a 2-cell,  $b_2^2$ . Similarly, the cells  $b_2^2$ ,  $a_2^1$ ,  $a_3^2$  constitute a 2-cell,  $b_3^2$ . The process may be continued until we arrive at a 2-cell  $b_{\alpha_2}^2$ which is made up of all the 2-cells  $a_i^2$   $(i = 1, 2, ..., \alpha_2)$ and of the 1-cells  $a_j^1$   $(j = 1, 2, ..., \alpha_2 - 1)$ . The remaining 1-cells are in number  $\alpha_1 - \alpha_2 + 1 = \alpha_0 + R_1 - 2$  (§ 30, equation (4)). Hence the boundary of  $b_{\alpha_2}^2$  contains  $2(\alpha_0 + R_1 - 2)$ 1-cells which coincide by pairs with the 1-cells  $a_k^1$   $(k = \alpha_2, \alpha_2 + 1, ..., \alpha_1)$ . We denote by  $U_1$  the linear graph determined by the 1-cells  $a_k^1$ .

63. The graph  $U_1$  has the property that none of its 1-circuits or sets of 1-circuits bounds. For if  $K_1$  were a bounding set of 1-circuits composed of cells of  $U_1$ , then  $C_2$  would be separated by  $K_1$  into two parts, each bounded by  $K_1$ . In the sequence of cells  $a_i^2$   $(i = 1, 2, ..., \alpha_2)$  there must be at least one pair  $a_j^2$ ,  $a_{j+1}^2$  such that  $a_j^2$  would be in one of the parts in question and  $a_{j+1}^2$  would be in the other. Hence

#### **\$\$ 61-65]**

TWO-DIMENSIONAL COMPLEXES.

75

the 1-cell  $a_j^1$  must be on the common boundary of the two parts, namely  $K_1$ , hence on  $U_1$ . But this would contradict the definition of  $U_1$  as a linear graph containing none of the 1-cells  $a_j^1$   $(j = 1, 2, ..., \alpha_2 - 1)$ . Consequently  $U_1$  has the property stated above.

64. The result of the last section may be stated in the following form: Any closed manifold  $M_2$  can be set into continuous correspondence with the points of a convex polygon of  $2(\alpha_0 + R_1 - 2)$  edges in a Euclidean plane in such a way that (1) each interior point of the polygon corresponds to and is the correspondent of one point of the manifold; (2) each interior point of an edge of the polygon determines an interior point of another edge such that these two points of the polygon correspond to one point of the manifold, and this point of the manifold corresponds only to these two points of the polygon; (3) each vertex of the polygon determines a single point of the manifold, and this point of the manifold the manifold.

65. By a series of transformations on this polygon which involve cutting it by 1-cells running from one vertex to another and piecing it together along corresponding edges, it can be changed into a polygon of  $2(R_1 - 1)$  sides all of whose vertices correspond to a single 0-cell of  $M_2$ . This polygon in turn can be transformed into one of three normal forms. If the polygon reduces to the first of these forms the manifold is a sphere with p handles; if the polygon takes the second form, the manifold is a one-sided manifold of the first kind: and if the polygon takes the third form, the manifold is a one-sided manifold of the second kind. Thus, every closed manifold  $M_2$  is of one of the three types described in §§ 25 and 26.

A proof of this theorem which follows the line of argument outlined above is to be found in a paper by H. R. Brahana in the Annals of Mathematics (2), Vol. 23 (1921), pp. 144-68.

## CHAPTER III

## COMPLEXES AND MANIFOLDS OF n DIMENSIONS

## **Fundamental Definitions**

1. In a Euclidean three-space, four non-coplanar points together with the one- and two-dimensional simplexes (§ 1, Chap. I and § 1, Chap. II) of which they are vertices constitute the boundary of a finite region, called a *three-dimensional simplex* or *tetrahedral region*, of which the four given points are called the *vertices*. The points of the boundary are not regarded as points of the simplex.

A set of n+1 points, not all in the same (n-1) space, together with the one-, two-,  $\cdots$ , (n-1)-dimensional simplexes of which they are vertices constitute the boundary of a finite region in the *n*-space containing the n+1 points. This region is called an *n*-dimensional simplex and the n+1given points are called its vertices. The points of the boundary are not regarded as points of the simplex.

Consider any set of objects in (1-1) correspondence with the points of an *n*-dimensional simplex (n > 0) and its boundary. The objects corresponding to the points of the simplex constitute what is called an *n*-dimensional cell or *n*-cell, and those corresponding to the boundary of the simplex what is called the boundary of the cell.

The remarks of § 2, Chap. I are now to be applied without change to the n-dimensional case.

2. An *n*-dimensional complex is defined by the following recursive statements:

An *n*-dimensional complex  $C_n$  consists of an (n-1)-dimensional complex  $C_{n-1}$  together with a number,  $\alpha_n$ , of *n*-cells whose boundaries are circuits of  $C_{n-1}$ , such that no *n*-cell has a point in common with another *n*-cell or with  $C_{n-1}$  and such

76

#### **% 61-65**]

the 1-cell  $a_j^1$  must be on the common boundary of the two parts, namely  $K_1$ , hence on  $U_1$ . But this would contradict the definition of  $U_1$  as a linear graph containing none of the 1-cells  $a_j^1$   $(j = 1, 2, ..., \alpha_2 - 1)$ . Consequently  $U_1$  has the property stated above.

64. The result of the last section may be stated in the following form: Any closed manifold  $M_2$  can be set into continuous correspondence with the points of a convex polygon of  $2(\alpha_0 + R_1 - 2)$  edges in a Euclidean plane in such a way that (1) each interior point of the polygon corresponds to and is the correspondent of one point of the manifold; (2) each interior point of an edge of the polygon determines an interior point of another edge such that these two points of the polygon correspond to one point of the manifold, and this point of the manifold corresponds only to these two points a set of vertices of the polygon all of which correspond to a single point of the manifold, and this point of the manifold to these vertices and these only.

65. By a series of transformations on this polygon which involve cutting it by 1-cells running from one vertex to another and piecing it together along corresponding edges, it can be changed into a polygon of  $2(R_1-1)$  sides all of whose vertices correspond to a single 0-cell of  $M_2$ . This polygon in turn can be transformed into one of three normal forms. If the polygon reduces to the first of these forms the manifold is a sphere with p handles; if the polygon takes the second form, the manifold is a one-sided manifold of the first kind: and if the polygon takes the third form, the manifold is a one-sided manifold of the second kind. Thus, every closed manifold  $M_2$  is of one of the three types described in §§ 25 and 26.

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Consider any set of objects in (1-1) correspondence with the points of an *n*-dimensional simplex (n > 0) and its boundary. The objects corresponding to the points of the simplex constitute what is called an *n*-dimensional cell or *n*-cell, and those corresponding to the boundary of the simplex what is called the boundary of the cell.

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76

11-81

n-DIMENSIONAL COMPLEXES.

78

77

in the two sections above all the cells and the circuits bounding them are non-singular. This insures that the representation by matrices given below shall be unique. It does not, however, exclude the possibility of extending the use of the matrices to cases where, as in § 32, Chap. II, the cells have singular boundaries. But in proving our general theorems we stick to the case of non-singular cells with non-singular boundaries.

#### Matrices of Incidence

4. Let  $\alpha_k (k = 0, 1, \dots, n)$  denote the number of k-cells in a complex  $C_n$ . The k-cells themselves may be denoted by  $a_1^k, a_2^k, \cdots, a_{\alpha}^k$ . The incidence relations between the (k-1)cells and the k-cells are represented by a matrix

$$\|\eta_{ij}^k\| = H_k$$
  $(k = 1, 2, ..., n)$ 

in which  $\eta_{ij}^k = 1$  if  $a_i^{k-1}$  is incident with  $a_j^k$  and  $\eta_{ij}^k = 0$  if  $a_i^{k-1}$  is not incident with  $a_j^k$ . The matrix  $H_k$  has  $\alpha_{k-1}$  rows and ak columns.

An *n*-dimensional complex is completely described by the set of matrices.

$$H_1, H_2, \ldots, H_n,$$

for, as can be shown by an obvious argument (cf. § 6, Chap. II) any two complexes having the same set of matrices are in (1-1) continuous correspondence.

The elements of the matrices are combined as integers reduced modulo 2, just as in Chap. I. The ranks of the matrices are denoted by  $q_1, q_2, \ldots, q_n$  respectively.

By the general theory of such matrices, there exists for each  $H_k$  a pair of square matrices  $A_{k-1}$ ,  $B_k$ , of  $\alpha_{k-1}$  and  $\alpha_k$ rows respectively, each having its determinant equal to 1, such that

$$A_{k-1}^{-1} \cdot H_k \cdot B_k = H_k^*,$$

where  $H_k^*$  is a matrix of  $\alpha_{k-1}$  rows and  $\alpha_k$  columns in which the first  $q_k$  elements of the main diagonal are unity and all the rest of the elements are zero. Thus the theory of the

that each (n-1)-cell of  $C_{n-1}$  is on the boundary of at least one n-cell. The order relations of the points of the boundary of each *n*-cell coincide with the order relations among these points regarded as belonging to the (n-1)-dimensional circuit.\* The (n-k)-cells  $(k = 1, 2, \dots, n)$  on the boundary of an *n*-cell of  $C_n$  are said to be *incident* with it and it is said to be incident with them.

An n-dimensional circuit or n-circuit or generalized n-dimensional polyhedron is an *n*-dimensional complex  $C_n$  such that (1) each (n-1)-cell of  $C_n$  is incident with an even number of *n*-cells and (2) no subset of the cells which constitute  $C_n$ satisfies (1).

The definition of homeomorphism and the remarks in  $\S$  3, Chap.II generalize directly to n dimensions. In particular, any theorem about an n-dimensional complex which remains valid if the complex is subjected to any (1-1) continuous transformation is a theorem of Analysis Situs.

An arbitrary subset of the cells of an n-dimensional complex is sometimes referred to as a generalized n-dimensional complex, provided it contains at least one n-cell.

3. The definition of a singular or non-singular generalized complex  $C_k$  on a complex  $C_n$  is a direct generalization of that given in § 33, Chap. II. It is obtained from the definition in Chap. II by substituting  $C_k$  for C',  $C_n$  for  $C_2$  and making corresponding substitutions wherever the dimensionality of cells or complexes is mentioned. The number k may be greater than, equal to, or less than n.

It is important to notice that in the fundamental definitions

<sup>\*</sup> This statement can also be put in the following form: Suppose that an i-cell  $a^i$  appears on the boundaries of two (i + k)-cells,  $a_i^{i+k}$  and  $a_i^{i+k}$ . Then  $a_{i}^{\prime+k}$  and  $a_{i}^{\prime+k}$  and their boundaries are, by definition, in (1-1) correspondences  $T_1$  and  $T_2$  with two (i+k)-dimensional simplexes, b and c and their boundaries. In the correspondence  $T_1$  a' corresponds to an *i*-dimensional cell b' of the boundary of b while in the correspondence  $T_2$  it corresponds to an i-dimensional cell c' of the boundary of c. The resultant of the correspondences effected by  $T_1^{-1}$  and  $T_2$  on b' and a' respectively is a correspondence in which b' corresponds to c'. This correspondence must be continuous.

\$\$ 4-7] n-DIMENSIONAL COMPLEXES.

80

(1)

*n*-dimensional complex will involve the matrices  $\Pi_i$ ,  $A_{i-1}$ ,  $B_i$ ,  $\Pi_i^*$ , (i = 1, 2, ..., n).

5. Special cases to illustrate the incidence matrices are easily constructed. For example the matrices for a complex obtained by subdividing a projective 3-space into cells are given in Chap. IX, Vol. II of the Veblen and Young Projective Geometry. The following definition gives another example.

By an *n*-dimensional sphere or a simple closed manifold of n dimensions is meant the set of points on a complex whose matrices of incidence are

$$H_1 = H_2 = \ldots = H_n = \begin{vmatrix} 1 & 1 \\ 1 & 1 \end{vmatrix}.$$

The *n*-dimensional sphere is easily seen to be homeomorphic with the boundary of an (n + 1)-cell. Since it has two 0-cells, two 1-cells, ..., two *n*-cells, its *characteristic*,

$$\alpha_0 - \alpha_1 + \alpha_2 - \cdots + (-1)^n \alpha_n,$$

is 0 if n is odd and 2 if n is even.

6. Any set of the k-cells,  $a_1^k, a_2^k, \dots, a_{\alpha_k}^k$ , and also the k-dimensional complex consisting of a set of k-cells and their boundaries, may be denoted by a symbol  $(x_1, x_2, \dots, x_{\alpha_k})$ , in which  $x_i = 1$  if  $a_i^k$  is in the set and  $x_i = 0$  if  $a_i^{l_0}$  is not in the set.

These symbols can be added (mod. 2) by precisely the rule given in §§ 14 and 15, Chap. I, for the 0- and 1-dimensional cases. Corresponding to this we have a rule for the addition of two k-dimensional complexes consisting each of a set of k-cells and their boundaries. The *sum*, modulo 2, of two ndimensional complexes  $C'_n$  and  $C''_n$  each of which is a subcomplex of a given complex  $C_n$ , is the complex determined by the set of all k-cells in  $C'_n$  or  $C''_n$  but not in both  $C'_n$  and  $C''_n$ ; it is denoted by  $C'_n + C''_n$  (mod. 2). It has the obvious property that if  $C'_n$  and  $C''_n$  are n-circuits,  $C'_n + C''_n$  (mod. 2) is also an n-circuit or a set of n-circuits.

7. The boundary of a k-dimensional complex  $C_k$  is the (k-1)dimensional complex consisting of the (k-1)-cells of the complex  $C_n$  which are incident each with an odd number of k-cells of  $C_k$ , and the boundaries of these (k-1)-cells. Thus a k-dimensional complex is a set of k-circuits if and only if it has no boundary.

By precisely the same reasoning as that used in the 0and 1-dimensional cases (cf. § 28, Chap. II) the boundary of a  $C_k$  is a (k-1)-dimensional circuit or a set of (k-1)dimensional circuits having at most a (k-2)-dimensional complex in common. From this reasoning it also follows that every bounding (k-1)-circuit is a sum (mod. 2) of a set of (k-1)-circuits which bound k-cells, i. c., which are represented by columns of  $H_k$ . Hence all bounding (k-1)circuits are linearly expressible in terms of those corresponding to a linearly independent set of  $\varrho_k$  columns of  $H_k$ , where  $\varrho_k$  is the rank of  $H_k$ .

8. As in the 0-, 1-. and 2-dimensional cases (cf. §24, Chap. I),

$$\eta_{i_1}^k x_1 + \eta_{i_2}^k x_2 + \cdots + \eta_{i\alpha_k}^k x_{\alpha_k}$$

is 1 or 0 according as there are an odd or an even number of k-cells of the set  $(x_1, x_2, \dots, x_{\alpha_k})$  incident with the (k-1)-cell  $a_i^{k-1}$ . Hence if

$$H_k \cdot egin{pmatrix} x_1 & y_1 & y_2 & y$$

 $(y_1, y_2, \dots, y_{\alpha_{k-1}})$  represents the boundary of  $(x_1, x_2, \dots, x_{\alpha_k})$ . As a corollary it follows that the *k*-circuits are the solutions of the equations

$$(II_k) \qquad \qquad \sum_{j=1}^{a_k} \eta_{ij}^k x_j = 0 \qquad (i = 1, 2, ..., \alpha_{k-1}).$$

Since the columns of the matrix  $H_k$  represent (k-1)-circuits they represent solutions of the equations

XES. n-DIMENSIONAL CO.

 $(H_{k-1})$ 

§§ 8—9]

 $\sum_{j=1}^{lpha_{k-1}}\eta_{ij}^{k-1}x_{j}=0 \hspace{0.5cm} (i=1,\,2,\,...,\,lpha_{k-2})$ 

and hence (2)

 $\Pi_{k-1} \cdot H_k = 0$   $(k = 1, 2, \dots, n).$ 

82

## The Connectivities $R_i$

9. If  $e_k$  denotes the rank of  $H_k \pmod{2}$  the number of solutions of the linear homogeneous equations  $(H_k)$  in a complete set is  $\alpha_k - \varrho_k$  (cf. § 25, Chap. I). According to § 8, the columns of  $H_{k+1}$  are solutions of the equations  $(H_k)$  and hence  $\varrho_{k+1}$  of these columns can enter in a complete set of solutions of  $(H_k)$ .

Let  $R_k - 1$  be the smallest number of non-bounding k-circuits which it is necessary to adjoin to a set of  $e_{k+1}$  linearly independent bounding k-circuits in order to have a set of k-circuits on which all others are linearly dependent.

Then for an *n*-dimensional complex  $C_n$  the number of solutions of  $(H_k)$  in a complete set is  $\varrho_{k+1} + R_k - 1$  if 0 < k < n. Hence (0 < k < n)

 $\alpha_k - \varrho_k = \varrho_{k+1} + R_k - 1$ 

and

(1)

$$\alpha_n-\varrho_n=R_n-1.$$

 $\alpha_0 - \rho_1 = R_0$ .

By § 20, Chap. I

Hence we have the series of equations

|   | $R_0$      | -1  | ==== | $\alpha_0$     | $-\varrho_1$         | 1,        |
|---|------------|-----|------|----------------|----------------------|-----------|
|   | $R_{ m t}$ | -1  | =    | $\alpha_1$     | $-\varrho_1$         | — Q2,     |
|   | $R_{2}$    | -1  | ==   | $\alpha_2$     | e2                   | — Q3,     |
|   | •          |     | •    |                |                      |           |
|   | •          |     | •    |                |                      |           |
|   | •          |     |      |                |                      |           |
|   | $R_{n-}$   | 1-1 |      | $\alpha_{n-1}$ | $-1 - \varrho_{n-1}$ | $1-q_n$ , |
| - | $R_n$      | -1  | =    | $\alpha_n$     | $-\varrho_n$ .       |           |

On multiplying these equations alternately by +1 and -1and adding we obtain

(2) 
$$\sum_{i=0}^{n} (-1)^{i} \alpha_{i} = 1 + \sum_{i=0}^{n} (-1)^{i} (R_{i} - 1).$$

ANALYSIS SITUS.

[Chap. III

In case the complex  $C_n$  is an *n*-circuit,  $R_0 = 1$ ,  $R_n = 2$ and (2) becomes

3) 
$$\sum_{i=0}^{n} (-1)^{i} \alpha_{i} = 1 + (-1)^{n} + \sum_{i=1}^{n-1} (-1)^{i} (R_{i} - 1).$$

This is a generalization of Euler's formula (§ 30, Chap. II) to n dimensions. If n is even it reduces to

(4) 
$$\alpha_0 - \alpha_1 + \alpha_2 - \cdots + \alpha_n = 3 - R_1 + R_2 - \cdots - R_{n-1}.$$

In case  $C_n$  is a manifold and n is odd, (3) when combined with a result obtained in § 29 below reduces to

$$\alpha_0-\alpha_1+\alpha_2-\cdots-\alpha_n=0$$

10. The number  $\alpha_0 - \alpha_1 + \cdots + (-1)^n \alpha_n$  is called the  $\dots$ , n) is called the connectivity of the *i*th order.

It will presently be proved that the connectivity numbers  $R_0, R_1, \dots, R_n$  are Analysis Situs invariants. From this it will follow that the characteristic is also an invariant.

## Reduction of the Matrices $H_k$ to Normal Form

11. Let us now consider the matrices  $A_{k-1}$  and  $B_k$  by which  $H_k$  is reduced to its normal form, i.e., the square matrices of determinant 1 such that

(1) 
$$A_{k-1}^{-1} \cdot H_k \cdot B_k = H_k^*$$

where the first  $\varrho_k$  elements of the main diagonal of  $H_k^*$  are 1 and all the other elements of  $H_k^*$  are 0. The existence of these matrices follows from the general theory of matrices (cf. § 49, Chap. I) and we shall show that they can be so chosen as to satisfy certain additional conditions analogous to those found in §§ 30-32, Chap. I.

Writing (1) in the form

$$(2) II_k \cdot B_k = A_{k-1} \cdot II_k$$

it follows from § 8 that each of the first  $\varrho_k$  columns of  $B_k$  represents a k-dimensional complex bounded by the (k-1), dimensional complex represented by the corresponding column of  $A_{k-1}$ . Each of the remaining  $\alpha_k - \varrho_k$  columns of  $B_k$  represents a k-dimensional complex which has no boundary, i. e., a k-dimensional circuit or set of circuits.

Since  $B_k$  is a square matrix of  $\alpha_k$  rows whose determinant is 1, every symbol of the form  $(x_1, x_2, \dots, x_{\alpha_k})$  in which the elements are reduced modulo 2 is expressible as a linear combination of the columns of  $B_k$ . Hence the symbol for any k-dimensional complex determined by k-cells of  $C_n$  is expressible in terms of the columns of  $B_k$ . Moreover since the last  $\alpha_k - q_k$  columns of  $B_k$  are linearly independent and the symbols for all k-circuits are linearly dependent on  $\alpha_k - q_k$  of them, the last  $\alpha_k - q_k$  columns of  $B_k$  are a completset of k-circuits or sets of k-circuits.

Thus the reduction of the incidence matrices to normal form affords an explicit method of determining the bounding and non-bounding sets of circuits of all dimensionalities.

12. The equation (2) remains valid if we add a given column of  $B_k$  to another column of  $B_k$  and perform the corresponding operation on the columns of  $A_{k-1} \cdot H_k^*$ . Hence in particular we may replace any one of the last  $\alpha_k - q_k$ columns of  $B_k$  by any linear combination of these columns (hence by any symbol for a set of k-circuits) without modifying the right member of (2) since all the last  $\alpha_k - q_k$  columns of  $A_{k-1} \cdot H_k^*$  are composed of zeros.

13. Suppose we change  $B_{k-1}$  by replacing its last  $\varrho_k$  columns by the first  $\varrho_k$  columns of  $A_{k-1}$ , and replacing the preceding  $\alpha_{k-1} - \varrho_{k-1} - \varrho_k$  columns by the symbols for a set of (k-1)-circuits no combination of which bounds, the existence of which follows from § 9. By § 12 such a change will leave (2) still valid; hence to show that it is permissible it is sufficient to prove that the new  $B_{k-1}$  has determinant 1.

We now have the columns of the new  $B_{k-1}$  in three blocks. of which the first is the same as for the old  $B_{k-1}$ . The symbol for any (k-1)-dimensional complex is a sum of 84

#### ANALYSIS SITUS.

columns of this first block and a symbol for a set of (k-1)circuits, as follows from the structure of the original  $B_{k-1}$ . Now the columns of the last two blocks are linearly independent (mod. 2) as follows from their choice, and since they number  $\alpha_{k-1} - \rho_{k-1}$  it follows from § 9 that the symbol for any set of (k-1)-circuits is a sum of these columns.

Thus the symbol for any (k-1)-dimensional complex is a sum of columns of the new  $B_{k-1}$ . Consequently the determinant of the new  $B_{k-1}$  must be 1 (mod. 2), and the change proposed above can be made. Let this be done for all values of k from 1 to n. The last  $\varrho_k$  columns of  $B_{k-1}$ then represent bounding sets of (k-1)-circuits and the  $R_{k-1}-1$ columns preceding these represent non-bounding (k-1)-circuits.

Since all rows of  $H_k^*$  after the  $\varrho_k$ th contain only zeros the last  $a_{k-1} - \varrho_k$  columns of  $A_{k-1}$  are arbitrary subject to the condition that the determinant of  $A_{k-1}$  shall be 1. Hence these columns of  $A_{k-1}$  may be taken as identical with the first  $\varrho_{k-1} + R_{k-1} - 1$  columns of  $B_{k-1}$ . Let this be done for all values of k from 1 to n.

14. By this process it is brought about that the matrices  $A_k$  are identical with the matrices  $B_k$  except for a permutation of columns. The columns of each matrix  $B_k$  fall into three blocks. The first  $\varrho_k$  columns represent single k-dimensional complexes bounded by sets of (k-1)-circuits. Each of the next  $R_k - 1$  columns represents a single non-bounding k-circuit. The last  $\varrho_{k+1}$  columns represent bounding sets of k-circuits.

## Congruences and Homologies, Modulo 2

15. The definition of congruences and homologies modulo 2 which was made in §§ 37, 38, Chap. II, applies without change to the *n*-dimensional case. Thus

$$C_k \equiv C_{k-1} \pmod{2}$$

means that  $C_{k-1}$  is the boundary of  $C_k$ ; and with reference to a complex  $C_{\mu}$ 

$$C_{k-1} \sim 0 \pmod{2}$$

means that there exists a complex  $C_k$  on  $C_n$  which satisfies the congruence (1). The remarks about linear combination

#### ANALYSIS SITUS.

86

#### (Chap, III

#### n-DIMENSIONAL COMPLEXES.

of congruences and complexes made in Chap. II apply here without change.

All the relations stated above by means of the matrices II. can also be expressed in terms of congruences and homologies For if we let  $a_i^k$   $(j = 1, 2, \dots, \alpha_k; k = 1, 2, \dots, n)$  represent the cell  $a_i^k$  and its boundary, instead of the cell alone as in the notation heretofore used, we have the congruences\*

(3) 
$$a_j^k \equiv \sum_{i=1}^{\alpha_k-1} \eta_{ij}^k a_i^{k-1} \pmod{2}$$

in which  $\eta_{il}^k$  are the elements of the matrix  $H_k$ . These congruences, which state the incidence relations of the complex  $C_n$ , are called the fundamental congruences (mod. 2).

16. If  $C_k$  is the complex represented by  $(x_1, x_2, \dots, x_{\alpha_k})$  and  $C_{k-1}$  the set of (k-1)-circuits represented by  $(y_1, y_2, \dots, y_{\alpha_{k-1}})$ the congruence (1) is equivalent to the matrix equation (1 of § 8. The result of reducing the incidence matrices to normal form as summarized in § 14 therefore amounts to the statement that the fundamental congruences are equivalent to the following set of congruences and homologies



\* We are here making the obvious convention that  $\eta a_i^{k-1} = a_i^{k-1}$  if  $\eta = 1$  and  $\eta a_i^{k-1} = 0$  if  $\eta = 0$ .

The further study of these congruences and homologies will involve proving (1) that the k-circuits  $C_k^1, C_k^2, \dots, C_k^{R_k-1}$  are not homologous to zero (mod. 2) and (2) that every k-circuit on  $C_n$  is homologous to a combination of them. With regard to the statement (1) the discussion up to the present shows that no combination of these k-circuits bounds any complex composed of cells of  $C_n$ . And with regard to (2) we know that every k-circuit composed of cells of  $C_n$  is homologous to a combination of  $C_k^1, C_k^2, \dots, C_k^{R_k-1}$ . To bring complexes on  $C_n$  which are not composed of cells of  $C_n$  into consideration it will be necessary to go beyond the combinatorial properties of  $C_n$  and make use of the geometrical properties of the cells.

#### Theory of the *n*-Cell

17. The combinatorial properties of a complex  $C_n$  which have been discussed above have an elementary application in the theory of the subdivision of a Euclidean space by generalized polyhedra. A system of (n-1)-spaces in an n-space subdivide the n-space into a set of n-dimensional convex regions. They intersect in a number of (n-2)-spaces which subdivide each (n-1)-space into a set of (n-1)-dimensional convex regions which bound the n-dimensional convex regions. The (n-2)-spaces have (n-3)-spaces in common which divide the (n-2)-spaces into convex regions. and so on. Thus the set of (n-1)-spaces defines a subdivision of the *n*-space into a set of cells which can be treated by the methods described above. Any k-circuit formed from the k-dimensional convex regions is a generalized polyhedron. Any such k-circuit bounds a (k+1)-dimensional complex composed of convex (k+1)-cells.

A treatment of the theory of polyhedra from this point of view by the author is to be found in the Transactions of the American Math. Soc., Vol. 14 (1913), p. 65. (See also the correction Vol. 15, p. 506.) Earlier and later treatments without the machinery used here are to be found in the papers by N. J. Lennes, Am. Journ. of Math., Vol. 33 (1911),

\$\$ 14---16]

§§ 17—19]

88

#### ANALYSIS SITUS.

[Chap. III

This proposition is a special case of the following theorem: If a set of *n*-cells, (n+1)-cells,  $\cdots$ , (n+p)-cells are all incident with an (n-1)-cell  $a^{n-1}$  and are such that the incidence relations between the (n+i)-cells (i = 0, 1, 2, ..., p-1) and the (n+i+1) cells are the same as those between the *i*-cells and (i+1)-cells of a *p*-dimensional sphere, the set of all points on  $a^{n-1}$  and the cells incident with it constitute an (n+p)-cell.

The set of all cells of a complex  $C_n$  which are incident with an *i*-cell  $a^i$  and of higher dimensionality than  $a^i$  constitute, with  $a^i$  itself, what is called a *star of cells*. If the incidence relations among the cells of a star satisfy the conditions described in the paragraph above the star is said to be *simply connected*. If  $a^{i+p}$  is one cell of a star,  $a^{i+p}$  and all cells of the star of dimensionality greater than i + p which are incident with  $a^{i+p}$  constitute a star of cells.

These theorems all remain valid if the restriction to straight cells is dropped. In this more general form they depend on the generalizations to n dimensions of the Jordan and Schoenflics theorems quoted in § 10, Chap. II. The generalized Jordan theorem has been proved by L. E. J. Brouwer, Math. Ann., Vol. 71 (1911), p. 37 but the generalized Schoenflies theorem is still unproved. As in the two-dimensional case, we shall get along with the restricted form of these theorems.

#### Regular Complexes

20. Just as in Chap. II it was found convenient to decomlose a complex into generalized triangles, here it will be found convenient to consider complexes whose *n*-cells are generalized simplexes. A complex is said to be *regular* if (1) each *n*-cell  $a_j^n$  is in such a (1-1) continuous correspondence with a simplex that each 0-cell incident with  $a_j^n$  corresponds to a vertex of the simplex, each 1-cell incident with  $a_j^n$  to an edge of the simplex and in general each *i*-cell (i = 1, 2, ..., n-1) incident with  $a_j^n$  corresponds to an *i*-dimensional simplex of the boundary of the simplex and (2) no set of i + 1 0-cells are the vertices of more than one *i*-cell of the complex.

p. 37, and Lilly Hahn, Monatshefte für Math. u. Phys., Vol. 25 (1914), p. 303. Since an *n*-cell is homeomorphic with a Euclidean space all this is the most elementary part of the theory of the *n*-cell.

18. As in § 8, Chap. II, we can define a system of curves in any *n*-cell  $a_i^n (i = 1, 2, ..., \alpha_n)$  which have the properties of the system of straight lines interior to a simplex in a Euclidean space. It is only necessary to set up a (1-1) continuous correspondence  $F_i$  between the interior and boundary of the *n*-cell and the interior and boundary of a simplex and to regard as *straight* those curves in the *n*-cell which are images of straight lines in the simplex.

Under these definitions any two points of an *n*-cell or its boundary determine a straight 1-cell joining them; any three non-collinear points determine a straight 2-cell bounded by them and the three straight 1-cells which they determine by pairs; in general, any i+1 points (i = 1, 2, ..., n) determine a straight *i*-dimensional simplex bounded by the straight *j* dimensional simplexes (j = 0, 1, 2, ..., i) determined by subsets of the *i* points.

19. From the separation theorems on Euclidean polyhedra  $(\S 17)$  there follow at once the following important corollaries, which are all to be understood as referring to complexes composed of "straight" cells:

If  $S_{n-2}$  is an (n-2)-dimensional sphere on the boundary of an *n*-cell  $a^n$  the boundary of  $a^n$  consists of  $S_{n-2}$  and two (n-1)-cells  $a_1^{n-1}$  and  $a_2^{n-1}$ . Any (n-1)-cell  $a_3^{n-1}$  contained in  $a^n$  and bounded by  $S_{n-2}$  separates  $a^n$  into two *n*-cells, one bounded by  $a_1^{n-1}$ ,  $S_{n-2}$ , and  $a_3^{n-1}$  and the other bounded by  $a_2^{n-1}$ ,  $S_{n-2}$ , and  $a_3^{n-1}$ . There are an infinity of non-singular (n-1)-cells contained in  $a^n$  and bounded by  $S_{n-2}$ .

If two *n*-cells  $a_1^n$ ,  $a_2^n$  are incident with an (n-1)-cell  $a^{n-1}$ and have no common point they and  $a^{n-1}$  constitute an *n*-cell  $b^n$ . If their boundaries have nothing in common except  $a^{n-1}$  and its boundary the boundary of  $b^n$  is the sum (mod. 2) of their boundaries. §§ 20-21]

## It has been shown in Chap. II how to decompose any 2-dimensional complex $C_2$ into a regular complex $\overline{C}_2$ . This process will now be generalized as follows:

For convenience in phraseology, let a definition of straightness be introduced for all the 2-cells of  $C_n$  in the fashion of § 18. Then let a definition of straightness be introduced for all the 3-cells, which definition may be entirely unrelated to the one used for the 2-cells. And in general let a definition of straightness be introduced for each *i*-cell ( $i = 2, 3, \dots, n$ ) quite independently of that used for all other cells.

Let  $P_j^0 = a_j^0$   $(j = 1, 2, ..., \alpha_0)$  and let  $P_j^i$  be an arbitrary point interior to the cell  $a_j^i$   $(i = 1, 2, ..., n; j = 1, 2, ..., \alpha_i)$ . The points  $P_j^i$   $(i = 0, 1, 2, ..., n; j = 1, 2, ..., \alpha_i)$  are the vertices of  $\overline{C}_n$ . The 1-cells of  $C_n$  are the straight 1-cells joining every point  $P_j^i$   $(i = 1, 2, ..., n; j = 1, 2, ..., \alpha_i)$  to every vertex of  $C_n$  on the boundary of  $a_j^i$ . A 2-cell of  $\overline{C}_n$  is the set of points on all straight 1-cells joining a point  $D_j^i$  $(i = 2, 3, ..., n; j = 1, 2, ..., \alpha_i)$  to the points of a 1-cell of  $\overline{C}_n$  on the boundary of  $a_j^i$ . Each of these 2-cells is bounded by just three 1-cells of  $\overline{C}_n$ .

Continuing this process step by step we obtain the 3-cells. 4-cells, ..., n-cells of  $\overline{C}_n$ . A k-cell of  $\overline{C}_n$  is the set of points on all straight 1-cells joining a point  $P_j^i$  (i = k, k + 1, ..., n; $j = 1, 2, ..., \alpha_i)$  to the points of a (k-1)-cell of  $\overline{C}_n$  on the boundary of  $a_j^i$ . Each k-cell so defined is evidently bounded by k+1 (k-1)-cells.

The complex  $C_n$  thus defined is called a *regular subdivision* of  $C_n$ .

21. No two 0-cells of  $\overline{C}_n$  are joined by more than one 1-cell. Hence any 1-cell of  $\overline{C}_n$  may be denoted by  $P_k^i P_l^j (i < j)$ . In like manner no *m* 0-cells  $(2 \le m \le n+1)$  are vertices of more than one (m-1)-cell of  $\overline{C}_n$ . Hence any such cell may be denoted by its vertices  $P_q^i P_r^j \cdots P_v^s$ . These vertices are by construction all on cells of  $C_n$  of different dimensionality. Hence they may always be taken in such an order that  $i < j < \cdots < s$ .

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Incidentally it may be remarked here that on account of the properties just referred to,  $\overline{C_n}$  may be described by means of a matrix giving the incidence relations between its *n*-cells and 0-cells. Also, it can be set into (1-1) continuous correspondence with a set of cells of a simplex in a Euclidean space of a sufficiently high number of dimensions. For these propositions, see the Annals of Mathematics, Vol. 14 (1913), pp. 175-177. The correspondence with cells of a Euclidean simplex can be used to introduce such a definition of distance and straightness in  $\overline{C_n}$  that the straightness and distance of any cell is in agreement with the straightness and distance of any cell with which it is incident.

22. The relationship between the complexes  $C_n$  and  $\overline{C}_n$  may be stated as follows:

(1) Each *n*-cell of  $C_n$ ,  $a_i^n$ , is the sum (mod. 2) of all *n*-cells  $P_a^0 P_b^1 \cdots P_i^n$  of  $\overline{C_n}$  having  $P_i^n$  as a vertex.\*

(n-k+1) Each k-cell of  $C_n$ ,  $a_i^k$ , is the sum (mod. 2) of all k-cells  $P_a^0 P_b^1 \cdots P_i^k$  of  $\overline{C}_n$  which have  $P_i^k$  as a vertex (the superscripts are all less than or equal to k).

(n+1) Each 0-cell of  $C_n$ ,  $a_i^0$  is the 0-cell  $P_i^0$ .

23. The values of  $R_1, R_2, ..., R_n$  determined from  $C_n$  are the same as those determined from  $\overline{C_n}$ . In order to prove this, consider any *i*-circuit  $K_i$  of  $\overline{C_n}$  which is not simply a subdivision of an *i*-circuit of  $C_i$ , and which therefore contains at least one of the points  $P_j^m, m > i$ . We choose such a point for which *m* has its maximum value. The *i*-cells of  $K^i$  which are incident with  $P_j^m$  are then incident with (i-1)-cells of the boundary of the cell  $a_j^m$  of  $C_n$ . These (i-1)-cells of the boundary of  $a_j^m$  constitute one or more (i-1)-circuits  $K_{i-1}^a$  because the (i-1)-cells of  $K_i$  which are incident with

\* The sum (mod. 2) of a set of k-cells of a star will be understood to contain the cells of the star that are on the boundaries of the k-cells of the sum.

(Chap. III

§§ 22—24]

 $P_i^m$  and with (i-2)-cells of the boundary of  $a_i^m$  are incident each with an even number of *i*-cells of  $K_i$ . Now by mathe. matical induction we may assume the invariance of the  $c_{0n}$ nectivity numbers for dimensions less than n, since we shall later establish it for the dimension n (§ 42). Hence  $K_{i}^{a}$ bounds at least one *i*-dimensional complex  $C_i^a$  composed of cells of  $\overline{C}_n$  on the boundary of  $a_i^m$ . By its definition it also bounds a complex composed of *i*-cells of  $K_i$  which are in cident with  $P_i^m$ . These two complexes constitute an *i*-circuit or set of *i*-circuits  $K_i^a$ , which bounds the complex composed of the (i+1)-cells of  $\overline{C_n}$  which are incident with  $P_i^m$  and the *i*-cells of  $C_i^a$ . If  $K_i^a$  is added (mod. 2) to  $K_i$  the resulting set of *i*-circuits  $K'_i$  does not pass through  $P^m_i$ . Repeating this argument until there are no longer any vertices  $P_i^m$ , m > i, of ( on  $K_i$ , it follows that by adding bounding sets of circuits to h it can be converted into a set of *i*-circuits which does not pas through any of the vertices  $P_k^m$ , m > i, of  $\overline{C_n}$ . Such a set 0 *i*-circuits is simply a subdivision of a set of *i*-circuits of  $C_{\mu}$ 

From this it follows that all *i*-circuits of  $\overline{C}_n$  are linearly dependent on bounding sets of circuits and circuits coincident with circuits of  $C_n$ . Hence the value of  $R_i$  determined by  $\overline{C}_n$  is not greater than that determined by  $C_n$ . It also cannot be less, for if so there would be a linear relation among the *i*-circuits  $C_i^p$   $(p = 1, 2, \dots, R_i - 1)$  regarded as circuits of  $\overline{C}_n$ . But this would mean that there was a complex  $K_{i+1}$  composed of cells of  $\overline{C}_n$  and bounded by some or all of the circuits  $C_i^p$ . By an argument like that in the paragraph above  $K_{i+1}$  could be replaced by a complex  $K_{i+1}$  coincident with a complex composed of cells of  $C_n$ . But the existence of  $K'_{i+1}$  would mean a linear relation among the *i*-circuits  $C_i^p$  regarded as *i*-circuits of  $C_n$ . Hence the value of  $R_i$  determined by  $C_n$  is not less than that determined by  $C_n$ .

## Manifolds

24. By a *neighborhood* of any *i*-cell  $a^i$  on a complex  $C_n$  is meant any set S of non-singular cells on  $C_n$  such that any

ANALYSIS SITUS.

(Chap. III

set of points of  $C_n$  having a limit point on  $a^i$  contains points on the cells of S.

If  $C_n$  is an *n*-circuit such that every star of its cells is simply connected, the set of points on  $C_n$  is called a closed *n*-dimensional manifold. It is easily proved that any regular subdivision of such a  $C_n$  satisfies the same conditions. This definition implies that every point of a manifold has a neighborhood which is an *n*-cell. It has not been proved, however, that if a point set satisfies the above conditions for one subdivision into cells, it satisfies them for all other subdivisions into cells.

## Dual Complexes

25. A complex  $C'_n$  is said to be *dual* to a complex  $C_n$  if the incidence relations between the k-cells and (k-1)-cells of  $C'_n$  are the same as those between the (n-k)-cells and (n-k+1)-cells of  $C_n$  for k=1, 2, ..., n. In case  $C_n$  defines a manifold, a complex  $C'_n$  dual to  $C_n$  can be constructed by first making a regular subdivision of  $C_n$  into  $\overline{C_n}$ , then defining as an n-cell of  $C'_n$  the set of all points on each star of cells of  $C_n$ having a vertex of  $C_n$  as center; next defining as an (n-1)cell of  $C'_n$  the set of all points on each star of cells of dimensionality n-1 and less which are incident with the point  $P_i^1$  on a 1-cell of  $C_n$ , but are not incident with any  $P_j^0$ , and so on, finally defining as the 0-cells of  $C'_n$  the points  $P_i^m$ on the n-cells of  $C_n$ .

This process is illustrated in Fig. 3, page 44 for the twodimensional case. In this figure the vertices of  $C'_2$  are the points  $P_i^2$ , the 1-cells of  $C'_2$  are made up of the pairs of 1-cells  $P_i^1 P_j^2$ ,  $P_i^1 P_k^2$  of  $\overline{C}_2$ , and the 2-cells of  $C'_2$  are the triangle stars at the vertices of  $C_2$ .

26. The construction for  $C'_n$  may be stated a little more explicitly in terms of our notations (cf. § 22) as follows: (1) Each 0-cell of  $C'_n$  is the 0-cell  $P_i^n$ .

A

92

§§ 25-27]

are however the same as those among the (n-k)-cells  $P_i^k P_j^{k+1} \cdots P_p^n$  described in the statement (n-k+1) and the cells of lower dimensionality with which they are incident. Hence the sum, (mod. 2) of the cells  $P_i^k P_j^{k+1} \cdots P_p^n$  described in the statement (n-k+1) is an (n-k)-cell. This (n-k)-cell we call  $b_i^{n-k}$ . It obviously has the point  $P_i^k$ , and this point only, in common with  $a_i^k$ .

28. Let us next find the incidence relations among the b's. If  $a_i^k$  is incident with  $a_j^{k+1}$ , there is a k-cell,  $P_a^0 P_b^1 \cdots P_i^k$ , of  $\overline{C}_n$  contained in  $a_i^k$  which is incident with the (k+1)-cell,  $P_a^0 P_b^1 \cdots P_i^k P_j^{k+1}$ , contained in  $a_j^{k+1}$ . The cell  $b_i^{n-k}$  dual to  $a_i^k$  is the sum (mod. 2) of all the (n-k)-cells  $P_i^k P_j^{k+1} P_l^{k+2} \cdots P_s^n$  for the given value of *i*. The cell  $b_j^{n-k-1}$  dual to  $a_j^{k+1}$  is the sum (mod. 2) of all the (n-k-1)-cells  $P_j^{k+1} P_l^{k+2} \cdots P_s^n$  for the given value of *j*. Since each of the (n-k-1)-cells of  $\overline{C}_n$  which enter into  $b_j^{n-k-1}$  is incident with an (n-k)-cell of  $\overline{C}_n$  contained in  $b_i^{n-k-1}$  is incident with  $b_i^{n-k-1}$ .

Hence if  $a_i^k$  is incident with  $a_j^{k+1}$ ,  $b_i^{n-k}$  is incident with  $b_j^{n-k-1}$ . The converse proposition is proved in exactly the same way. Hence  $a_i^k$  is incident with  $a_j^{k+1}$  if and only if  $b_i^{n-k}$  is incident with  $b_j^{n-k-1}$ .

## Duality of the Connectivities $R_i$

29. Stating this result for the case k = n-1, we have that  $a_i^{n-1}$  is incident with  $a_j^n$  if and only if  $b_i^1$  is incident with  $b_j^0$ . Hence the matrix of incidence relations between the 0-cells and 1-cells of the complex  $C'_n$  is the matrix  $H'_n$ obtained from the matrix  $H_n$  of the complex  $C_n$  by interchanging rows and columns. In like manner it is seen that, in general, the matrix of incidence relations between the (n-k-1)-cells and (n-k)-cells of the complex  $C'_n$  is the transposed matrix  $H'_{k+1}$  of the matrix  $H_{k+1}$  of the complex  $C'_n$ . Hence the matrices of incidence  $H_1, H_2, \ldots, H_n$  of  $C'_n$  are the matrices  $H'_n, H'_{n-1}, \ldots, H'_1$  of  $C_n$ .

(n-k+1) Each (n-k)-cell of  $C'_n$ ,  $b^{n-k}_i$ , is the sum (mod.2) of all (n-k)-cells  $P^k_i P^{k+1}_j \cdots P^n_p$  of  $\overline{C}_n$  which have  $P^k_i$  as a vertex.

(n+1) Each *n*-cell of  $C'_n$ ,  $b^n_i$ , is the sum (mod. 2) of all *n*-cells  $P^0_i P^1_j \cdots P^n_i$  of  $\overline{C}_n$  which have  $P^0_i$  as a vertex.

In order to make sure that this actually defines a complex dual to  $C_n$  it must be proved first that each of the statements  $(1) \dots (n+1)$  defines a cell and second that the set of cells has the properties required of a dual complex.

27. Consider first the statement (n + 1). The 0-cell  $P_i^0$  is a vertex  $a_i^0$  of  $C_n$ . Since we are dealing with a manifold,  $a_i^0$  and the set of all cells of  $\overline{C_n}$  incident with it form a simply connected star, and the set of points on this star form an *n*-cell. This *n*-cell we have called  $b_i^n$ .

No two of the *n*-cells  $b_i^n$  have a point in common because no *n*-cell of  $\overline{C}_n$  is incident with more than one vertex of  $C_r$ (in the notation  $P_i^0 P_j^1 \cdots P_q^n$  only one superscript is zero). Moreover every point on a cell of  $C_n$  is on the interior or boundary of one of the cells  $b_i^n$  because each *n*-cell of  $\overline{C}_n$  is incident with at least one vertex of  $C_n$  (the superscript zero always appears once in the notation  $P_i^0 P_j^1 \cdots P_q^n$ ).

Next consider the statement (n - k + 1). The point  $P_i^k$  is on the k-cell  $a_i^k$  of  $C_n$  and this k-cell contains a k-cell  $P_a^0 P_b^1 \cdots P_i^k$  of  $\overline{C_n}$ . Since  $C_n$  is a regular subdivision of  $C_n$ ,  $P_a^0 P_b^1 \cdots P_i^k$  and the set of all cells of  $\overline{C_n}$  of dimensionality k+1 or greater which are incident with it form a simply connected star (§§ 19, 24); and the set of all points on the cells of the star forms a single cell which is the sum (mod. 2) of the n-dimensional cells of the star. The n-dimensional cells of the star are all n-cells of  $\overline{C_n}$  which can be denoted by  $P_a^0 P_b^1 \cdots P_i^k P_j^{k+1} \cdots P_p^n$  in which the first k+1of the P's are fixed and the rest are variable. The incidence relations among the cells of this star are by §§ 19, 24 those of an (n-k-1)-dimensional sphere. These incidence relations **\$1 28-81** n-DIMENSIONAL COMPLEXES.

The ranks of these matrices are  $q_n, q_{n-1}, \dots, q_1$  respectively. Moreover the numbers of 0-cells, 1-cells,  $\cdots$  n-cells of  $C'_n$  are  $\alpha_n, \alpha_{n-1}, \ldots, \alpha_1, \alpha_0$  respectively. Hence by the formula for the *i*-dimensional connectivity  $R_i$ , it follows that the 1-, ... (n-1)-dimensional connectivities of  $C'_n$  are  $R_{n-1}, \ldots, R_n$ respectively.

It was shown in § 23 that the connectivity  $R_i$  of a complex  $\overline{C}_n$  obtained by a regular subdivision of  $C_n$  is the same as that of  $C_n$ . But by comparing § 22 with § 26 it is seen that  $\overline{C}_n$  is a regular subdivision both of  $C_n$  and of  $C'_n$ . Hence the connectivity  $R_i$  of  $C'_n$  is the same as that of  $C_n$ . Hence  $R_{n-1}, R_{n-2}, \dots, R_1$  are the same as  $R_1, R_2, \dots, R_{n-1}$ . respectively. That is

$$R_{n-k} = R_k$$
  $(k = 1, 2, \dots, n-1)$ 

It should be noted that this duality relation does not apply to  $R_0$  and  $R_u$ . In the case of a manifold, which we are considering here,  $R_0 = 1$  and  $R_n = 2$ .

30. An important corollary of this result is that for a manifold of an odd number of dimensions the characteristic is zero. For the equations

 $\alpha_0 - \alpha_1 + \cdots + (-1)^n \alpha_n = 1 + (-1)^n + \sum_{i=1}^{n-1} (-1)^i (R_i - 1)$ and

give

 $R_i = R_{n-i}$  (i = 1, 2, ..., n-1) $\alpha_0 - \alpha_1 + \alpha_2 - \cdots - \alpha_n = 0.$ 

as already noted in § 9.

## Generalized Manifolds

31. The definition of a manifold in § 24 can be generalized as follows: A generalized manifold of n dimensions is the set of all points on an *n*-circuit  $C_n$  such that if  $a^{i-1}$  is any cell of  $C_n$ the incidence relations among the (i)-cells, (i+1)-cells,  $\cdots$ . (i+k)-cells (where i+k=n) incident with  $a^{i-1}$  are the same as the incidence relations among the 0-cells, 1-cells, ..., k-cells of a complex defining a generalized manifold of k dimensions: a generalized manifold of zero dimensions is a 0-circuit.

#### ANALYSIS SITUS.

For n = 0, 1, 2, a generalized manifold is the same as a manifold. But for  $n \ge 3$  it includes sets of points which are not manifolds in the narrow sense.

32. To bring this out let us consider the following example given in the article on Analysis Situs by Dehn and Heegaard in the Encyclopädie. Let  $S_4$  be a Euclidean space of four dimensions,  $a^{\circ}$  a point in  $S_4$ ,  $S_3$  a three-space in  $S_4$  but not on  $a^{\circ}$ , and  $M_{\circ}$  an arbitrary two-dimensional manifold (e.g., an anchor ring) in  $S_3$ . Let  $M_2$  be decomposed into 0-cells, 1-cells and 2-cells constituting a two-dimensional complex,  $B_2$ . The segment joining any 0-cell of  $B_2$  to  $a^0$  is a 1-cell, the points on the segments joining the points of a 1-cell of  $B_{z}$ to  $a^{\circ}$  constitute a 2-cell, and the points on the segments joining the points of a 2-cell of  $B_2$  to  $a^0$  constitute a 3-cell. The complex  $C_3$  composed of all the 1-cells, 2-cells and 3-cells formed by this process, together with  $a^0$  and the cells of  $B_2$ . is such that the boundary of an arbitrarily small neighborhood of  $a^0$  is of the same structure as  $B_2$ . Hence the set of points on each such boundary is a surface like  $M_2$  (e.g., an anchor ring).

It is obvious that a generalized three-dimensional manifold can be constructed which has any number of points with neighborhoods which are not spherical. A generalized fourdimensional manifold can have both 0-cells and 1-cells whose neighborhoods are not simply connected, and so on.

33. It was shown in Chap. II that any 2-circuit can be regarded as a singular manifold. The generalization of this theorem is that any *n*-circuit is a singular (cf.  $\S$  3) generalized manifold. We shall repeat the process of  $\S$  34, Chap. II, for the three-dimensional case, because one new point enters, but shall leave the formal generalization to the reader.

Let  $C_3$  be an arbitrary 3-circuit. Each of its 2-cells  $a_i^2$  is incident with an even number  $2n_i$  of 3-cells. These may be grouped in  $n_i$  pairs of 3-cells associated with  $a_i^2$ , and the method used in § 34, Chap. II, may be used to obtain a 3-circuit  $C'_3$  whose cells coincide with those of  $C_3$  and which

(Chap. III

\$\$ 32-35]

98

97

is such that each of its 2-cells is incident with two and only two of its 3-cells.

The incidence relations between the 2-cells and 3-cells of  $C'_{3}$  which are incident with a 1-cell  $a_{j}^{1}$  of  $C'_{3}$  are the same as those of a linear graph in which each 0-cell is incident with just two 1-cells. Since such a linear graph is a set of 1-circuits having no points in common, the 2-cells and 3-cells incident with  $a_{j}^{1}$  fall into a number,  $n_{j}$ , of groups associated with  $a_{j}^{1}$  such that the incidence relations among the cells of a group are those of a 1-circuit. With the aid of these groups, by the method of § 34, Chap. II, a complex  $C''_{3}$  is defined whose cells coincide with those of  $C''_{3}$  and which is such that all of its cells of dimensionality greater than *i* which are incident with any one of its *i*-cells (i = 2, 1) are related among themselves by a set of incidence relations identical with those of a (2-i)-circuit.

The incidence relations between the 1-cells, 2-cells and 3-cells incident with a 0-cell  $a_k^0$  of  $C_3''$  now satisfy the same conditions as those between the 0-cells, 1-cells and 2-cells of a number,  $n_k$ , of two-dimensional manifolds which have no points in common. Hence they fall into  $n_k$  groups associated with  $a_k^0$  such that the incidence relations among the 1-cells, 2-cells and 3-cells of a group are the same as those among the 0-cells, 1-cells and 2-cells of a two-dimensional manifold. Hence a complex  $C_3'''$  can be defined whose cells coincide with those of  $C_3''$  and which satisfies the definition of a generalized manifold.

 $C_8'''$  will be a manifold in the narrow sense only in the case where each of the groups associated with each vertex  $a_k^0$  has the incidence relations of the cells of a sphere.

34. Since the boundary of any complex consists of one or more circuits, it consists of one or more generalized manifolds any or all of which may be singular.

## Bounding and Non-bounding Sets of k-Circuits

35. Let us now take up the problem: Given a set of k-circuits  $C_k$  on a complex  $C_n$ , to determine whether or not there exists a (k+1)-dimensional complex, singular or not, on  $C_n$  which is bounded by  $C_k$ . This is the problem solved in Chap. II (cf. § 35) for the case where n = 2 and k = 1. As the problem is now formulated k may be less than, equal to, or greater than n, and  $C_k$  may have singularities of any degree of complexity compatible with the definition in § 3.

The solution of the problem in the simplest case is contained in the following obvious theorem which is a direct generalization of that given in § 36, Chap. II: Any sphere of k dimensions on an n-cell  $a^n$  is the boundary of a (k+1)-cell on  $a^n$ . The (k+1)-cell can be constructed by joining an arbitrary point, P, of  $a^n$  to all the points of the k-dimensional sphere by straight 1-cells or, in case of points of the sphere which coincide with P, by singular 1-cells coincident with P. The solution of our problem for the general case which we shall now develop is entirely parallel to that carried out in §§ 39 to 46, Chap. II.

36. Let  $K_i$  be an *i*-dimensional complex on  $C_n$ . Let  $C_n$  be a regular sub-division of  $C_n$ . Let a definition of distance and straightness be introduced relative to  $\overline{C}_n$  and let all references to distance and straightness in the rest of this argument be understood to refer to this definition. Let  $C_n$  be a regular subdivision of  $\overline{C}_n$ . By simple continuity considerations it can be proved that  $K_i$  can be regularly subdivided into a complex  $\overline{K_i}$  such that for each *j*-cell of  $K_i$  there is a star of cells of  $\tilde{C}_n$  to which it is interior. A correspondence A is now defined as a correspondence between the vertices of  $\overline{K_i}$  and those of  $\overline{C_n}$  by which each vertex of  $\overline{K_i}$  which is interior to a star of cells of  $C_n$  having a vertex of  $C_n$  as center corresponds to that vertex of  $\overline{C}_n$ , and by which each vertex of  $K_i$  which is on the boundary of two or more stars of cells of  $\tilde{C_n}$  having vertices of  $\tilde{C_n}$  as centers corresponds to one of these vertices of  $\overline{C}_n$ .

Since every point of  $C_n$  is on or on the boundary of some star of cells of  $\overline{C}_n$  with center at a vertex of  $\overline{C}_n$ , a correspondence A determines a unique vertex of  $\overline{C}_n$  for each vertex of  $\overline{K}_i$ . Moreover since any cell of  $\overline{K}_i$  is on a star of cells

#### 45 86-88

n-DIMENSIONAL COMPLEXES.

(3)

(4)

99

 $K_i \sim \overline{K_i}$ 

[Chap, III

and therefore

 $K_i \sim K'_i$ .

It is obvious that  $K'_i = 0$  if i > n. Hence

$$K_{n+r} \sim 0 \pmod{2}$$

whenever r > 0.

39. From the homology (2) it follows that  $K_i \sim 0$  if and only if  $K'_i \sim 0$ . By § 7,  $K'_i$  bounds a complex composed of cells of  $\overline{C}_n$  if and only if it is represented by a symbol  $(x_1, x_2, \dots, x_{\alpha})$  which is linearly dependent on the columns of the matrix  $H_{i+1}$  for  $\overline{C_n}$ . We shall now prove that if  $K'_i \sim 0, K'_i$  bounds a complex composed of cells of  $\overline{C}_{\mu}$ , from which result it obviously follows that  $K_i \sim 0$  if and only if the symbol  $(x_1, x_2, \dots, x_{\alpha})$  for  $K'_i$  is linearly dependent on the columns of  $H_{i+1}$ .

40. Given that  $K'_i \sim 0$  and that  $K'_i$  is composed of cells of  $\overline{C_n}$ , let  $K'_{i+1}$  be a bounded complex, and let us subdivide  $K'_{i+1}$  as above, preparatory to setting up a correspondence A. We denote the subdivision by  $K_{i+1}^{\prime\prime}$ , and the corresponding subdivision of  $K'_i$  by  $K''_i$ . Then we will have

$$K_{i+1}'' \equiv K_i'' \pmod{2}.$$

Let us construct a correspondence A for  $K_{i+1}''$  exactly as in § 36, and by means of it construct a complex  $B_{i+2}$  analogous to the complex  $B_{i+1}$  of § 37. When the boundaries of the (i+2)-cells of  $B_{i+2}$  are added to  $K''_{i+1}$  (mod. 2), all the (i+1)-cells of  $B_{i+2}$  cancel except those determined by the cells  $k_i^i$  of the boundary of  $K_{i+1}^{\prime\prime}$  and certain others which are cells of  $\overline{C}_n$ . Let us denote the (i+1)-dimensional complexes determined by these two sets of (i+1)-cells, by  $G_{i+1}$ and  $K_{i+1}$  respectively. This gives the congruence

5) 
$$B_{i+2} \equiv K_{i+1}'' + G_{i+1} + \overline{K}_{i+1} \pmod{2},$$

which implies the congruence

of  $\tilde{C_n}$  its vertices correspond to vertices of a single cell of  $\bar{C_n}$ . Hence the correspondence A makes each cell of  $\overline{K}_i$  correspond to a cell of  $\overline{C}_n$  of the same or lower dimensionality.

37. Let the r-cells of  $\overline{C_n}$  be denoted by  $c_i^r (r = 0, 1, 2, \dots, n)$ ;  $j = 1, 2, ..., \alpha_r$  and those of  $\overline{K}_i$  by  $k_j^r (r = 0, 1, 2, ..., i;$  $j = 1, 2, \dots, \beta_r$ ). Each 0-cell  $k_i^0$  of  $\overline{K}_i$  can be joined to the 0-cell of  $C_n$  to which it corresponds under the correspondence A by a straight 1-cell  $b_i^1$ ; or, if  $k_i^0$  coincides with the point to which it corresponds, by a singular 1-cell  $b_i^1$  coinciding with  $k_i^0$ . Similarly, for each 1-cell  $k_i^1$  of  $\overline{K_i}$ , a 2-cell  $b_i^2$  can be constructed by joining each point of  $k_i^1$  to a point of the corresponding cell of  $\overline{C_n}$  by a 1-cell which is either straight or coincident with a point. By a similar construction there is determined for every cell  $k_i^r$  of  $\overline{K}_i$  a cell  $b_i^{r+1}$  composed of 1-cells joining points of  $k_i^r$  to points of the cell of  $\overline{C}_{\mu}$  to which  $k_{i}^{r}$  corresponds under the correspondence A. The (i+1)-dimensional complex composed of the cells  $b_i^{i+1}$ and their boundaries is denoted by  $B_{i+1}$ . It is such that the incidence relations of  $b_p^{r+1}$  and  $b_q^r$  are the same as those of  $k_p^r$  and  $k_q^{r-1}$ .

38. If  $K_i$  is a set of *i*-circuits, all *i*-cells  $b_i^i$   $(j = 1, 2, \dots, \beta_{i-1})$ must cancel out when the boundaries of the (i+1)-cells  $b_i^{i+1}$  $(j = 1, 2, \dots, \beta_i)$  are added together (mod. 2). Hence the boundary of  $B_{i+1}$  consists either of  $K_i$  alone or of  $K_i$  and a set of *i*-circuits  $K'_i$  composed of cells of  $C_n$ . That is to say

(1) 
$$B_{i+1} \equiv \overline{K_i} + K'_i \pmod{2}$$
  
and

 $K_i \sim K'_i \pmod{2}$ 

where  $\mathbf{K}_{i}^{\prime}$  is either zero or a set of *i*-circuits composed of cells of  $C_n$ .

There is no difficulty in seeing that any *i*-circuit is homologous (mod. 2) to any regular sub-division of itself. This may be proved by means of a singular (i+1)-dimensional complex which contains, besides the cells of the given *i*-circuit and those of its subdivision, one (k+1)-cell incident with each k-cell of the *i*-circuit,  $k = 0, 1, \dots, i$ . Hence

102

§§ 39—41]

(6) 
$$K_{i+1}'' + G_{i+1} + \overline{K}_{i+1} \equiv 0 \pmod{2}$$
.

Since  $K_i''$  is a set of *i*-circuits, none of the cells of  $B_{i+2}$  determined by (i-1)-cells  $k_j^{i-1}$  will appear in the boundary of  $G_{i+1}$ . Hence we have

7) 
$$G_{i+1} \equiv K_i'' + K_i''' \pmod{2}$$
,

where  $K_i^{\prime\prime\prime}$  is a set of *i*-circuits composed of cells of  $\overline{C}_n$ . On adding (4), (6) and (7) we obtain

Hence the theorem stated in § 39 will be proved if we show that  $K_i^{\prime\prime\prime}$  is identical with  $K_i^{\prime}$ .

To prove this, let us consider a single *i*-cell, say  $c_i^i$ , of  $K_i^i$ . The vertices of  $K''_i$  on  $c'_i$  or on its boundary are all assigned to vertices of  $c_i^i$  under the correspondence A. Hence the *i*-cells, say  $c_{ik}^i$ , of  $K_i''$  into which  $c_i^i$  is subdivided all contribute either nothing or  $c_i^i$  itself to the set of *i*-cells of  $K_i^{\prime\prime\prime}$ . Now the sum (mod. 2) of the cells identical with  $c_i^i$  obtained from the cells  $c_{ik}^i$  is bounded by whatever we get by the process applied in the previous paragraphs (to obtain  $K_i'''$  from  $K_i''$ ) when that process is applied to the boundary of  $c_i^i$  as subdivided for  $K_i''$ . For that is exactly what we did prove at the end of the last paragraph, with *i* replaced by i + 1, and the subdivision of  $c_i^i$  replaced by  $K_{i+1}^{\prime\prime}$ . But by mathematical induction we may assume that the boundary thus obtained is exactly the boundary of  $c_i^i$  as composed of cells of  $K_i^i$ . Hence the sum (mod. 2) of the *i*-cells identical with  $c_i^i$  obtained as just described, being bounded by the boundary of  $c_i^i$ , must be exactly  $c_i^i$  taken once (mod. 2). Since, then, from the subdivision of every *i*-cell of  $K'_i$  we obtain that same cell of  $K'_i$ . from the entire subdivision,  $K_i''$ , of  $K_i'$  we must obtain  $K_i'$  in its entirety. In other words,  $K_i^{\prime\prime\prime}$  is identical with  $K_i^{\prime}$ , which is what we set-out to prove.

41. We now have an explicit method for determining whether a set of *i*-circuits  $K_i$  on  $C_n$  does or does not bound. For a construction has been given to determine the homology (2) of § 38 and  $K_i \sim 0$  if and only if  $K'_i$  bounds a complex composed of cells of  $\overline{C}_n$ .

It is a corollary that no set of *n*-circuits composed of cells of  $C_n$  can satisfy a homology  $K_n \sim 0$ . For there are no (n+1)-cells in  $\overline{C}_n$ . Hence, in particular, a set of *n*-circuits  $C_n$  cannot bound a singular complex on  $C_n$ . On the other hand, every (n+k)-circuit (k>0) on  $C_n$  bounds an (n+k+1)dimensional complex on  $C_n$  as stated in (3), § 38.

## Invariance of the Connectivities $R_i$

42. We are now ready to prove the invariance of the connectivities  $R_0, R_1, \ldots, R_n$  under the group of all homeomorphisms. This invariance is obvious for  $R_0$  because  $R_0$  is the number of connected complexes which compose  $C_n$ . To prove the invariance of  $R_i$  (i > 0) for any complex  $C_n$ , we first observe that according to § 23,  $R_i$  is the same for  $C_n$ as for any regular subdivision of  $C_n$ . We therefore fix attention on a regular subdivision  $\overline{C_n}$ .

By § 9 there exists<sup>\*</sup> a set of *i*-circuits  $C_i^j$   $(j = 1, 2, ..., R_i - 1)$  such that (1) there is no (i + 1)-dimensional complex composed of cells of  $\overline{C_n}$  which is bounded by any combination of the circuits  $C_i^j$  and (2) if  $C_i^j$  is any other *i*-circuit composed of cells of  $\overline{C_n}$  it is homologous to the sum (mod. 2) of some or all of the *i*-circuits  $C_i^j$ . By combining (1) with the theorem of § 39 we have at once that: (a) there is no (i + 1)dimensional complex of any sort on  $C_n$  which is bounded by any combination of the circuits  $C_i^j$ . From (2) and § 38 it follows that: (b) if  $C_i$  is any *i*-circuit on  $\overline{C_n}$  it is homologous to a linear combination (mod. 2) of the *i*-circuits  $C_i^j$   $(j = 1, 2, ..., R_i - 1)$ . For  $C_i$  is homologous either to zero or to an *i*circuit  $C_i'$  which is composed of cells of  $\overline{C_n}$ , and by (2)  $C_i'$  is homologous to a combination of the *i*-circuits  $C_i^j$ .

From the properties (a) and (b) it follows by a mere re-

\* This is not intended to exclude the case in which  $R_i - 1 = 0$ , in which the set of *i* circuits  $C_i^i$  is a null-set.

101

**\$§** 42-48]

n-DIMENSIONAL COMPLEXES.

103

petition of the argument in § 48, Chap. II that  $R_i$  is an Analysis Situs invariant of the complex  $C_n$ .

43. It should perhaps be pointed out explicitly that the proof which has just been completed applies as well for i = n as for other values of *i*. If  $C_n$  is a single *n*-circuit,  $R_n = 2$ , and since  $R_n$  is an invariant, any complex  $C'_n$  homeomorphic with  $C_n$  contains just one *n*-circuit. By a repetition of the argument in § 52, Chap. II, it follows that this *n*-circuit contains all points of  $C'_n$ . Hence any complex homeomorphic with un *n*-circuit is an *n*-circuit.

## CHAPTER IV

#### ORIENTABLE MANIFOLDS

## Oriented *n*-Cells

1. Let us now take up the orientation of *n*-dimensional complexes. The first problem is to give a definition of the term "oriented *n*-cell." We shall give a definition here which suffices for the elementary part of the matrix theory and shall postpone to the next chapter the theorems on deformation which give the full intuitional content of the notion of orientation. The definition will be made as a part of a process of mathematical induction in which we prove that if certain theorems are true and certain terms defined for all complexes  $C_i$  for which i < n, then the theorems are true and the terms can be defined for any complex  $C_n$ . Since the theorems and definitions in question have already been established for all linear graphs,  $C_1$ , this process will establish them for all complexes  $C_n$ .

The terms which we assume to be defined are: oriented *i*-cell of a complex  $C_j$  (i, j < n) orientable *i*-circuit (i < n), oriented *i*-circuit (i < n), oriented *i*-dimensional complex (i < n), sum of oriented *i*-dimensional complexes (i < n). The theorems are: (1) any *i*-circuit (i < n) which is homeomorphic with an orientable *i*-circuit is orientable; (2) any *i*-circuit defining an *i*-dimensional sphere (i < n) is orientable.

2. The proof that these theorems hold for any  $C_n$  if they hold for all  $C_i$  (i < n) is a direct generalization of the proof given in §§ 58 to 60, Chap. II for the case n = 2, and will be given in § 10. Before establishing the theorems we state the definitions which, it will be noted, derive their content from the theorems for the cases i < n.

An oriented n-cell of a complex  $C_n$  is the object obtained by associating a cell  $a_i^n$   $(i = 1, 2, ..., \alpha_n)$  of  $C_n$  with one

104

§§ 84---86)

LINEAR GRAPHS.

 $\sigma_1^0, \sigma_1^1, \sigma_2^0, \sigma_2^1, \ldots, \sigma_{\sigma_1}^0, \sigma_{\sigma_2}^1, \sigma_1^0,$ 

in which each oriented cell is either positively or negatively related to the one which follows it. According to the convention that  $\sigma_i^0$  is formed from  $a_i^0$  by associating it with +1, each  $\sigma_i^1$  is negatively related to the oriented 0-cell which follows it if it is positively related to the one which precedes it and vice versa. Hence by assigning the notation so that  $\sigma_1^1$  is in every case positively related to the oriented 0-cell which precedes it in the sequence (1) we can arrange that  $\sigma_1^1, \sigma_2^1, \ldots, \sigma_{\alpha_i}^1$ , represent a set of oriented 1-cells such that each oriented 0-cell positively related to one oriented 1-cell of the set is negatively related to another. Such an oriented complex formed from the 1-cells of a 1-circuit is called an oriented 1-circuit.

It is obvious that the only other oriented 1-circuit which can be formed from the given 1-circuit is that composed of  $-\sigma_1^1, -\sigma_2^1, \dots, -\sigma_{\alpha_0}^1$ . For if one of the oriented 1-cells in an oriented 1-circuit be replaced by its negative each of the other 1-cells must be replaced by its negative. The other oriented complexes which can be formed from the 1-circuit are not oriented 1-circuits.

Intuitionally this discussion means that if the oriented 1-cells of an oriented 1-circuit are marked by arrows as in § 34, the arrows must all be pointed in the same direction.

#### Matrices of Orientation

36. The relations between the oriented 0-cells and oriented 1-cells, which can be formed from the cells of a complex  $C_1$  in which  $\epsilon_{ij}^1$  is +1 if  $\sigma_i^0$  is positively related to  $\sigma_j^1$ , is -1may be studied by means of two matrices which are closely if  $\sigma_i^0$  is negatively related to  $\sigma_j^1$ , and is 0 if  $\alpha_i^0$  is not an end analogous to  $H_0$  and  $H_1$ . The new matrices will be called of  $a_1^1$ . matrices of orientation, and denoted by  $E_0$  and  $E_1$ . In our treatment they are derived from  $H_0$  and  $H_1$  and their theory each column to -1, for each  $\sigma_i^1$  is positively related to one is entirely parallel to that of  $H_0$  and  $H_1$ . They are, how- of the  $\sigma^0$ 's formed from the ends of  $a_1^1$  and negatively related ever, the one- and two-dimensional instances of the matrices  $E_i$  to the other. The choice of the -1 is determined by the which form the central element in Poincaré's work on Analysis arbitrary choice in the definition of al.

## ANALYSIS SITUS.

Situs. The matrix  $E_1$  may be said to date back to the article by G. Kirchoff in Poggendorf's Annalen der Physik, Vol. 72 (1847), p. 497, on the flow of electricity through a network of wires, in which Kirchoff made use of a system of linear equations having  $E_1$  as its matrix. This paper is doubtless the first important contribution to the theory of linear graphs. 37. Any set of oriented 0-cells may be denoted by a symbol  $(x_1, x_2, \dots, x_{\alpha_0})$  in which  $x_i$  is +1 if  $\sigma_i^0$  is in the set, -1if  $-\sigma_i^0$  is in the set, and 0 if neither  $\sigma_i^0$  nor  $-\sigma_i^0$  is in the set. The symbols for the bounding oriented O-circuits of a complex  $C_1$  satisfy a set of equations, (E<sub>0</sub>), identical with the equations  $(H_0)$  of § 19 except that the variables are taken to be integers instead of being reduced modulo 2. The corresponding matrix will be denoted by

$$E_0 = \|\epsilon_{ij}^0\|$$
  $(i = 1, 2, ..., R_0; j = 1, 2, ..., \alpha_0).$ 

If the complex is connected,  $R_0 = 1$  and this matrix reduces to a one-rowed matrix

[1, 1, ..., 1]

all of whose  $\alpha_0$  elements are unity. The equations ( $E_0$ ) have  $\alpha_{
m c}-R_{
m 0}$  linearly independent solutions, and if  $r_{
m 0}$  is the rank of En

 $r_0 = \varrho_0 = R_0.$ 

38. The relations between the oriented 0-cells  $\sigma_i^0$  and oriented 1-cells  $\sigma_i^1$  of an oriented complex  $C_1$  may be denoted by a matrix

$$E_1 = \|\epsilon_{ij}^1\|$$
  $(i = 1, 2, ..., \alpha_0; j = 1, 2, ..., \alpha_1)$ 

This matrix can be formed from  $H_1$  by changing a 1 in